EPSCoR 101: Stimulating Competitive Research

UAH NSF Advance Group Meeting Friday, November 11.11:30am – 1:00pm

EPSCoR 101: Stimulating Competitive Research



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EPSCoR Agencies

National Science Foundation

National Aeronautics and Space Admin.

Department of Defense



Department of Energy

USDA US Dept. of Agriculture

NIH National Institutes of Health

Experimental Program to Stimulate Competitive Research

NSF EPSCoR Established: 1979

- 1985 AL became an NSF EPSCoR state
- 1987 MS became an NSF EPSCoR state

"To stimulate competitive research in regions of the country that were less able to compete successfully for research funds."

Eligibility: A state, US territory or US commonwealth that receives less than or equal to 0.75 percent of NSF research funding averaged over the preceding three years.

Established Program to Stimulate Competitive Research

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NSF EPSCoR Jurisdictions

- 28 eligible jurisdictions
- Graduated jurisdictions
 - Missouri
 - Tennessee
 - Utah
 - Iowa (re-eligible 2019)
- Jurisdictions above .75% but below .80% can remain eligible for up to 5 years.
 - Alabama ➡.74%
 - Mississippi ➡.26%

NASA and the Department of Energy EPSCoR programs use NSF eligibility.



National Science Foundation

Goals:

Catalyze research capability across and among jurisdictions
Establish STEM professional development pathways
Broaden participation of diverse groups/institutions in STEM
Effect engagement in STEM at national and global levels
Impact jurisdictional economic development

Major Initiatives:

- Research Infrastructure Improvement (RII) Track-1, 2, 4, & BEC
- Co-funding
- Outreach Funding

- RII Track 1 (NSF 22-599): One per jurisdiction
- 5 year, \$20M cooperative agreements
- 20% cost share requirement
- State committees determine project topic that align with S&T plan. Letter of intent due: July Full proposal: August



ALABAMA **AL Executive Director Christopher Lawson**

Mississippi **MS State Director** Julie Jordan Mississippi State University



https://beta.nsf.gov/funding/opportunities/epscor-research-infrastructure-improvement-program-track-1-rii-track-1

Alabama RII Track-1 (alepscor.org)

CPU2AL: Connecting the Plasma Universe to Plasma Technology in Alabama

Lead Institution: University of Alabama in Huntsville PI: Gary Zank Award Period: 9/1/2017 – 8/31/2022

Future Technologies and Enabling Plasma Processes Award Period: 9/1/2022 – 8/31/2027

Mississippi RII Track-1 (msepscor.org) Center for Emergent Molecular Optoelectronics (CEMOs) Lead Institution: Mississippi State University PI: Julie Jordan Award Period: 10/1/2018 – 9/30/2023







RII Track 2 FEC (NSF 22-523): One per institution

- \$1M \$1.5M per year for four years
- Letter of Intent Due: November
 Full Proposal Due: January
- Theme changes every two years.
 - 2023 Theme: "advancing climate change research and resilience capacity to expand opportunities for disproportionately affected communities."
- Must collaborate with at least 1 other EPSCoR jurisdiction
 - Eligible for \$1.5M/year when the proposal includes 3 jurisdictions

https://beta.nsf.gov/funding/opportunities/epscor-research-infrastructure-improvement-program-track-2-focused-epscor-0

- RII Track 4: EPSCoR Research Fellows <u>NSF Track (NSF 22-573)</u> Limit 3 per institutions
- \$300,000 for two years
- Full Proposal Due: April 2023
- Opportunities for investigators in pre-tenure/non-tenure track positions
- Develop individual research potential through extended collaborative visits to national, governmental or academic research centers
- Any research topic supported by NSF directorates will be considered.
- Persons with transitional fixed-term postdoctoral positions are not eligible.

- NSF NASA
- RII Track 4: EPSCoR Research Fellows FAST Track (NSF 22-573)
- Limit 6 per institutions
- \$300,000 for two years, additional \$60,000 can be requested for equipment
- Full Proposal Due: April 2023
- Opportunities for investigators in pre-tenure/non-tenure track positions
- Develop individual research potential through extended collaborative visits to NASA research facilities located at NASA Centers
- Persons with transitional fixed-term postdoctoral positions are not eligible
 Only for PIs from MSIs, PUIs, 2-year colleges, women's colleges



RII BEC: Bridging EPSCoR Communities (NSF 22-536) – no limits on #

- \$1M for five years to set up bridge programs
- Full Proposal Due: April 2023
- Intended to support individuals most strongly affected by the pandemic at vulnerable career transition points (first two years of college, preparation into grad school, entry to STEM workforce, etc.)



NSF EPSCoR Co-Funding



Goal: To accelerate the movement of EPSCoR researchers and institutions into the mainstream of NSF support.

