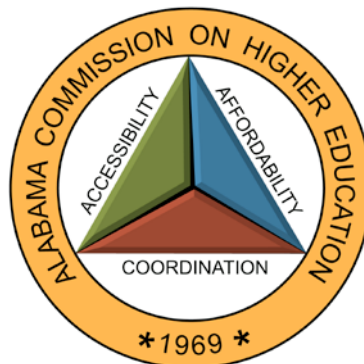


FY 2013-2014

Consolidated Budget  
Recommendation

Alabama Commission  
on Higher Education





ALABAMA COMMISSION ON HIGHER EDUCATION

CONSOLIDATED BUDGET RECOMMENDATION

FOR FISCAL YEAR 2013-2014



"..... The Commission shall receive, evaluate and coordinate budget requests for the public institutions of higher education of this state, shall hold open hearings on the budget requests of the separate institutions and shall present to each institution and to the Governor and the Legislature, a single unified budget report containing budget recommendations for separate appropriations to each of the institutions. The consolidated budget and analysis of the Commission shall be accompanied by the original requests and their justifications as submitted by each institution. The recommendations of the commission shall be derived directly from its assessment of the actual funding needs of each of the universities, as presented to it by the presidents, which assessment may include, but shall not be limited to, derived conclusions that may be based upon standard techniques of objective measurement, need and unit cost figures arrived at through the use of comparative and verified data secured from the various institutions, applied in an impartial and objective manner, and comparison shall be made not only between similar functions of institutions in Alabama but also between Alabama institutions and similar functions of institutions located in other states, provided that nothing herein shall be construed to prohibit any institutions of higher education in this state from submitting any matter pertaining to the financial operation and needs of said institution to the Legislature or to the Governor at any time."

Ala. Code §16-5-9(b) (1975)



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Ala. Code §16-5-9(b) (1975)

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SECTION A

FY 2013-2014 CONSOLIDATED BUDGET RECOMMENDATION

by

THE ALABAMA COMMISSION ON HIGHER EDUCATION



## **Consolidated Budget Recommendation By The Alabama Commission on Higher Education**

As prescribed by Section 16-5-9(b) of the Code of Alabama, the Alabama Commission on Higher Education has evaluated the budgets submitted by the senior public institutions of higher education and by the Chancellor of the community and technical colleges. At the Commission meeting on December 7, 2012, the Commission reached two sets of higher education funding recommendations for Fiscal Year 2013-2014.

The first recommendation, identified as the FY 2013-2014 Alabama Commission on Higher Education (ACHE) Funding Standard, (column 2 of the Executive Summary table, pages A-4 & A-5) is a statement of the Commission's estimate of the basic financial requirements that should be provided to support the institutions and their programs if Alabama higher education is to provide regionally competitive education to its citizens. The second recommendation, identified as the FY 2013-2014 Recommendation, (column 10 of the Executive Summary table on page A-4 & column 9 on page A-5) provides an overall appropriation increase of ten percent (10.00%) from the Education Trust Fund (ETF) for public colleges and universities.

The Commission recommends a funding level of \$1,412,406,719 for all public colleges and universities. The recommendation is based on the following:

### FIRST PRIORITY RECOMMENDATION:

- 1) PEEHIP rate increase (the state provided health insurance for two-year public institutions, JSU and Athens, only) if necessary and TRS retirement rate increases (two and four-year institutions).
- 2) Fund a rate increase for mandated PEEHIP retirees' health insurance for public senior institutions who do not participate in PEEHIP (all except Athens and JSU).
- 3) Funds needed to fund the ASU-Ph.D. in Microbiology program as per the Knight v. Alabama Settlement Agreement are appropriated (column 10 of the Executive Summary table on page A-4).

### SECOND PRIORITY RECOMMENDATION

After the above items in the First Priority Recommendation are funded, then we recommend that funds be appropriated so that all institutions and statewide lines receive a total increase,

including the items listed in the First Priority above, of ten percent (10%). If an increase of less than 10% was requested, then the requested amount was used as the recommendation.

The Commission approved these recommendations at its December 7, 2012 meeting.

#### Alabama Commission on Higher Education (ACHE) Funding Standard

The ACHE Funding Standard (page A-8) is based on the following (see Section C for a more detailed explanation of the ACHE Funding Standard):

- (1) The institutional Academic Programs, or "O&M," amounts are the products of the Commission's funding formulas for academic programs;
- (2) Facilities renewal needs are based on the Commission's formula for estimating facilities renewal allowances and backlogs;
- (3) Research and Public Service "line items" have been carried forward using an allocation scheme based on instructional formulas;
- (4) Necessary funds legally required to provide the employer's matching share of FICA and TRSA are included at levels expended the previous year.

#### Modified Funding Recommendations

Historic difficulties in proposing a Consolidated Budget Recommendation for higher education in Alabama are magnified by the necessity to complete the recommendation process before firm projections are made of ETF revenues and problems in resolving the gap between institutional needs and available state resources. Once again, the Commission has struggled to provide a viable recommendation within the confines of expected ETF revenues.

Facilities Renewal costs are included as a sum of the educational activities generating the need. Each institution's recommendation includes an allocation based on the Facilities Renewal Formula applied to inventoried space. The Commission continues to endorse treatment of major capital needs for the institutions via processes ancillary to the regular appropriations bill.

The Commission also includes recommendations for other lines not directly associated with a public college or university (Pages A-6 - A-7). The amount of new money being recommended for these state level lines is \$13,537,166.

This brings the total increase in new funds needed for the recommendation to \$141,759,652 or an increase of ten percent (10.10%). The total amount recommended for higher education from the Education Trust Fund is \$1,545,173,568.

#### Special Circumstances of this Consolidated Budget Recommendation

It is important to note that the Commission on Higher Education continues to seek a balance between legitimate institutional needs, as reflected in the construction of the ACHE standard, and the historic gap in actual appropriations. If Higher Education receives a fair share of revenues and if the political leadership uses the recommended approach for distribution among institutions, convergence of relative needs and appropriations will occur. That goal is termed Equity in funding.

The Commission believes that the distribution incorporated in this Consolidated Budget Recommendation is one of many required steps to improve higher education funding in Alabama.

Alabama Commission on Higher Education  
Fiscal Year 2013-2014 Consolidated Budget Recommendation  
Executive Summary  
Alabama Public Higher Education Institutions

INSTITUTION	FIRST PRIORITY RECOMMENDATION								SECOND PRIORITY RECOMMENDATION	FY 2013-2014	
	FY 2012-2013 Appropriation (1)	FY 2013-2014 ACHE Standard (2)	Estimated Funds FY 2013-2014 Retirement Rate Increase (1) (3)	Estimated Funds FY 2013-2014 University Retirees (2) Rate Increase for (4)	Recommended increases for Other Purposes (3) (5)	FY 2013-2014 Increase (6)	FY 2013-2014 Recommendation (7)	% Change Mandates (8)	Employee Compensation, Capital Improvements, & Technology Upgrades (9)	Total Recommendation (10)	% Change FY 2013-2014 Recommendation (11)
AAM(4)	36,788,794	38,349,719	673,097	68,544		741,641	37,530,435	2.02%	2,935,746	40,466,181	10.00%
ASU	40,907,397	48,448,465	776,160	100,800		876,960	41,784,357	2.14%	3,215,321	44,999,678	10.00%
AU	216,430,251	443,497,524	4,972,275	326,256		5,298,531	221,728,782	2.45%	16,340,484	238,069,266	10.00%
UA	140,699,910	243,462,276	4,614,595			4,614,595	145,314,505	3.28%	9,455,034	154,769,539	10.00%
UAB	255,149,662	644,721,183	10,961,481	290,208		11,251,689	266,401,351	4.41%	14,262,866	280,664,217	10.00%
UAH	42,710,964	76,839,545	1,031,313	51,552		1,082,865	43,793,829	2.54%	3,186,238	46,980,067	10.00%
USA	101,295,044	246,440,002	3,826,145	189,920		4,016,065	105,311,109	3.96%	6,118,221	111,429,330	10.00%
Doctoral O&M Total	833,982,022	1,741,758,714	26,855,066	1,027,280		27,882,346	861,864,368	3.34%	55,513,910	917,378,278	10.00%
ATHENS	11,178,201	10,339,737	283,192			283,192	11,461,393	2.53%	835,012	12,296,405	10.00%
AUM	21,947,665	15,476,753	606,375	69,136		675,511	22,623,176	3.08%	1,518,778	24,141,954	10.00%
JSU	35,315,802	30,562,712	747,374			747,374	36,063,176	2.12%	2,782,885	38,846,061	10.00%
TROY	44,923,086	31,947,554	1,237,518	72,576		1,310,094	46,233,180	2.92%	3,180,554	49,413,734	10.00%
UM	17,551,449	15,689,473	354,437	44,352		398,789	17,950,238	2.27%	1,356,727	19,306,965	10.00%
UNA	24,764,488	23,887,036	597,872	60,480		658,352	25,422,840	2.66%	1,817,713	27,240,553	10.00%
UWA	13,103,552	22,366,067	355,346			355,346	13,458,898	2.71%	955,249	14,414,147	10.00%
Nondoctoral O&M Total	168,784,243	150,269,332	4,182,114	246,544		4,428,658	173,212,901	2.62%	12,446,918	185,659,819	10.00%
Senior Subtotal	1,002,766,265	1,892,028,046	31,037,180	1,273,824		32,311,004	1,035,077,269	3.22%	67,960,828	1,103,038,097	10.00%
Other Alabama State University Ph.D. Microbiology	1,750,745	1,734,384			(16,361)	(16,361)	1,734,384	-0.93%		1,734,384	-0.93%
Total Senior	1,004,517,010	1,893,762,430	31,037,180	1,273,824	(16,361)	32,294,643	1,036,811,653	3.21%	67,960,828	1,104,772,481	9.98%
Total Two-Year	279,667,222	279,024,817	4,432,681			4,432,681	284,099,903	1.58%	23,534,335	307,634,238	10.00%
Total	1,284,184,232	2,172,787,247	35,469,861	1,273,824	(16,361)	36,727,324	1,320,911,556	2.86%	91,495,163	1,412,406,719	9.98%

Notes:

- 1) Based on calculations made by the AL Comm. on Higher Education using the increase in FY 2013 rate (10.08%) to the FY 2014 rate (11.71%). Rates subject to change due to legislative action.
- 2) Retirees in PEEHIP from the September 2012 count have been increased 5% for FY 2013-2014. FY 2013-2014 rate is unchanged from FY 2012-2013 rate of \$336. This estimate has been calculated by the Alabama Commission on Higher Education and has not been verified by PEEHIP and is subject to legislative action. The increase has been calculated using the FY 2012-2013 estimated amount supplied by the institutions.
- 3) The rate amount currently being estimated for PEEHIP is the same for FY 2014 as it was for FY 2013 (\$714) so no need for an increase in funds is currently projected for Athens State University and Jacksonville State University.
- 4) AAMU includes FY 2012-13 allocation of \$1,190,097 for the Agricultural Research & Extension State match. Additional funds are included in the line for the Alabama Agricultural Land Grant Alliance (AALGA). The total FY 2012-13 amount allocated is \$3,343,513.

