## Form 3: Application for Exemption for UAH Institutional Review Board

Name: Address:	Date:			
Address	City,	State,	Zip	
Telephone: (	) UAH Email:			
PI and Facult	y Supervisor S	ignature(if applicable):		
Signature		Date		
Signature		Date		

Research conducted may be exempted from UAH IRB approval if the research fully meets at least one of the following (please check all that apply):

\_\_\_\_\_ Research conducted in established or commonly accepted educational settings, involving normal educational practices, such as (a) research on regular and special education instructional strategies, or (b) research on the effectiveness of or the comparison among instructional techniques, curricula, or classroom management methods. The research is not FDA regulated and does not involve prisoners as participants.

Research involving the use of educational tests (cognitive, diagnostic, aptitude, achievement), survey procedures, interviews, or observation of public behavior 1 in which information is obtained in a manner that human subjects cannot be identified directly or through identifiers linked to the subjects and any disclosure of the human subject's responses outside the research would NOT place the subjects at risk of criminal or civil liability or be damaging to the subject's financial standing, employability, or reputation. The research is not FDA regulated and does not involve prisoners as participants.

Research involving the use of educational tests (cognitive, diagnostic, aptitude, achievement) survey procedures, interview procedures, or observation of public behavior if (a) the human subjects are elected or appointed public officials or candidates for public office, or (b) Federal statute(s) require(s) without exception that the confidentiality of the personally identifiable information will be maintained throughout the research and thereafter. The research is not FDA regulated and does not involve prisoners as participants.

Research involving the collection or study of existing data, documents, records, pathological specimens, or diagnostic specimens, if these sources are publicly available or if the information is recorded by the investigator in such a manner that subjects cannot be identified, directly or through identifiers linked to the subjects. The research is not FDA regulated and does not involve prisoners as participants.

Research and demonstration projects which are conducted by or subject to the approval of department or agency heads, and which are designed to study, evaluate, or otherwise examine: (i) public benefit or service programs; (ii) procedures for obtaining benefits or services under those programs; (iii) possible changes in or alternatives to those programs or procedures; or (iv) possible changes in methods or levels of payment for benefits or services under those programs. The protocol will be conducted pursuant to specific federal statutory authority; has no statutory requirement for IRB review; does not involve significant physical invasions or intrusions upon the privacy interests of the participant; has authorization or concurrent by the funding agency and does not involve prisoners as participants.

\_\_\_\_\_ Taste and food quality evaluation and consumer acceptance studies, (i) if wholesome foods without additives are consumed or (ii) if a food is consumed that contains a food ingredient at or below the level and for a use found to be safe, or agricultural chemical or environmental contaminant at or below the level found to be safe, by the Food and

Drug Administration or approved by the Environmental Protection Agency or the Food Safety and Inspection Service of the U.S. Department of Agriculture. The research does not involve prisoners as participants.

1 Surveys, interviews, or observation of public behavior involving children cannot be exempt.

I hereby certify that my research fully meets the categories indicated above. In the event that my research becomes ineligible for such exemption(s) for any reason, I will re-apply for appropriate UAH IRB review.

Signature

Date

Checklist: New, Exempted Review Submission				
	(Rev. 04/13)			
Documents must be saved in pdf format and sent to <u>irb@uah.edu</u>				
	Completed and electronically signed IRB Application for Exemption from Review by the UAH IRB			
	One copy of the signed <b>Institutional review board</b> application (Form 1)			
	For research involving children and/or minors less than 19 years of age, one copy of a memorandum from the Principal Investigator which must address the Children's Risk Level assessment (see Form 6 at www.uah.edu/irb)			
Make sure you have checked the IRB web page				
( <u>http://www.uah.edu/irb</u> ) for the most current consent form format.				

Submit all materials in electronic form to *irb@email.uah.edu*.