- Funding mechanism for "fund-if-possible" proposals
- Not a program with a unique RFP
- Managing Program Manager must request from EPSCoR office
- Proposals must align with EPSCoR priorities for co-funding

NSF EPSCoR Co-Funding



EPSCoR Programmatic Priorities:

- Researchers with no active NSF awards in 3 years
- Collaborative efforts across jurisdictions
- Cross-discipline, cross-directorate or NSF-wide funding opportunities
 NSF investments and funding priorities in current fiscal year
- Enhancing participation of underrepresented individuals, organizations and/or institutions
- Equipment that builds institutional/jurisdictional research capacity
- Enhances institutional research capacity and competitiveness
- Training K-12 students/teachers
- Activities that exemplify NSF's commitment to the integration of research and education

NSF EPSCoR Outreach Funding



- EPS-WO: Workshop Opportunities (NSF 19-588)
 - Up to \$100,000 for one year
 - 5-10 awards per year
 - No cost-share requirement
 - Not intended to proposal development
 - Topic: Any topic, including the exploration of topic areas that have been described as NSF priorities
 - Must be of importance to relevant science or engineering fields
 - Must be of particular interest to the greater EPSCoR community (multijurisdictional)
 - Inclusive of underrepresented groups

US Department of Agriculture



- Qualifying states have a funding level no higher than the 38th percentile of all states, based on a 3-year rolling average of Ag and Food Research Initiative (AFRI) funding levels, excluding Food and Ag Science Enhancement (FASE) Strengthening funds granted to EPSCoR states.
- Funds competitive research, education and extension/outreach programs in highpriority areas of national need in agriculture, food, and environmental 2014 2015 sciences.

4 AWARD COMPONENTS

- 1.) Seed Grants
- Provide up to \$150,000/ two years
- Collect preliminary data for future AFRI funding
- Single-function research, education, or extension

State	2007	2008	2009	2010	2011	2012	2013	2014	2015	2016	2017	2018	2019	2020	2021	202
Alabama	yes	-	-	-	-	-	-	ye								
Alaska	yes	-	-													
Arizona	-	-	-	-	-	-	-	-	yes	-	-	-	-	-	-	-
Arkansas	yes	yes	-	•	•	•	•	•	•	-	•	yes	•	•	-	ye
Connecticut	-	•	yes	-	-	yes	yes	yes	-							
Delaware	yes	yes	yes	yes	-	-	-	-	-	-	-	-	-	-	-	ye
Hawaii	yes	yes	yes	yes	yes	-	-	-	-	-	-	-	yes	yes	yes	
Idaho	yes	ye														
Kentucky	yes	-	-	-	-	-	-	-								
Louisiana	yes	yes	yes	yes	yes	-	-	-	-	yes	yes	yes	yes	yes	yes	ye
Maine	yes	ye														
Massachusetts	-	•	-	•	•	•	•	•	•	-	•	•	•	•	yes	-
Mississippi	-	•	yes													
Montana	-	-	-	-	yes	ye										
Nevada	yes	-	-	yes	ye											
New Hampshire	-	•	yes	ye												
New Jersey	yes	yes	-	•	-	•		•	•	yes	yes	yes	yes	•	yes	ye
New Mexico	yes	yes	-	-	yes	ye										
North Dakota	yes	ye														
Oklahoma	yes	yes	-	-	-	yes	yes	yes	-	yes	yes	yes	yes	yes	yes	ye
Rhode Island	-	-	yes	ye												
South Carolina	yes	ye														
South Dakota	yes	yes	yes	yes	-	yes	-	yes	yes	yes	yes	-	-	yes	yes	ye
Utah	-	-	-	-	-	-	yes	ye								
Vermont	yes	-	yes	yes	yes	yes	yes	ye								
West Virginia	yes	•	-	yes	yes	yes	yes	yes	-	-						
Wyoming	yes	-	Ve													

USDA Established Program to Stimulate Competitive Research (EPSCoR) States FY2007-FY2022

US Department of Agriculture

2.) Sabbatical Grants

Up to one year of salary, funds for travel & supplies; minisabbaticals also appropriate

3.) Equipment Grants

- 50% of cost or \$50,000 (whichever is less) for one piece of equipment in the range of \$10,000-250,000
 Require non-federal matching, but waivers (<\$25K) for
- lowest one third institutions are available

4.) Strengthening Grants:

- Single function (research, education, extension) or integrated standard grants
- Conference grants
- Coordinated Agriculture Project (CAP) grants



AL EPSCoR USDA Director Frank Bartol Auburn University

https://www.nifa.usda.gov/afri-faseepscor-program

Department of Defense

Eligibility: based on how much DoD S&E Research and Development funds were obligated to IHE within the state/territory <u>over a three-year</u> average.