Alabama Commission on Higher Education  
Fiscal Year 2013-2014 Consolidated Budget Recommendation  
Executive Summary

Alabama Public Higher Education Institutions

INSTITUTION	FIRST PRIORITY RECOMMENDATION							SECOND PRIORITY	RECOMMENDATION	
	FY 2012-2013	FY 2013-2014	Estimated Funds	Estimated Funds	FY 2013-2014	FY 2013-2014	% Change	Employee Compensation, Capital Improvements, & Technology Upgrades	FY 2013-2014	% Change
	Appropriation	ACHE Standard	FY 2013-2014 Retirement Rate Increase (1)	FY 2013-2014 PEEHIP Rate Increase (2)	Increase	Recommendation	Mandates		Total Recommendation	FY 2013-2014 Recommendation
(1)	(2)	(3)	(4)	(5)	(6)	(7)	(8)	(9)	(10)	
Alabama Southern	6,062,326	5,822,433	103,007		103,007	6,165,333	1.70%	503,173	6,668,506	10.00%
Bevill State	15,406,988	15,032,534	257,950		257,950	15,664,938	1.67%	1,283,402	16,948,340	10.00%
Bishop	13,787,792	12,106,857	213,667		213,667	14,001,459	1.55%	1,165,068	15,166,527	10.00%
Calhoun	21,027,062	20,067,277	328,184		328,184	21,355,246	1.56%	1,774,684	23,129,930	10.00%
Central Alabama	7,675,894	7,800,757	125,475		125,475	7,801,369	1.63%	642,472	8,443,841	10.00%
Chattahoochee	5,346,548	5,413,013	91,313		91,313	5,437,861	1.71%	443,229	5,881,090	10.00%
Drake	4,500,394	2,790,292	65,087		65,087	4,565,481	1.45%	384,784	4,950,265	10.00%
Enterprise State	9,232,576	9,368,431	161,166		161,166	9,393,742	1.75%	761,688	10,155,430	10.00%
Faulkner	10,387,507	11,152,487	136,805		136,805	10,524,312	1.32%	901,636	11,425,948	10.00%
Gadsden	22,256,374	21,573,496	402,502		402,502	22,658,876	1.81%	1,822,797	24,481,673	10.00%
Ingram	6,590,537	2,938,806	71,255		71,255	6,661,792	1.08%	587,876	7,249,668	10.00%
Jeff Davis	5,845,241	4,763,165	77,832		77,832	5,923,073	1.33%	506,782	6,429,855	10.00%
Jefferson State	18,668,724	21,958,808	321,302		321,302	18,990,026	1.72%	1,545,770	20,535,796	10.00%
Lawson	13,938,149	14,716,197	214,861		214,861	14,153,010	1.54%	1,179,167	15,332,177	10.00%
L. B. Wallace	6,681,189	6,845,400	120,185		120,185	6,801,374	1.80%	547,857	7,349,231	10.00%
Marion	5,875,936	3,721,931	68,643		68,643	5,944,579	1.17%	518,845	6,463,424	10.00%
Northeast	6,702,824	9,219,589	136,952		136,952	6,839,776	2.04%	533,545	7,373,321	10.00%
Northwest Shoals	10,995,547	13,068,476	207,120		207,120	11,202,667	1.88%	892,838	12,095,505	10.00%
Reid	4,201,032	2,528,407	62,317		62,317	4,263,349	1.48%	357,928	4,621,277	10.00%
Shelton	18,009,332	18,952,260	257,216		257,216	18,266,548	1.43%	1,543,400	19,809,948	10.00%
Snead	6,205,328	7,497,871	86,639		86,639	6,291,967	1.40%	533,658	6,825,625	10.00%
Southern Union	13,474,260	14,969,176	180,731		180,731	13,654,991	1.34%	1,166,871	14,821,862	10.00%
Trenholm	9,011,348	5,354,011	131,376		131,376	9,142,724	1.46%	769,569	9,912,293	10.00%
Wallace - Dothan	14,339,505	15,063,565	234,520		234,520	14,574,025	1.64%	1,198,783	15,772,808	10.00%
Wallace - Hanceville	15,769,713	19,043,352	256,236		256,236	16,025,949	1.62%	1,321,502	17,347,451	10.00%
Wallace - Selma	7,675,096	7,256,226	120,340		120,340	7,795,436	1.57%	647,011	8,442,447	10.00%
<b>Two Year Total</b>	<b>279,667,222</b>	<b>279,024,817</b>	<b>4,432,681</b>		<b>4,432,681</b>	<b>284,099,903</b>	<b>1.58%</b>	<b>23,534,335</b>	<b>307,634,238</b>	<b>10.00%</b>

1) Supplied by the Alabama Department of Postsecondary Education. Based on calculations using the increase in FY 2013 rate (10.08%) to the projected FY 2014 rate (11.71%). Rates subject to change due to legislative action.

2) Supplied by the Alabama Department of Postsecondary Education. The rate amount currently being estimated for PEEHIP is the same for FY 2014 as it was for FY 2013 (\$714) so no need for an increase in funds is currently projected.

Alabama Commission on Higher Education  
Fiscal Year 2013-2014 Consolidated Budget Recommendation  
Executive Summary

Statewide Lines

	2012-2013 <u>Appropriation</u> (1)	2013-2014 <u>Request</u> (2)	2013-2014 ACHE Recommended <u>Budget</u> (3)	Difference Recommendation <u>To FY 2013</u> (4)	% Change Recommendation <u>To FY 2013</u> (5)
Total Public institutions	1,284,184,232	1,670,882,080	1,412,406,719	128,222,487	9.98%
<u>STATE LEVEL HIGHER EDUCATION PROGRAMS</u>					
<u>Other Two-Year</u>					
Postsecondary Education Department	5,985,567	8,371,228	6,584,124	598,557	10.00%
Adult Basic Education	13,399,190	16,400,000	14,739,109	1,339,919	10.00%
Alabama Fire College	2,899,521	3,731,064	3,189,473	289,952	10.00%
Special Population Training	4,950,268	4,950,268	4,950,268		
Life Tech	2,300,000	2,300,000	2,300,000		
Mine Safety Training Program	320,635	320,635	320,635		
Prison Ed-Therapeutic Training	1,939,901	2,253,499	2,133,891	193,990	10.00%
Transfer Scholarship Program	250,000	250,000	250,000		
AL Technology Network	4,586,762	4,586,762	4,586,762		
English as a Second Language Program					
Central-Truck Driver Training	240,790	240,790	240,790		
Common Integrated Software		28,200,000			
Aviation		3,000,000	3,000,000	3,000,000	100.00%
Workforce Development	2,717,408	34,692,408	2,989,149	271,741	10.00%
Total: <u>Other Two-Year</u>	39,590,042	109,296,654	45,284,201	5,694,159	14.38%
<u>Other Higher Education</u>					
MESC/Dauphin Island Sea Lab	3,603,915	4,144,500	3,964,307	360,392	10.00%
Private Colleges & Schools					
Talladega College	582,997	612,400	612,400	29,403	5.04%
A&M/Miles Consortium	258,645	258,645	258,645		
State-Related Institution					
Tuskegee University	8,942,227	11,359,258	9,836,450	894,223	10.00%
Total: <u>Private &amp; State-Related Institutions</u>	9,783,869	12,230,303	10,707,495	923,626	9.44%
Subtotal: <u>Other Higher Education</u>	13,387,784	16,374,803	14,671,801	1,284,017	9.59%



Alabama Commission on Higher Education  
Fiscal Year 2013-2014 Consolidated Budget Recommendation  
Executive Summary

