The University of Alabama in Huntsville Financial Data Sheet

Price Summary

The cost estimate presents applicable pricing information based on the standard format adopted by the University and is consistent with our current cost accounting standards. UAH's fiscal year begins on October 1st. The academic year begins around the third week of August. Salaries are escalated effective October 1st each year.

Cost Substantiation

Salaries

Proposed salaries are quoted based on actuals (unless otherwise noted on the proposal budget), and non-student salaries are increased by 3.0% each fiscal year to cover anticipated raises. These increases are MERIT, not cost-of-living, raises. The percentage of time is estimated. Salaries are verified through the established payroll system and after-the-fact certification of effort. Note that a full-time graduate student appointment is the equivalent of a 50% full-time employee.

Paid Absences

Paid absences include vacation, sick leave, personal leave, and holidays that are allowable as direct expenses, as negotiated in the facilities and administrative cost rate. Paid absences are included in the loaded rate for full-time employees only to ensure that while the university charges only for direct hours worked, the individual's leave hours can also be compensated. More detailed information can be found in the LLC policy at Payroll Services | BII and LLS Procedures | The University of Alabama in Huntsville.

Fringe Benefits

Fringe benefits are charged as a direct expense. They include the State Teachers' Retirement System (TRS), Teachers' Insurance and Annuity Association—The College Retirement Equities Fund, Social Security, disability insurance, and life insurance, where applicable. An estimated fringe benefit rate of 38.7% is usually proposed for full- time (employee works at least 75% or more) and 12 month Faculty. An estimated fringe benefit rate of 33.5% is usually proposed for 9-month Faculty. Employees who have an on-call/part-time position (less than 75%) or are retired (Emeritus status) will receive 11.9% fringe benefits. Undergraduates and Graduate Research Assistants receive 1.8% fringe benfits. Graduate Research Assistants will also receive health insurance and tuition assistance as a fringe benefit, adjusted annually on the academic year based on information provided by the Dean of Graduate Studies. Each individual's actual fringe benefit rate will be charged.

Travel

Reimbursement of travel will be in accordance with The University of Alabama travel regulations. Expenses for out-of-state travel will be paid on the basis of actual, reasonable, and necessary expenses. Expenses for in-state travel will be paid on a per diem basis. Transportation costs will be reimbursed on the basis of actual costs for common carrier and at the approved rate per mile for automobiles.

Facilities and Administrative Cost Rate

The University negotiates its pre-determined facilities and administrative cost rate with the Department of Health and Human Services. The negotiated facilities and administrative cost rates for FY'21-FY'24 (10/1/2020-9/30/2024) follows:

Distribution of research, instruction, and sponsored activities across on-campus and off-campus locations from FY21 to FY24

Location	**Activity**	**FY21**	**FY22**	**FY23**	**FY24**
On Campus	Research	48.0%	48.0%	48.0%	48.0%
On Campus	Instruction	50.0%	50.0%	50.0%	50.0%
On Campus	Other Sponsored Activities	32.5%	32.5%	32.5%	32.5%
On Campus	IPA	10.0%	10.0%	10.0%	10.0%
On Campus	DOD Contracts/Subcontracts	50.0%	50.0%	50.0%	50.0%
Off Campus	Research*	27.5%	27.5%	FY22	27.5%
Off Campus	Instruction	26.0%	26%	27.5%	26%
Off Campus	Other Sponsored Activities	26.0%	26%	26%	26%
Off Campus	IPA	10.0%	10%	26%	10%
Off Campus	DOD Contracts/Subcontracts	28.0%	28%	10%	28%

These rates are based on Modified Total Direct Costs (MTDC). Equipment, capital expenditures, charges for patient care and tuition remission, rental costs, scholarships, and fellowships, as well as the portion of each subgrant and subcontract in excess of $25,000 shall be excluded from modified total direct costs. *Off-campus Research rate will be 26% if in excess of 50 miles from UAH Campus.

Government Agency Contacts (All Information below must be verified for accuracy)

Administrative Contracting Officer

- **Agency:** Office of Naval Research Representative (ONRRR)
- **San Diego Office Address:** 4635 Pacific Highway, San Diego, CA 92110
- **Contact Person:** Ozma Ragan, Contract Officer
- **Phone:** (619) 524-2002
- **Email:** ozma.a.ragan.civ@us.navy.mil

Security Functions

- **UAH Facility Clearance:** Top Secret
- **UAH Storage Level:** Secret
- **DOD Trade Control (DTC):** Expires every 10/31
- **DTC#:** M21648
- **UAH Approved by DSS:** 6/19/1989
- **UAH Security POC:** Denise Spiller
- **Phone:** (256) 824-6444
- **Email:** Denise.spiller@uah.edu

Audit Functions

- **Agency:** Department of Defense/Office of Inspector General (DOD/OIG)
- **National Single Audit Coordinator (NSAC):**
- **Attn:** Carol Vogler
- **Phone:** (703) 604-9657
- **Email:** carol.vogler@dodig.mil
- **Email:** OIGNon-FederalAudit@ed.gov

Awards

Resulting contracts or grants should be forwarded to:

- **Office:** UAH Office of Sponsored Programs, CTC 114, Huntsville, AL 35899
- **Phone:** (256) 824-6000
- **Fax:** (256) 824-6677

Additional Information

- **CAS Disclosure Statement:** Filed with DHHS, Oct. 1, 2016

UAH POC Regarding Indirect Rate Agreement

- **Contact:** Darryl Mayes, Director
- **Division:** Division of Cost Allocation
- **Office:** DHHS/Office of the Inspector General
- **Address:** 330 Independence Ave., Room 106, Cohen Building, Washington, DC 20407
- **Phone:** (301) 492-4852

Approved Systems

- **Government Property Control:** ONR approved on 9/20/2022
- **Purchasing System:** ONR approved on 8/5/2022, expires 2/17/2027

Payments

Payments (referencing the invoice number) should be sent to:

- **Recipient:** Dept #6570, The University of Alabama in Huntsville
- **Address:** P.O. Box 11407, Birmingham, AL 35246-6570
- **Phone:** (256) 532-5034

Institutional Codes & Registrations

- **Cage Code:** 9B944
- **Joint Certification Program (JCP) #:** 0002821 (Expires March 28, 2027)
- **DUNS Number:** 949687123
- **EIN:** 63-0520830
- **SAM Registration:** UAH is registered with SAM, which is valid until October 9, 2027
- **UAH E-Verify ID#:** 216442
- **NAICS:** 611310/Primary, 541330, & 541715
- **SIC:** 8221
- **NTEE-CC:** B43
- **Federal Wide Assurance No.:** FWA00019998 (Expires 7/22/2030)
- **IORG & IRB:** IORG 0012716 and IRB 00015029 (Both expire 1/12/2029)
- **FICE Code:** 1055
- **IPEDS Code:** 100706
- **NIST SPRS Assessment:** Type is Basic & Date is 1/3/2026
- **CMMC Level 2 (C3PAO):** Status date 1/2/2026 and expires 1/1/2029
- **Research Misconduct Assurance #:** 1288804
- **UAH UEI#:** HB6KNGVNJRU1
- **OLAW Assurance #:** D22-01154 & expires 7/31/2027
- **Accounting/Billing System:** PricewaterhouseCoopers, LLP approves on an annual basis
- **URL:** Finance Annual Reports
- **Cleared Facility Clearance:** UAH has one cleared facility clearance under cage code 9B944, with one cleared facility off-campus at Redstone Arsenal.