Most federal contracts/grants require a certification stating that the university and the primary participants in the proposed effort are not debarred from federal transactions. Unless the agency provides a different certificate, the one below is typically used by the university.

## CERTIFICATION REGARDING DEBARMENT, SUSPENSION, AND OTHER RESPONSIBILITY MATTERS -- PRIMARY COVERED TRANSACTIONS

- (1.) The prospective primary participant certifies that, to the best of its knowledge and belief, it and its principals:
- (a.) Are not presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from covered transactions by any Federal department or agency.
- (b.) Have not within a three-year period preceding this proposal been convicted or had a civil judgment rendered against them for commission of fraud performing a public (Federal, State or local) transaction or contract under a public transaction; violation, theft, forgery, bribery, falsification or destruction of records, making false statements, or receiving stolen property.
- (c.) Are not presently indicted or otherwise criminally or civilly charged by a government entity (Federal, State, or local) with commission of any of the offenses enumerated in paragraph (1.)(b.) of this certification; and
- (d.) Have not within a three-year period preceding this application/proposal had one or more public transactions (Federal, State, or local) terminated for cause or default.
- (2.) Where the prospective primary participant is unable to certify to any of the statements in this certification, such prospective participant shall attach an explanation to this proposal.

| Proposal identification: |       |  |
|--------------------------|-------|--|
| Signature:               | Date: |  |
| Name & Title:            |       |  |
| Institution              |       |  |