Statewide Lines

	2012-2013 <u>Appropriation</u> (1)	2013-2014 <u>Request</u> (2)	2013-2014 ACHE Recommended <u>Budget</u> (3)	Difference Recommendation <u>To FY 2013</u> (4)	% Change Recommendation <u>To FY 2013</u> (5)
<u>Alabama Commission on Higher Education</u>					
Planning & Coordination Services	2,702,892	2,973,115	2,973,115	270,223	10.00%
Articulation Program	374,867	412,354	412,354	37,487	10.00%
School & University Partnership for Education Renewal Pgm	40,276	44,304	44,304	4,028	10.00%
SREB	624,950	687,445	687,445	62,495	10.00%
Network of AL Academic Libraries	301,248	331,371	331,371	30,123	10.00%
EPSCOR	1,143,088	1,257,397	1,257,397	114,309	10.00%
PK-20 Initiative		10,000	10,000	10,000	
AL National Guard Schol. Prog.	583,643	642,007	642,007	58,364	10.00%
Washington Center Internship Program	23,040	25,344	25,344	2,304	10.00%
AL Student Assistance Program	2,697,551	2,967,306	2,967,306	269,755	10.00%
AL Educational Grant Program (ASGP)	1,620,970	1,783,067	1,783,067	162,097	10.00%
Chiropractic Scholarships					
AL Teacher Recruitment Incent. Pgm					
Police Officer's & Fire Fighters' Survivors Tuition	148,358	148,358	148,358		
Soil & Water Conservation	773,376	850,714	850,714	77,338	10.00%
AL Forestry Foundation Blackbelt Initiative	192,000	211,200	211,200	19,200	10.00%
Resource Conservation & Develop Prg	787,744	866,518	866,518	78,774	10.00%
Civil Air Patrol	75,000	82,500	82,500	7,500	10.00%
National Ctr for Sports Safety	588,000	646,800	646,800	58,800	10.00%
Black Belt Treasures	150,000	165,000	165,000	15,000	10.00%
Black Belt Adventures	300,000	330,000	330,000	30,000	10.00%
National Computer Forensic Institute	250,000	275,000	275,000	25,000	10.00%
Alabama Agricultural Land Grant Alliance	5,229,283	5,752,211	5,752,211	522,928	10.00%
Total: <u>ACHE</u>	18,606,286	20,462,011	20,462,010	1,855,724	9.97%
Dept. of Veterans' Affairs	40,497,476	50,177,194	44,547,224	4,049,748	10.00%
American Legion Scholarships	112,500	112,500	112,500		
Dependents Blind Parents	10,399	10,399	10,399		
Medical Scholarships	740,014	740,014	740,014		
Optometric Scholarships	107,282	200,000	118,010	10,728	10.00%
Dental Scholarships	191,166	400,000	210,283	19,117	10.00%
Nursing Scholarships	166,027	250,000	182,630	16,603	10.00%
Teacher In-Service Centers	2,584,080	2,963,072	2,842,488	258,408	10.00%
Telephone Revolving Fund		1,400,000	25,000	25,000	
UAB-Special Mental Health	3,236,628	4,132,177	3,560,291	323,663	10.00%
Total: <u>Other Statewide Pgms.</u>	47,645,572	60,385,356	52,348,838	4,703,266	9.87%
TOTAL: <u>ALL STATE LEVEL PGMS.</u>	119,229,684	206,518,824	132,766,850	13,537,166	11.35%
TOTAL HIGHER EDUCATION	1,403,413,916	1,877,400,904	1,545,173,568	141,759,652	10.10%

Alabama Commission on Higher Education  
 FY 2013-2014 ACHE Funding Standard  
 Public Institutions of Higher Education

Distributed by Major Category

INSTITUTION	AP (1)	1stProfHlth (2)	AES/CES (3)	Research (4)	Public Service (5)	Facilities Renewal Allowance (6)	2013-2014 ACHE Standard (7)
Alabama A&M University	30,270,066			2,159,739	2,619,002	3,300,912	38,349,719
Alabama State University	40,454,598			2,568,986	2,622,180	2,802,701	48,448,465
Auburn University	200,680,033	76,645,761	103,892,383	22,856,767	23,813,011	15,609,569	443,497,524
University of Alabama	183,839,389	15,963,546		14,199,698	16,215,035	13,244,608	243,462,276
University of Alabama at Birmingham	229,947,571	300,503,372		42,436,076	42,436,076	29,398,088	644,721,183
University of Alabama in Huntsville	63,257,856			5,415,827	4,352,159	3,813,703	76,839,545
University of South Alabama	126,616,893	80,593,259		13,126,928	13,135,394	12,967,528	246,440,002
Doctoral O&M Subtotal	875,066,406	473,705,938	103,892,383	102,764,021	105,192,857	81,137,109	1,741,758,714
Athens State University	8,811,018			236,619	473,239	818,861	10,339,737
Auburn University Montgomery	12,269,472			300,008	918,428	1,988,845	15,476,753
Jacksonville State University	25,295,578			693,759	1,387,517	3,185,858	30,562,712
Troy University	26,857,310			651,607	1,622,324	2,816,313	31,947,554
University of Montevallo	12,533,684			362,407	724,814	2,068,568	15,689,473
University of North Alabama	19,220,405			521,617	1,084,515	3,060,499	23,887,036
University of West Alabama	18,715,302			592,279	1,184,558	1,873,928	22,366,067
Nondoctoral O&M Subtotal	123,702,769			3,358,296	7,395,395	15,812,872	150,269,332
Senior Subtotal	998,769,175	473,705,938	103,892,383	106,122,317	112,588,252	96,949,981	1,892,028,046
Alabama State University Ph.D Microbiology	1,721,592					12,792	1,734,384
Subtotal Other	1,721,592					12,792	1,734,384
Total Senior Institutions	1,000,490,767	473,705,938	103,892,383	106,122,317	112,588,252	96,962,773	1,893,762,430
Alabama Southern Community College	4,900,094					922,339	5,822,433
Bevill State Community College	13,208,566					1,823,968	15,032,534
Bishop State Community College	10,382,749					1,724,108	12,106,857
Calhoun Community College	18,717,235					1,350,042	20,067,277
Central Alabama Community College	6,847,754					953,003	7,800,757
Chattahoochee Valley Community College	5,151,551					261,462	5,413,013
Drake State Technical College	2,428,383					361,909	2,790,292
Enterprise State Community College	8,395,051					973,380	9,368,431
Faulkner State Community College	10,471,662					680,825	11,152,487
Gadsden State Community College	19,183,939				6,064	2,383,493	21,573,496
Ingram State Technical College	2,430,487					508,319	2,938,806
Jeff Davis Community College	4,054,518					708,647	4,763,165
Jefferson State Community College	19,751,547					2,207,261	21,958,808
Lawson State Community College	13,122,318					1,593,879	14,716,197
L. B. Wallace Community College	5,984,524					860,876	6,845,400
Marion Military Institute	3,136,219					585,712	3,721,931
Northeast Alabama Community College	8,399,220					820,369	9,219,589
Northwest-Shoals Community College	11,958,655					1,109,821	13,068,476
Reid State Technical College	2,254,362					274,045	2,528,407
Shelton State Community College	17,405,701				126,480	1,420,079	18,952,260
Snead State Community College	6,812,842					685,029	7,497,871
Southern Union State Community College	13,815,798					1,153,378	14,969,176
Trenholm State Technical College	4,711,513					642,498	5,354,011
Wallace - Dothan Community College	14,128,221					935,344	15,063,565
Wallace - Hanceville Community College	17,173,367					1,869,985	19,043,352
Wallace - Selma Community College	6,631,538					624,688	7,256,226
Two Year Institutions	251,457,814				132,544	27,434,459	279,024,817
Total All Institutions	1,251,948,581	473,705,938	103,892,383	106,122,317	112,720,796	124,397,232	2,172,787,247

Categories have been adjusted to include Fringe Benefits.

Alabama Commission on Higher Education  
 FY 2013-2014 Consolidated Budget Recommendation  
 Public Institutions of Higher Education

Distributed by Major Category

INSTITUTION	AP (1)	1stProfHlth (2)	AES/CES (3)	Research (4)	Public Service (5)	Facilities Renewal Allowance (6)	2013-2014 Recommendation (7)
Alabama A&M University	31,940,624			2,278,932	2,763,541	3,483,084	40,466,181
Alabama State University	37,574,852			2,386,114	2,435,521	2,603,192	44,999,678
Auburn University	107,724,949	41,143,409	55,769,383	12,269,502	12,782,813	8,379,209	238,069,266
University of Alabama	116,867,131	10,148,064		9,026,781	10,307,936	8,419,628	154,769,539
University of Alabama at Birmingham	100,102,272	130,817,082		18,473,548	18,473,548	12,797,767	280,664,217
University of Alabama in Huntsville	38,676,157			3,311,263	2,660,931	2,331,716	46,980,067
University of South Alabama	57,250,591	36,440,727		5,935,419	5,939,247	5,863,346	111,429,330
Doctoral O&M Subtotal	490,136,575	218,549,281	55,769,383	53,681,559	55,363,538	43,877,942	917,378,278
Athens State University	10,478,395			281,396	562,794	973,820	12,296,405
Auburn University Montgomery	19,138,965			467,978	1,432,642	3,102,369	24,141,954
Jacksonville State University	32,151,387			881,787	1,763,573	4,049,315	38,846,061
Troy University	41,540,582			1,007,850	2,509,271	4,356,031	49,413,734
University of Montevallo	15,423,552			445,966	891,933	2,545,514	19,306,965
University of North Alabama	21,918,771			594,847	1,236,771	3,490,165	27,240,553
University of West Alabama	12,061,357			381,703	763,406	1,207,681	14,414,147
Nondoctoral O&M Subtotal	152,713,007			4,061,528	9,160,390	19,724,895	185,659,819
Senior Subtotal	642,849,582	218,549,281	55,769,383	57,743,087	64,523,928	63,602,837	1,103,038,097
Other							
Alabama State University Ph.D Microbiology	1,734,384						1,734,384
Subtotal Other	1,734,384						1,734,384
Total Senior Institutions	644,583,966	218,549,281	55,769,383	57,743,087	64,523,928	63,602,837	1,104,772,481
Alabama Southern Community College	5,612,140					1,056,366	6,668,506
Bevill State Community College	14,891,918					2,056,422	16,948,340
Bishop State Community College	13,006,699					2,159,828	15,166,527
Calhoun Community College	21,573,846					1,556,084	23,129,930
Central Alabama Community College	7,412,274					1,031,567	8,443,841
Chattahoochee Valley Community College	5,597,019					284,071	5,881,090
Drake State Technical College	4,308,201					642,064	4,950,265
Enterprise State Community College	9,100,281					1,055,149	10,155,430
Faulkner State Community College	10,728,429					697,519	11,425,948
Gadsden State Community College	21,769,996				6,881	2,704,796	24,481,673
Ingram State Technical College	5,995,708					1,253,960	7,249,668
Jeff Davis Community College	5,473,244					956,611	6,429,855
Jefferson State Community College	18,471,574					2,064,222	20,535,796
Lawson State Community College	13,671,583					1,660,594	15,332,177
L. B. Wallace Community College	6,424,993					924,238	7,349,231
Marion Military Institute	5,446,289					1,017,135	6,463,424
Northeast Alabama Community College	6,717,235					656,086	7,373,321
Northwest-Shoals Community College	11,068,312					1,027,193	12,095,505
Reid State Technical College	4,120,393					500,884	4,621,277
Shelton State Community College	18,193,399				132,204	1,484,345	19,809,948
Snead State Community College	6,202,015					623,610	6,825,625
Southern Union State Community College	13,679,835					1,142,027	14,821,862
Trenholm State Technical College	8,722,787					1,189,506	9,912,293
Wallace - Dothan Community College	14,793,425					979,383	15,772,808
Wallace - Hanceville Community College	15,643,997					1,703,454	17,347,451
Wallace - Selma Community College	7,715,637					726,810	8,442,447
Two Year Institutions	276,341,228				139,085	31,153,925	307,634,238
Total All Institutions	920,925,193	218,549,281	55,769,383	57,743,087	64,663,013	94,756,762	1,412,406,719