34 states, Puerto Rico, Guam, and U.S. Virgin Islands

Thrust 1: Research Collaboration DEPSCoR Competition

- Basic research project with 2-person team (PI with no prior DOD award and mentor who served as DOD-funded PI after October 2015)
- \$200,000/year for three years (no cost share required) Anticipate funding 25 projects

Thrust 2: Capacity Building DEPSCoR Competition

- Focuses on capacity building through human, technical and management resources involving research in areas of high relevance to DOD.
- \$500,000/year for two years (no cost share required) Anticipate funding 4 projects

Thrust 3: DEPSCoR Education and Outreach



https://basicresearch.defense.gov/Pilots/DEPSCoR-Defense-Established-Program-to-Stimulate-Competitive-Research/

National Aeronautics & Space Administration (NASA) Established and authorized in 1993



Two Types of NASA EPSCoR Awards:

- 1. EPSCoR Cooperative Agreement Notice (CAN) for Research Awards:
 - Provides up to \$750,000 over three years (50% cost sharing required)
 - Awards 1 proposal

2. Research Infrastructure Development (RID) Awards

- Provides up to \$125,000 a year for three years (100% cost sharing required)
- Solicitation released every three to five years based on funding
- Enables jurisdictions to build and strengthen relationships with NASA

3. EPSCoR International Space Station (ISS) Flight Opportunity Awards

- 100% cost sharing
- Solicitation released annually (subject to funding availability)

National Aeronautics & Space Administration (NASA)



Alabama NASA EPSCoR
Dale Thomas
AL Agency Director
University of AL, Huntsville

https://www.uah.edu/alabama-nasa-epscor

Current NASA EPSCoR Awards for Alabama

Award Type	Total Number of Awards	Amount of Award	Period of Performance	
NASA EPSCoR Research	1	\$1,000,000	2022-2027	
Infrastructure Development	1	\$1,000,000	2022-2027	
NASA EPSCoR International Space	2	\$200,000	2018-2023	
Station Flight Opportunity	2	\$200,000	2018-2023	
NASA EPSCoR Suborbital Flight	1	\$298,221	2021-2024	
Opportunity	1	\$290,221	2021-2024	
NASA EPSCoR Rapid Response	10	\$1,000,000	2018-2022	
Research	10	\$1,000,000	2018-2022	
NASA EPSCoR Research Award	3	\$2,250,000	2018-2024	

Current NASA EPSCoR Awards for Mississppi

Award Type	Total Number of Awards	Amount of Award	Period of Performance	
NASA EPSCoR Research Infrastructure Development	1	\$1,000,000	2022-2027	
NASA EPSCoR International Space Station Flight Opportunity	3	\$300,000	2017-2023	
NASA EPSCoR Suborbital Flight Opportunity	1	\$248,457	2021-2024	
NASA EPSCoR Rapid Response Research	0	0	-	
NASA EPSCoR Research Award	2	\$1,500,000	2017-2024	

Mississippi NASA EPSCoR Nathan Murray MS Agency Director University of Mississippi

http://ms.spacegrant.org/



Epscorideafoundation.org

Department of Energy

 Supports basic and applied research and development across a wide range of interdisciplinary program areas including:

* Advanced Scientific Computing Research *Basic Energy Sciences *Biological and Environmental Research *Fusion Energy Sciences *High Energy Physics and Nuclear Physics

1) Implementation Grants - Due in January

- \$2,500,000/per year for three years with possible three-year extension
- Build capacity in areas of interest to DOE (listed above)
- No cost share and collaborations with other jurisdictions is allowed

2) EPSCoR-State/National Laboratory Partnership Grants - Due in January

- Provides \$750,000 for three years (no cost share)
- Allows EPSCoR researchers to work closely with the DOE national lab

3) DOE Office of Science Early Career Awards and Office of Science Annual FOAs

- Consideration is limited to applications received from academic institutions to the DOE Office of Early Career Award FOAs or Office of Science Annual FOAs.
- DOE Program Area and/or Office may nominate meritorious applications for joint funding consideration



Established 1992 – follows NSF eligibility. https://science.osti.gov/bes/epscor

Alabama DOE EPSCoR John Steadman AL Agency Director University of South Alabama



- Institutional Development Award (IDeA) program established ■ in 1993. IDeA—the largest of the EPSCoR-like programs—is
- designed to broaden the geographic distribution of NIH funding

of Health

- for biomedical research.
- Award Components
 - Centers of Biomedical Research Excellence (COBRE)
 - IDeA Networks of Biomedical Research Excellence (INBRE)
 - IDeA Clinical and Translational Research (CTR)
 - Co-Funding





Centers of Biomedical Research Excellence (COBRE)

Designed to increase the pool of well-trained investigators in the IDeA States

- Expands research facilities and equips laboratories with the latest research equipment
- Provides mentoring for promising candidates, and
- Develops research faculty through support of a targeted multi-disciplinary center, led by an established, senior investigator with expertise in the research focus area of the center.

IDeA Networks of Biomedical Research Excellence (INBRE)

Designed to increase the pipeline of outstanding students and enhance the quality of science faculty in the IDeA states by networking research intensive and undergraduate institutions

- Prepares students for graduate and professional schools as well as careers in the biomedical sciences
- Supports research and mentoring of young investigators
- Enhances research infrastructure at participating institutions



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IDeA Clinical and Translational Research (CTR)

Designed to encourage applications from IDeA states to develop infrastructure and capacity for clinical and translational research on diseases that affect the medically underserved populations and/or the diseases prevalent in IDeA states.

Co-Funding: When funding is available, NIGMs co-funds awards made by other NIH institutes and centers (ICs) in support of investigator-initiated research project grants





MS IDeA

Alex Flynt

MS INBRE Director

www.msinbre.org

University of Southern Mississippi

Questions?



Gloria W. Greene, MA, CRA

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