Executive Summary

In the development of the approach to achieve the overarching goal, each of the guiding principles are considered with respect to roles and responsibilities at various levels of authority within the University and also addressing the unique research environment at UAH.

With respect to Principle 1, UAH leadership will implement a color code system to coordinate and integrate operational procedures across the institution which will be defined based upon State, Local, or UA System restrictions and conditions.

- **Red** - No access
- **Orange** – Limited Business Operations (current situation-5/3/2020)
- **Yellow** – Modified Business Operations
- **Green** - Full access. No restrictions

Changes in the prevailing code will be announced by UAH leadership in response to the changing circumstances. Each level defines a different set of work criteria rules and regulations and operational plans, briefly described as follows:

1. **Code Red**: The highest level of restricted access is Code Red and access is restricted to specific individuals for periodic safety inspections only. All official travel is prohibited during Code Red. UAH has not declared Code Red as of May 4, 2020.

2. **Code Orange**: Code Orange is the present situation as of May 4, 2020, research facilities are closed except for “Essential Research” and official travel is prohibited. In code Orange, most researchers are required to telework with a very limited on-site presence. Justification must be provided to and approval from the VPRED or Provost for each researcher conducting on-site research.

3. **Code Yellow**: Code Yellow represents a shift to a modified business operation in which an incremental approach to on-site research can progress. While Code Orange focuses on individual researchers and approvals at the VP level, Code Yellow will focus on plans for work areas addressing risk mitigation methods and density restrictions with approvals at the unit level. Official travel may commence with approvals, but mandatory screening and quarantine may be required depending on the situation.

4. **Code Green**: Code Green restores normal access restriction prior to the COVID-19 pandemic.

Principle 2 and Principle 3 will be addressed together because these principles are highly interdependent. Research simply cannot productively resume without a healthy (physical and emotional) workforce. Procedures can be implemented that mitigate risk, but risk can never be eliminated. To minimize the risk, mitigation plans will:
• assume all personnel and study participants are COVID-19 positive until screened or tested negative.
• adhere to all existing safety protocols in addition to COVID-19 related precautions. For example, a required buddy system safety rule in a laboratory cannot be ignored.
• address efforts to fairly safe-guard the vulnerable/higher risk population.

UAH research excels in collaborative environments with partners from federal, state, and commercial entities. Rapid resumption of operation for UAH research will require cooperation of several agencies. UAH is in constant communication with its partners and mitigation plans will adhere to the guidance of all collaborating entities.

All research and creative work at UAH is vital to the well-being of the institution. The OVPRED and OEHS will work with all units to develop a plan to resume activities. UAH’s plan will be posted on the OVPRED website.