Categories have been adjusted to include Fringe Benefits.

Alabama Commission on Higher Education  
 FY 2013-2014 Consolidated Budget Recommendation  
 Public Institutions of Higher Education

Indices by Major Category

INSTITUTION	AP	1stProfHlth	AES/CES	Research	Public Service	Facilities Renewal Allowance	2013-2014 Recommendation
	(1)	(2)	(3)	(4)	(5)	(6)	(7)
Alabama A&M University	0.0226143			0.0016135	0.0019566	0.0024661	0.0286505
Alabama State University	0.0266034			0.0016894	0.0017244	0.0018431	0.0318603
Auburn University	0.0762705	0.0291300	0.0394854	0.0086869	0.0090504	0.0059326	0.1685557
University of Alabama	0.0827433	0.0071849		0.0063911	0.0072981	0.0059612	0.1095786
University of Alabama at Birmingham	0.0708735	0.0926200		0.0130795	0.0130795	0.0090610	0.1987135
University of Alabama in Huntsville	0.0273832			0.0023444	0.0018840	0.0016509	0.0332624
University of South Alabama	0.0405341	0.0258004		0.0042023	0.0042051	0.0041513	0.0788932
Doctoral O&M Subtotal	0.3470223	0.1547354	0.0394854	0.0380072	0.0391980	0.0310661	0.6495142
Athens State University	0.0074188			0.0001992	0.0003985	0.0006895	0.0087060
Auburn University Montgomery	0.0135506			0.0003313	0.0010143	0.0021965	0.0170928
Jacksonville State University	0.0227635			0.0006243	0.0012486	0.0028670	0.0275035
Troy University	0.0294112			0.0007136	0.0017766	0.0030841	0.0349855
University of Montevallo	0.0109200			0.0003157	0.0006315	0.0018023	0.0136696
University of North Alabama	0.0155187			0.0004212	0.0008756	0.0024711	0.0192866
University of West Alabama	0.0085396			0.0002703	0.0005405	0.0008551	0.0102054
Nondoctoral O&M Subtotal	0.1081225			0.0028756	0.0064857	0.0139654	0.1314493
Senior Subtotal	0.4551448	0.1547354	0.0394854	0.0408828	0.0456837	0.0450315	0.7809635
Other							
Alabama State University Ph.D Microbiology	0.0012280						0.0012280
Subtotal Other	0.0012280						0.0012280
Total Senior Institutions	0.4563728	0.1547354	0.0394854	0.0408828	0.0456837	0.0450315	0.7821915
Alabama Southern Community College	0.0039735					0.0007479	0.0047214
Bevill State Community College	0.0105436					0.0014560	0.0119996
Bishop State Community College	0.0092089					0.0015292	0.0107381
Calhoun Community College	0.0152745					0.0011017	0.0163763
Central Alabama Community College	0.0052480					0.0007304	0.0059783
Chattahoochee Valley Community College	0.0039628					0.0002011	0.0041639
Drake State Technical College	0.0030503					0.0004546	0.0035048
Enterprise State Community College	0.0064431					0.0007471	0.0071902
Faulkner State Community College	0.0075958					0.0004939	0.0080897
Gadsden State Community College	0.0154134				0.0000049	0.0019150	0.0173333
Ingram State Technical College	0.0042450					0.0008878	0.0051328
Jeff Davis Community College	0.0038751					0.0006773	0.0045524
Jefferson State Community College	0.0130781					0.0014615	0.0145396
Lawson State Community College	0.0096796					0.0011757	0.0108554
L. B. Wallace Community College	0.0045490					0.0006544	0.0052033
Marion Military Institute	0.0038560					0.0007201	0.0045762
Northeast Alabama Community College	0.0047559					0.0004645	0.0052204
Northwest-Shoals Community College	0.0078365					0.0007273	0.0085638
Reid State Technical College	0.0029173					0.0003546	0.0032719
Shelton State Community College	0.0128811				0.0000936	0.0010509	0.0140257
Snead State Community College	0.0043911					0.0004415	0.0048326
Southern Union State Community College	0.0096855					0.0008086	0.0104940
Trenholm State Technical College	0.0061758					0.0008422	0.0070180
Wallace - Dothan Community College	0.0104739					0.0006934	0.0111673
Wallace - Hanceville Community College	0.0110761					0.0012061	0.0122822
Wallace - Selma Community College	0.0054628					0.0005146	0.0059773
Two Year Institutions	0.1956527				0.0000985	0.0220573	0.2178085
Total All Institutions	0.6520255	0.1547354	0.0394854	0.0408828	0.0457821	0.0670889	1.0000000

Categories have been adjusted to include Fringe Benefits.

## SECTION B

### HIGHER EDUCATION CONSOLIDATED BUDGET RECOMMENDATION PROGRAM DETAIL:

#### FY 2011-2012 AND 2012-2013 APPROPRIATIONS 2013-2014 REQUESTS, ACHE STANDARD, AND RECOMMENDATION

This section presents the FY 2011-2012 and 2012-2013 Higher Education Appropriations and the 2013-2014 Alabama Commission on Higher Education (ACHE) Standard and Recommendation by Major Categories of the Consolidated Budget Recommendation process for each institution and statewide activity under the coordination of the Alabama Commission on Higher Education.



ALABAMA COMMISSION ON HIGHER EDUCATION  
2011-2012, 2012-2013 Appropriation, 2013-2014 Request, ACHE Standard, and Recommendation

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	<u>2011-2012</u> <u>Appropriation</u> (1)	<u>2012-2013</u> <u>Appropriation</u> (2)	<u>2013-2014</u> <u>Request</u> (3)	<u>2013-2014</u> <u>ACHE</u> <u>Standard</u> (4)	<u>2013-2014 ACHE</u> <u>Recommended</u> <u>Budget</u> (5)
<u>Alabama A&amp;M University</u>					
Academic Program	27,936,252	27,245,011	40,673,227	25,054,499	31,940,624
Research	2,087,600	1,916,934		2,159,739	2,278,932
Public Service	2,344,093	2,411,282		2,619,002	2,763,541
Ag Research Station Fixed Costs	292,182	277,573	277,573	277,573	
Extension - Urban Affairs & NonTraditional Land Grt Program	3,945,155	3,747,897	3,747,897	3,747,897	
Agri Research & Coop. Ext Matching*	1,190,097	1,190,097	1,190,097	1,190,097	
Facilities Renewal Allowance				3,300,912	3,483,084
Total: <u>Alabama A&amp;M University</u>	37,795,379	36,788,794	45,888,794	38,349,719	40,466,181

\* Additional funds to match the State direct portion of the Federal allocation are included in the line for the Alabama Agricultural Land Grant Alliance. The total amount allocated for FY 2012-2013 was \$3,343,513.

NOTE: A&M/Miles Consortium - see state level private institutions.

ALABAMA COMMISSION ON HIGHER EDUCATION  
 2011-2012, 2012-2013 Appropriation, 2013-2014 Request, ACHE Standard, and Recommendation

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	<u>2011-2012</u> <u>Appropriation</u> (1)	<u>2012-2013</u> <u>Appropriation</u> (2)	<u>2013-2014</u> <u>Request</u> (3)	<u>2013-2014</u> <u>ACHE</u> <u>Standard</u> (4)	<u>2013-2014 ACHE</u> <u>Recommended</u> <u>Budget</u> (5)
<u>Alabama State University</u>					
Academic Program	37,850,561	36,198,589	63,876,360	40,454,598	37,574,852
Research	2,339,031	2,311,271		2,568,986	2,386,114
Public Service	2,422,280	2,397,537		2,622,180	2,435,521
Facilities Renewal Allowance				2,802,701	2,603,192
Total: <u>Alabama State University</u>	42,611,872	40,907,397	63,876,360	48,448,465	44,999,678
<u>Court-Ordered Programs</u>					
Ph.D. Microbiology	1,682,413	1,750,745	1,750,745	1,734,384	1,734,384



ALABAMA COMMISSION ON HIGHER EDUCATION  
 2011-2012, 2012-2013 Appropriation, 2013-2014 Request, ACHE Standard, and Recommendation

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	<u>2011-2012</u> <u>Appropriation</u> (1)	<u>2012-2013</u> <u>Appropriation</u> (2)	<u>2013-2014</u> <u>Request</u> (3)	<u>2013-2014</u> <u>ACHE</u> <u>Standard</u> (4)	<u>2013-2014 ACHE</u> <u>Recommended</u> <u>Budget</u> (5)
<u>Athens State University</u>					
Academic Program	10,584,749	10,317,178	12,755,870	8,811,018	10,478,395
Research	313,056	287,007		236,619	281,397
Public Service	626,113	574,016		473,239	562,794
Facilities Renewal Allowance				818,861	973,820
Total: <u>Athens State University</u>	11,523,918	11,178,201	12,755,870	10,339,737	12,296,405
<u>Auburn University System</u>					
<u>Auburn University</u>					
Academic Program	115,130,573	112,235,599	217,360,227	200,680,033	107,724,949
First Professional Health	18,838,618	17,318,610		76,645,761	41,143,409
Research	13,427,131	12,745,397		22,856,767	12,269,502
Public Service	13,663,370	12,957,699		23,813,011	12,782,813
AAES/ACES	63,660,360	61,172,946	85,642,124	103,892,383	55,769,383
Facilities Renewal Allowance				15,609,569	8,379,209
Subtotal: <u>Auburn University</u>	224,720,052	216,430,251	303,002,351	443,497,524	238,069,266

ALABAMA COMMISSION ON HIGHER EDUCATION  
 2011-2012, 2012-2013 Appropriation, 2013-2014 Request, ACHE Standard, and Recommendation

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	<u>2011-2012</u> <u>Appropriation</u> (1)	<u>2012-2013</u> <u>Appropriation</u> (2)	<u>2013-2014</u> <u>Request</u> (3)	<u>2013-2014</u> <u>ACHE</u> <u>Standard</u> (4)	<u>2013-2014</u> <u>ACHE</u> <u>Recommended</u> <u>Budget</u> (5)
<u>Auburn University at Montgomery</u>					
Academic Program	20,713,864	19,935,396	30,726,731	12,269,472	19,138,965
Research	599,641	546,702		300,008	467,978
Public Service	1,549,907	1,465,567		918,428	1,432,642
Facilities Renewal Allowance				1,988,845	3,102,369
Subtotal: <u>Auburn Univ. at Montgomery</u>	22,863,412	21,947,665	30,726,731	15,476,753	24,141,954
Total: <u>Auburn University System</u>	247,583,464.0	238,377,916	333,729,082	458,974,277	262,211,220
<u>Jacksonville State University</u>					
Academic Program	33,668,741	32,475,684	44,898,592	25,295,578	32,151,387
Research	1,007,041	946,705		693,759	881,787
Public Service	2,014,082	1,893,413		1,387,517	1,763,573
Facilities Renewal Allowance				3,185,858	4,049,315
Total: <u>Jacksonville State University</u>	36,689,864	35,315,802	44,898,592	30,562,712	38,846,061

ALABAMA COMMISSION ON HIGHER EDUCATION  
 2011-2012, 2012-2013 Appropriation, 2013-2014 Request, ACHE Standard, and Recommendation

	<u>2011-2012 Appropriation</u> (1)	<u>2012-2013 Appropriation</u> (2)	<u>2013-2014 Request</u> (3)	<u>2013-2014 ACHE Standard</u> (4)	<u>2013-2014 ACHE Recommended Budget</u> (5)
<u>Troy University</u>					
Academic Program	41,776,115	41,199,189	64,160,533	26,857,310	41,540,582
Research	1,234,560	1,143,894		651,607	1,007,850
Public Service	3,265,132	2,580,003		1,622,324	2,509,272
Facilities Renewal Allowance				2,816,313	4,356,031
Total: <u>Troy University</u>	46,275,807	44,923,086	64,160,533	31,947,554	49,413,734
<u>University of Alabama System</u>					
<u>University of Alabama</u>					
Academic Program	119,698,270	112,485,357	199,417,373	183,839,389	116,867,131
First Professional Health	9,431,343	9,343,325		15,963,546	10,148,064
Research	8,410,813	8,896,382		14,199,698	9,026,781
Public Service	8,410,813	9,974,846		16,215,035	10,307,936
Facilities Renewal Allowance				13,244,608	8,419,628
Subtotal: <u>University of Alabama</u>	145,951,239	140,699,910	199,417,373	243,462,276	154,769,539

ALABAMA COMMISSION ON HIGHER EDUCATION  
 2011-2012, 2012-2013 Appropriation, 2013-2014 Request, ACHE Standard, and Recommendation

	<u>2011-2012 Appropriation</u> (1)	<u>2012-2013 Appropriation</u> (2)	<u>2013-2014 Request</u> (3)	<u>2013-2014 ACHE Standard</u> (4)	<u>2013-2014 ACHE Recommended Budget</u> (5)
<u>University of Alabama at Birmingham</u>					
Academic Program	95,353,938	91,368,892	361,153,676	229,947,571	100,102,272
First Professional Health	133,704,001	129,105,568		300,503,372	130,817,082
Research	18,050,671	17,337,601		42,436,076	18,473,549
Public Service	18,050,671	17,337,601		42,436,076	18,473,549
Facilities Renewal Allowance				29,398,088	12,797,767
Subtotal: <u>Univ. of AL at Birmingham</u>	265,159,281	255,149,662	361,153,676	644,721,183	280,664,217
<u>University of Alabama in Huntsville</u>					
Academic Program	37,512,552	36,891,310	58,100,801	63,257,856	38,676,157
Research	3,126,763	3,266,238		5,415,827	3,311,263
Public Service	2,601,272	2,553,416		4,352,159	2,660,931
Facilities Renewal Allowance				3,813,703	2,331,716
Subtotal: <u>Univ. of AL in Huntsville</u>	43,240,587	42,710,964	58,100,801	76,839,545	46,980,067
Total: <u>University of Alabama System</u>	454,351,107	438,560,536	618,671,850	965,023,004	482,413,823

ALABAMA COMMISSION ON HIGHER EDUCATION  
 2011-2012, 2012-2013 Appropriation, 2013-2014 Request, ACHE Standard, and Recommendation

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	<u>2011-2012</u> <u>Appropriation</u> (1)	<u>2012-2013</u> <u>Appropriation</u> (2)	<u>2013-2014</u> <u>Request</u> (3)	<u>2013-2014</u> <u>ACHE</u> <u>Standard</u> (4)	<u>2013-2014 ACHE</u> <u>Recommended</u> <u>Budget</u> (5)
<u>University of Montevallo</u>					
Academic Program	16,738,915	16,090,105	22,799,332	12,533,684	15,423,552
Research	514,615	487,115		362,407	445,966
Public Service	1,029,229	974,229		724,814	891,933
Facilities Renewal Allowance				2,068,568	2,545,514
Total: <u>University of Montevallo</u>	18,282,759	17,551,449	22,799,332	15,689,473	19,306,965
<u>University of North Alabama</u>					
Academic Program	23,554,672	22,681,484	26,745,647	19,220,405	21,918,771
Research	730,403	679,205		521,617	594,847
Public Service	1,511,267	1,403,799		1,084,515	1,236,771
Facilities Renewal Allowance				3,060,499	3,490,165
Total: <u>University of North Alabama</u>	25,796,342	24,764,488	26,745,647	23,887,036	27,240,553

ALABAMA COMMISSION ON HIGHER EDUCATION  
2011-2012, 2012-2013 Appropriation, 2013-2014 Request, ACHE Standard, and Recommendation

	<u>2011-2012 Appropriation</u> (1)	<u>2012-2013 Appropriation</u> (2)	<u>2013-2014 Request</u> (3)	<u>2013-2014 ACHE Standard</u> (4)	<u>2013-2014 ACHE Recommended Budget</u> (5)
<u>University of South Alabama</u>					
Academic Program	54,659,045	51,958,533	111,424,548	126,616,893	57,250,590
First Professional Health	38,135,612	38,147,021		80,593,259	36,440,727
Research	5,796,227	5,593,290		13,126,928	5,935,419
Public Service	5,799,788	5,596,200		13,135,394	5,939,247
Facilities Renewal Allowance				12,967,528	5,863,346
Total: <u>University of South Alabama</u>	104,390,671	101,295,044	111,424,548	246,440,002	111,429,330
<u>University of West Alabama</u>					
Academic Program	12,255,223	11,925,206	17,475,797	18,715,302	12,061,357
Research	412,183	392,782		592,279	381,703
Public Service	851,248	785,564		1,184,558	763,406
Facilities Renewal Allowance				1,873,928	1,207,681
Total: <u>University of West Alabama</u>	13,518,653	13,103,552	17,475,797	22,366,067	14,414,147
GRAND TOTAL: <u>SENIOR INSTITUTIONS*</u>	1,040,502,249	1,004,517,010	1,364,177,150	1,893,762,430	1,104,772,481

\* Grand Total includes Court Ordered Programs for Alabama State University.

ALABAMA COMMISSION ON HIGHER EDUCATION  
2011-2012, 2012-2013 Appropriation, 2013-2014 Request, ACHE Standard, and Recommendation

	2011-2012 <u>Appropriation</u> (1)	2012-2013 <u>Appropriation</u> (2)	2013-2014 <u>Request</u> (3)	2013-2014 ACHE <u>Standard</u> (4)	2013-2014 ACHE Recommended <u>Budget</u> (5)
Total Community & Technical Colleges					
Academic Program (includes Marion)	278,504,896	273,511,494	298,700,000	272,869,089	300,862,937
Prison Education Program	6,344,391	6,155,728	8,004,930	6,155,728	6,771,301
Total: <u>Two Year Institutions</u>	284,849,287	279,667,222	306,704,930	279,024,817	307,634,238

See page B-12 for the ACHE 2013-2014 Recommendation for the Community and Technical colleges by institution.

STATE LEVEL HIGHER EDUCATION PROGRAMS

Other Two-Year

Postsecondary Education Department	6,906,612	5,985,567	8,371,228		6,584,124
Adult Basic Education	15,715,536	13,399,190	16,400,000		14,739,109
Alabama Fire College	3,731,064	2,899,521	3,731,064		3,189,473
Alabama Ind. Devel. Trng. Inst. (FY 2014 No longer Higher Ed)	35,746,393				
Special Population Training	5,368,148	4,950,268	4,950,268		4,950,268
Life Tech		2,300,000	2,300,000		2,300,000
Mine Safety Training Program	237,768	320,635	320,635		320,635
Prison Ed-Therapeutic Training	1,999,898	1,939,901	2,253,499		2,133,891
Transfer Scholarship Program	250,544	250,000	250,000		250,000
AL Technology Network	4,680,370	4,586,762	4,586,762		4,586,762
English as a Second Language Program	194,000				
Central-Truck Driver Training	248,237	240,790	240,790		240,790
Common Integrated Software			28,200,000		
Aviation			3,000,000		3,000,000
Workforce Development	4,920,926	2,717,408	34,692,408		2,989,149
Total: <u>Other Two-Year</u>	79,999,496	39,590,042	109,296,654		45,284,201

ALABAMA COMMISSION ON HIGHER EDUCATION  
2011-2012, 2012-2013 Appropriation, 2013-2014 Request, ACHE Standard, and Recommendation

	<u>2011-2012</u> <u>Appropriation</u> (1)	<u>2012-2013</u> <u>Appropriation</u> (2)	<u>2013-2014</u> <u>Request</u> (3)	<u>2013-2014</u> <u>ACHE</u> <u>Standard</u> (4)	<u>2013-2014 ACHE</u> <u>Recommended</u> <u>Budget</u> (5)
<u>Other Higher Education</u>					
MESC/Dauphin Island Sea Lab	3,677,464	3,603,915	4,144,500		3,964,307
Private Colleges & Schools					
Talladega College	622,639	582,997	612,400		612,400
A&M/Miles Consortium	269,422	258,645	258,645		258,645
State-Related Institution					
Tuskegee University	9,412,870	8,942,227	11,359,258		9,836,450
Total: <u>Private &amp; State-Related Institutions</u>	10,304,931	9,783,869	12,230,303		10,707,495
Subtotal: <u>Other Higher Education</u>	13,982,395	13,387,784	16,374,803		14,671,801
<u>Alabama Commission on Higher Education</u>					
Planning & Coordination Services	3,550,359	2,702,892	2,973,115		2,973,115
Articulation Program	395,808	374,867	412,354		412,354
School & University Partnership for Education Renewal Pgm	41,954	40,276	44,304		44,304
SREB	699,063	624,950	687,445		687,445
Network of AL Academic Libraries	412,590	301,248	331,371		331,371
EPSCOR	1,201,924	1,143,088	1,257,397		1,257,397
PK-20 Initiative			10,000		10,000
AL National Guard Schol. Prog.	968,607	583,643	642,007		642,007
Washington Center Internship Program	29,799	23,040	25,344		25,344
AL Student Assistance Program	2,834,472	2,697,551	2,967,306		2,967,306
AL Educational Grant Program (ASGP)	1,710,314	1,620,970	1,783,067		1,783,067
Chiropractic Scholarships	27,515				
AL Teacher Recruitment Incent. Pgm	794,437				
Police Officer's & Fire Fighters' Survivors Tuition	294,932	148,358	148,358		148,358
Soil & Water Conservation	805,600	773,376	850,714		850,714
AL Forestry Foundation Blackbelt Initiative	200,000	192,000	211,200		211,200
Resource Conservation & Develop Prg	716,400	787,744	866,518		866,518
Civil Air Patrol		75,000	82,500		82,500
National Ctr for Sports Safety		588,000	646,800		646,800
Black Belt Treasures		150,000	165,000		165,000
Black Belt Adventures		300,000	330,000		330,000
National Computer Forensic Institute		250,000	275,000		275,000
Alabama Agricultural Land Grant Alliance	5,250,840	5,229,283	5,752,211		5,752,211
Total: <u>ACHE</u>	19,934,614	18,606,286	20,462,011		20,462,010



ALABAMA COMMISSION ON HIGHER EDUCATION  
 2011-2012, 2012-2013 Appropriation, 2013-2014 Request, ACHE Standard, and Recommendation

	2011-2012 <u>Appropriation</u> (1)	2012-2013 <u>Appropriation</u> (2)	2013-2014 <u>Request</u> (3)	2013-2014 ACHE <u>Standard</u> (4)	2013-2014 ACHE Recommended <u>Budget</u> (5)
Dept. of Veterans' Affairs	41,200,915	40,497,476	50,177,194		44,547,224
American Legion Scholarships	112,500	112,500	112,500		112,500
Dependents Blind Parents	10,832	10,399	10,399		10,399
Medical Scholarships	770,848	740,014	740,014		740,014
Optometric Scholarships	111,752	107,282	200,000		118,010
Dental Scholarships	199,131	191,166	400,000		210,283
Nursing Scholarships	175,265	166,027	250,000		182,630
Teacher In-Service Centers	2,691,750	2,584,080	2,963,072		2,842,488
Telephone Revolving Fund	109,585		1,400,000		25,000
Alabama Innovation Fund (FY 2014 No longer Higher Ed)	4,000,000				
Alabama Innovation Fund - USA Cancer Ctr	1,000,000				
UAB-Special Mental Health	3,406,977	3,236,628	4,132,177		3,560,291
Total: Other <u>Statewide Pgms.</u>	53,789,555	47,645,572	60,385,356		52,348,838
TOTAL: <u>ALL STATE LEVEL PGMS.</u>	167,706,060	119,229,684	206,518,824		132,766,850
TOTAL HIGHER EDUCATION	1,493,057,596	1,403,413,916	1,877,400,904	2,172,787,247	1,545,173,568

Alabama Commission on Higher Education  
 FY 2013-2014 Consolidated Budget Recommendation  
 Alabama Public Community, and Technical Colleges

Institution	Academic Program	Public Service	Facilities Renewal Allowance	FY 2013-2014 Consolidated Budget Recommendation
Alabama Southern Community College	5,612,140		1,056,366	6,668,506
Bevill State Community College	14,891,918		2,056,422	16,948,340
Bishop State Community College	13,006,699		2,159,828	15,166,527
Calhoun Community College	21,573,846		1,556,084	23,129,930
Central Alabama Community College	7,412,274		1,031,567	8,443,841
Chattahoochee Valley Community College	5,597,019		284,071	5,881,090
Drake State Technical College	4,308,201		642,064	4,950,265
Enterprise State Community College	9,100,281		1,055,149	10,155,430
Faulkner State Community College	10,728,429		697,519	11,425,948
Gadsden State Community College	21,769,996	6,881	2,704,796	24,481,673
Ingram State Technical College	5,995,708		1,253,960	7,249,668
Jeff Davis Community College	5,473,244		956,611	6,429,855
Jefferson State Community College	18,471,574		2,064,222	20,535,796
Lawson State Community College	13,671,583		1,660,594	15,332,177
L. B. Wallace Community College	6,424,993		924,238	7,349,231
Marion Military Institute	5,446,289		1,017,135	6,463,424
Northeast Alabama Community College	6,717,235		656,086	7,373,321
Northwest-Shoals Community College	11,068,312		1,027,193	12,095,505
Reid State Technical College	4,120,393		500,884	4,621,277
Shelton State Community College	18,193,399	132,204	1,484,345	19,809,948
Snead State Community College	6,202,015		623,610	6,825,625
Southern Union State Community College	13,679,835		1,142,027	14,821,862
Trenholm State Technical College	8,722,787		1,189,506	9,912,293
Wallace - Dothan Community College	14,793,425		979,383	15,772,808
Wallace - Hanceville Community College	15,643,997		1,703,454	17,347,451
Wallace - Selma Community College	7,715,637		726,810	8,442,447
<b>Two Year Institutions</b>	<b>276,341,228</b>	<b>139,085</b>	<b>31,153,925</b>	<b>307,634,238</b>

Categories have been adjusted to include Fringe Benefits.

SECTION C  
FUNDING FORMULAS  
AND CONSOLIDATED BUDGET RECOMMENDATION PROCESS

This section describes the various funding formulas used by the Alabama Commission on Higher Education in arriving at a statement of the level of funding required to achieve the appropriate ACHE Standard for the institutional elements of the Higher Education Budget and the processes used in the construction of the ACHE Standard for Alabama higher education funding.



# Overview of ACHE Standard Formula

## Formula Components:

### (1) Academic Program Calculation (Senior Institutions)

#### Cost of Instruction and departmental operating expenses

##### Multiplier

- a) Unit Instructional Cost (For general studies-average regional faculty salaries divided by assumed productivity adjusted for inflation)
- b) Departmental Operating Expenses (overhead and administration)

The multiplier times weighted Semester Credit Hours (Academic weights to reflect cost differences in program mix and instruction level) equals total cost of instruction

**Academic Support** (5% of estimated cost of instruction and operating expenses)

**Research** (2% of sum of estimated cost of instruction, operating expenses & academic support)

**Sponsored Research** (5% of qualifying research)

**Public Service** (2% of sum of estimated cost of instruction, operating expenses & academic support)

**Library Support** (Actual unweighted semester hours, less military science, times cost factor)

**General Administration & Student Services** (set amounts based on headcount enrollment)

**Physical Plant & Custodial Services** (gross square feet multiplied by cost factor)

**General Institutional Support** (14% of items above)

**Utilities O&M Allowance** (calculations are based on consumption rates for heating, electricity, and other utilities and gross square educational and general floor area)

**Less—Tuition Adjustment** (90% of weighted average credit hour charge multiplied by 3-year average unweighted credit hours—court ordered exceptions include ASU and AAMU)

**Utilities Capital Allowance** (5-yr amortized expenditures for major repairs and replacement)

**1<sup>st</sup> Professional Health** (medicine, optometry, dentistry, veterinary medicine, pharmacy & teaching hospitals)

### (2) Other Components of ACHE Standard Calculations (Senior Institutions):

**Agricultural Experimentation and Extension** (Auburn University-prior year ACHE Standard calculation times 3%)

**Organized Research** (8% of Academic Program Research Component for doctoral institutions and 4% for non-doctoral institutions)

**Public Service** (8% of Academic Program Public Service Component)

**Facilities Renewal and Replacement** (based on volume of physical space, its construction and age, use and nationally estimated engineering rates for renovation and replacement)

**Fringe Benefits** (Social Security (FICA), Retirement (TRSA) and PEEHIP (Health Insurance of prior year actual expenditures—Two-Year colleges)

**Title VI Knight v. State of Alabama Court ordered funding (Desegregation)**

### (3) Two Year College ACHE Standard Calculations: (plus facilities renewal and fringes)

**Community College** = median SREB appropriation per FTE times 3-Yr Average Fall FTE

**Technical College** = Adjusted Expense Rate times 3-Yr Average Fall FTE

Adjusted expense rate is based on average faculty salaries, student/faculty ratio, program support expense adjustment and tuition adjustment

## EXPLANATION OF ACHE FUNDING PROCESS FOR HIGHER EDUCATION

The Alabama Commission on Higher Education's recommendations for the funding of Postsecondary education are based on several types of assessment:

- A set of formulas, which relate funding needs for the academic programs of the public postsecondary institutions to student credit hours, faculty productivity, and faculty salaries.
- Facilities Renewal Allowance is an estimation of the amount of money needed to provide for the aging of all building elements in a given year.
- Updated values for ongoing research and service activities and for other instructional activities which are not susceptible to "formula" determination, derived from earlier funding levels.
- Analysis of funding needs for new programs and major changes in existing programs based on estimated expenditures and revenues.

### Academic Program Formula - Universities

The calculation of the Academic Program multiplier is predicated on the estimated National Association of State Universities and Land-Grant Colleges (NASUGLC) regional General Studies average salary for doctoral and regional institutions. A multiplier is determined from regional faculty salaries adjusted by an estimated departmental expense rate. The multiplier uses an assumed faculty productivity factor of 630 student credit hours (SCH) annually for doctoral institutions and 585 SCH for nondoctoral institutions. These productivity factors imply a General Studies faculty to student ratio of 1:26 for doctoral institutions and 1:24 for regional institutions.

The computations for FY 2013-2014, for the senior institutions, are shown on page C-7.

The amounts calculated above are distributed to institutions according to a formula consisting of the sum of the following elements:

- (a) Weighting Factors
- (b) Other Cost Factors.

**CLASSIFICATION OF INSTRUCTIONAL PROGRAMS (CIP) CODES  
AND WEIGHT CATEGORIES USED IN  
ALABAMA ACADEMIC PROGRAM FORMULA**

Academic Program Formula Category	Discipline	Academic Complexity Weights				
		Undergraduate		Upper	Graduate	
		LwrDiv	UprDiv	Level	Level 1	Level 2
1 Business	25. Library Science	0.95	1.29	1.29	3.27	13.45
	31. Parks, Recreation, Leisure and Fitness Studies					
	43. Homeland Security, Law Enforcement, Firefighting & Related Protective Services					
	44. Public Administration and Social Service Professions					
	52. Business, Management, Marketing and Related Support Services					
2 General Studies	05. Area, Ethnic, Cultural, Gender, & Group Studies	0.85	1.15	1.67	2.73	10.33
	09. Communications, Journalism and Related Programs					
	10. Communications Technologies/Technicians and Support Services					
	16. Foreign Languages, Literatures, and Linguistics					
	23. English Language and Literature/Letters					
	27. Mathematics and Statistics					
	38. Philosophy and Religious Studies					
	42. Psychology					
	45. Social Sciences					
54. History						
3 Education	13. Education	0.88	1.20	1.20	2.30	8.79
4 Health Professions & Rel. Sciences (Excludes First Professional Health)	51. Health Professions and Related Programs	2.33	3.15	3.15	5.82	17.60
5 Engineering/Architect	04. Architecture and Related Services	1.76	2.38	2.38	5.46	17.60
	14. Engineering					
	15. Engineering Technologies & Engineering-Related Fields					
6 Fine Arts	48. Precision Production	1.78	2.40	2.71	4.95	17.71
	50. Visual and Performing Arts					
7 Home Economics	19. Family and Consumer Sciences/Human Sciences	1.18	1.60	1.67	3.34	9.31
8 Science	11. Computer and Information Sciences and Support Services	1.10	1.48	2.11	5.36	17.60
	26. Biological and Biomedical Sciences					
	40. Physical Sciences					
	41. Science Technologies/Technicians					
9 Military Science	28. Military Sci., Leadership, & Operational Art	0.10	0.14	0.14		

(Table continued.)

**CLASSIFICATION OF INSTRUCTIONAL PROGRAMS (CIP) CODES  
AND WEIGHT CATEGORIES USED IN  
ALABAMA ACADEMIC PROGRAM FORMULA**

Academic Program Formula Category	Discipline	Academic Complexity Weights						
		Undergraduate		Upper	Graduate			
		LwrDiv	UprDiv	Level	Level 1	Level 2	Residency	
10 Law	22. Legal Professions and Studies				2.31			
11 Agriculture	01. Agriculture, Agriculture Operations, and Related Sciences	1.28	1.74	1.74	4.57	16.03		
	03. Natural Resources and Conservation							
12 Veterinary Medicine	51.25 Veterinary Medicine						20.53	
13 Pharmacy	51.2003 Pharmacy	2.57	3.47		5.06	19.01		
14 Interdisciplinary	24. Liberal Arts and Sciences, General Studies and Humanities	1.07	1.45	1.45	3.23	10.33		
	30. Multi/Interdisciplinary Studies							
First Professional Health	Medicine (M.D.) - UA, UAB, & USA				5.36	19.00		19.00
	Dentistry (D.D.S., D.M.D.)				5.36	19.00		19.00
	Optometry (O.D.)				5.36	19.00		19.00
	Veterinary Medicine (D.V.M.)				5.36	19.00		19.00
	Pharmacy (Pharm.D.)				5.36	17.60		
15 Not Funded for Senior Colleges	12. Personal and Culinary Services							
	29. Military Technologies & Applied Sciences							
	32. Basic Skills & Developmental/Remedial Education							
	33. Citizenship Activities							
	34. Health-Related Knowledge and Skills							
	35. Interpersonal and Social Skills							
	36. Leisure and Recreational Activities							
	37. Personal Awareness and Self-Improvement							
	39. Theology and Religious Vocations							
	46. Construction Trades							
	47. Mechanics and Repair Technologies/Technicians							
49. Transportation and Material Moving								
99. Not recognized by the U.S. Department of Education								

SOURCE: U. S. Department of Education, Office of Educational Research and Improvement, Center for Education Statistics,  
A Classification of Instructional Programs (CIP) and Alabama Commission on Higher Education.



CALCULATION OF ACADEMIC PROGRAM MULTIPLIER  
FORMULA YEAR 2013-2014

Salary Year	General Studies			Cumul. Average
	National Salaries		CUPA / NASULGC	
	NASULGC	CUPA		
2011-12	84,668	66,413	0.7844	0.7912
2010-11	82,968	65,312	0.7872	0.7927
2009-10	82,504	64,598	0.7830	0.8040
2008-09	81,351	64,413	0.7918	0.8173
2007-08	76,890	62,241	0.8095	0.8297

Salary Year	NASULGC Region IV General Studies	Inflation Factors	Infl. Adj'd Region IV Estimated	Region IV Estimated Multiplier
	2011-12		75,915	
2010-11	74,834	1.0546	78,919	150.32
2009-10	74,159	1.0383	76,999	146.66
2008-09	73,449	0.9860	72,420	137.94
2007-08	72,350	1.0972	79,383	151.21

Source: 2011-2012 National Faculty Salary Survey by Discipline and Rank in Four-Year Colleges and Universities, College and University Professional Association for Human Resources and 2011-2012 Faculty Salary Survey by Discipline and by Region, Office Institutional Research, Information Technology Division, Oklahoma State University.

Notes:

- A. Multiplier equals Region IV inflation-adjusted salary divided by productivity of 630 semester credit hours, plus 20% of salary cost added for Departmental Operating Expense. Multipliers are based on salaries two-years prior to formula year, adjusted for inflation.
- B. Multiplier applied to nondoctoral is weighted by the average ratio of CUPA to NASULGC national salaries times the ratio of doctoral productivity (630 SCH) to nondoctoral productivity (585 SCH).

Estimation of General Studies Average Salary  
Doctoral and Nondoctoral Institutions

2011-2012

CUPA - 2011-2012

CIP Category	Avg Salary	Number	Total Salary
Area, Ethnic, Cultural,& Gender Studies	71,593	982	70,304,326
Communication, Journalism & Related Pgms	64,884	4,004	259,795,536
Communications Tech/Technicians & Support Svcs	68,527	68	4,659,836
Foreign Languages, Literatures, & Linguistics	64,080	4,530	290,282,400
English Language & Literature\Letters	61,035	8,672	529,295,520
Mathematics & Statistics	67,020	6,833	457,947,660
Philosophy & Religious Studies	67,197	2,041	137,149,077
Psychology	68,580	5,383	369,166,140
Social Sciences	71,316	10,026	715,014,216
History	64,381	4,178	268,983,818
<b>General Studies</b>	<b>66,413</b>	<b>46,717</b>	<b>3,102,598,529</b>

NASULGC - 2011-2012

CIP Category	Avg Salary	Number	Total Salary
Area, Ethnic, Cultural,& Gender Studies	80,779	1,278	103,235,562
Communication, Journalism & Related Pgms	75,683	2,586	195,716,238
Communications Tech/Technicians & Support Svcs			
Foreign Languages, Literatures, & Linguistics	70,985	4,792	340,160,120
English Language & Literature\Letters	75,766	5,524	418,531,384
Mathematics & Statistics	92,183	4,732	436,209,956
Philosophy & Religious Studies	82,734	1,489	123,190,926
Psychology	91,166	3,802	346,613,132
Social Sciences	95,846	8,607	824,946,522
History	80,723	2,696	217,629,208
<b>General Studies</b>	<b>84,668</b>	<b>35,506</b>	<b>3,006,233,048</b>

NASULGC REG IV- 2011-2012

CIP Category	Avg Salary	Number	Total Salary
Area, Ethnic, Cultural,& Gender Studies	66,970	153	10,246,410
Communication, Journalism & Related Pgms	71,565	700	50,095,500
Communications Tech/Technicians & Support Svcs			
Foreign Languages, Literatures, & Linguistics	62,070	1,062	65,918,340
English Language & Literature\Letters	65,890	1,371	90,335,190
Mathematics & Statistics	83,974	1,144	96,066,256
Philosophy & Religious Studies	76,067	418	31,796,006
Psychology	85,104	873	74,295,792
Social Sciences	85,276	1,710	145,821,960
History	75,263	688	51,780,944
<b>General Studies</b>	<b>75,915</b>	<b>8,119</b>	<b>616,356,398</b>

Source: 2011-2012 National Faculty Salary Survey by Discipline and Rank in Four-Year Colleges and Universities, College and University Professional Association for Human Resources and 2011-2012 Faculty Salary Survey by Discipline and by Region, Office Institutional Research, Information Technology Division, Oklahoma State University.

## Senior Institutions

1. Instruction: The three-year average of actual on-campus weighted semester credit hours for 2009-2010, 2010-2011, and 2011-2012 is applied to the instructional multiplier. The instructional multiplier is 151.47. Remedial credit hours receive an additional 115% increment.

2. Academic Support: 5% of the amount generated for instruction.

3. Research: 2% of combined amounts for instruction and academic support plus 5% of 2010-2011 sponsored research.

4. Public Service: 2% of combined amounts for instruction and academic support.

5. Library Support: Actual on-campus unweighted semester credit hours (except military science) corresponding to the weighted credit hour value multiplied by the following cost factors:

Undergraduate	\$12.48
Graduate I (Masters)	25.05
Graduate II (Doctoral)	107.29
Law	66.18

6. General Administration and Student Services (GA/SS): For institutions with total approved campus headcount enrollment of less than 4,000:

1 to 2,000 Headcount	\$1,532.49
2,001 to 2,999 Headcount	806.57
3,000 to 3,999 Headcount	362.72

For institutions with total approved headcount enrollment of 4,000 or greater:

First 4,000 Headcount	\$632.07
4,001 to 8,000 Headcount	473.36
Over 8,000 Headcount	425.77

The GA/SS amount is computed based on total approved headcount, then distributed proportionately among the GA/SS components of the formula funded lines of the institution.

Example: Total Approved Headcount	4,000
Main-Campus Headcount	3,800
Approved Branch Campus Headcount	200

Total GA/SS = 4,000 x 632.07 = \$2,528,280.

Main-Campus:  $\frac{3,800}{4,000} \times 2,528,280 = \$2,401,866$

Approved Branch Campus  $\frac{200}{4,000} \times 2,528,280 = \$126,414$

7. Maintenance of Physical Plant and Custodial Services: Gross square feet (GSF) as of June 30, 2012, multiplied by \$6.37.

8. General Institutional support: 14% of total amount generated in items 1-7.

9. Utilities: This calculation is a combination of two different calculations. The first calculation uses the highest annual consumption rate per gross square foot of E & G floor area for the last three years (July-June, 2009-10, 2010-11, and 2011-12) for heat energy and electrical energy multiplied by projected rates per energy units for 2012-13. The "other" utilities input (water, sewage, and solid waste disposal) is calculated by multiplying the actual rate of consumption per gross square foot of E & G floor area for 2011-12 by a projected rate per energy unit for 2012-13. The projected escalation factors for heating fuel, electricity and other utilities are the same as the inflation factors that were used throughout the calculation of the ACHE Standard (1.017 and 1.030). The second set of calculations are made using a statewide standard, which is based on the average annual consumption rates for heating, electricity, and for other utilities combined. The standard consumption rates are then applied to the projected rates of costs for each institution. The gross E & G floor area as of June 30, 2012 is then multiplied against the higher of these two calculations.

10. Tuition Adjustment: A weighted average credit hour charge was calculated, using 2012-13 tuition and required fees and three-year average unweighted credit hours. Ninety percent (90%) of that rate multiplied by the three-year average of unweighted credit hours (excluding Military Science) is the tuition deduction for all institutions. For the 2013-2014 formula, the weighted per-credit-hour tuition deduction is \$267.74.

## Additional Components added after the Academic Program Formula calculation in order to determine the ACHE Standard

The Academic Program Formula provides only a portion of the funds needed to carry out the assignments of Alabama public colleges and universities. Additional components of funding are added to the Academic Program Formula to cover these needs.

These needs include organized research and public service (the historic “line item” activities), facilities renewal, and funds to cover Social Security and Retirement and additional funds for health insurance for the two-year institutions.

### Organized Research and Public Service

Doctoral institutions receive an additional eight percent (8.0%) and nondoctoral institutions receive four percent (4.0%) of the amount they received from the academic program formula for Research. All four-year institutions receive eight percent (8.0%) of instructional costs for Public Service.

### Facilities Renewal Allowance

The Facilities Renewal Allowance is calculated from a detailed formula based on the volume of physical space, construction, age and use (office, classroom, lab, etc.). Nationally estimated engineering rates for renovation and replacement are used to determine the costs factors. (Page C-16)

### Fringe Benefits

Social Security (or FICA), and Retirement (TRSA), and PEEHIP (Health Insurance), are included at the reported actual expenditures of the previous year.

All of these needs, in composite, are referred to as the ACHE Standard.

Community College Funding Needs  
Alabama Public Institutions  
Fiscal Year 2013-2014

The funding rate per FTE student of the Southern Regional Education Board (SREB) states is calculated. The rate is multiplied by the Alabama Community College three-year average Fall FTE enrollment to produce a total which approximates the amount funded at the average of the SREB states.

STATE	APPROPRIATIONS	FTE ENROLLMENT	\$/FTE
ALABAMA	253,651,360	74,495	3,405
ARKANSAS	167,249,520	45,215	3,699
DELAWARE	57,350,300	11,217	5,113
FLORIDA	1,010,674,525	375,871	2,689
GEORGIA	188,117,455	64,347	2,923
KENTUCKY	141,283,024	56,074	2,520
LOUISIANA	84,686,714	37,384	2,265
MARYLAND	235,150,092	99,199	2,370
MISSISSIPPI	198,695,077	72,118	2,755
NORTH CAROLINA	863,218,324	181,053	4,768
OKLAHOMA	167,572,305	56,305	2,976
SOUTH CAROLINA	100,788,457	82,080	1,228
TENNESSEE	195,536,720	66,810	2,927
TEXAS	1,129,320,550	517,856	2,181
VIRGINIA	307,859,228	130,304	2,363
WEST VIRGINIA	65,441,116	19,524	3,352
SREB COHORTS AVERAGE W/O ALABAMA	5,166,594,767	1,889,852	2,971 2,942

Source: SREB Data Exchange, 2011-2012 (preliminary data). 2010-11 SREB Data was used for Mississippi Appropriations and Florida FTE.

Technical Colleges Funding Needs  
Alabama Public Institutions  
Fiscal Year 2013-2014

Total funding needs for the Alabama technical colleges are estimated by calculating the average salary (9 months) for technical college faculty, applying a standard student: faculty ratio (15:1) to determine instructional salary expense per student FTE, and multiplying this rate by the three-year average Fall FTE enrollment.

AVERAGE FACULTY SALARY 2011-2012	53,109
INFLATION FACTOR 1.05439	55,632
PROJECTED INSTR'L EXP/FTE	3,709
PROJECTED TOTAL EXP (DIVIDE BY .6)	6,181
TUITION ADJUSTMENT	(3,618)
ADJUSTED EXP PER FULL-TIME STUDENT	2,563

CALCULATION OF PROGRAM FUNDING AT SREB STANDARDS  
ALABAMA PUBLIC TWO YEAR COLLEGES  
FISCAL YEAR 2013-2014

	Three-Year Fall Average Credit Hours			Calculated FTE				Academic Programs At 2013-2014 Standard (a)			
	Academic Regular	Technical Regular	Prison Technical	Academic	Technical	Prison Technical	Total	Academic	Technical	Prison Technical	Total
Alabama Southern	9,778	5,230		652	349		1,001	1,918,184	894,487		2,812,671
Bevill State	28,983	17,277		1,932	1,152		3,084	5,683,944	2,952,576		8,636,520
Bishop	20,491	14,388		1,366	959		2,325	4,018,772	2,457,917		6,476,689
Calhoun	42,638	19,912	2,279	2,843	1,327	152	4,322	8,364,106	3,401,101	389,576	12,154,783
Central Alabama	16,943	6,725		1,130	448		1,578	3,324,460	1,148,224		4,472,684
Chattahoochee	13,604	4,345		907	290		1,197	2,668,394	743,270		3,411,664
Drake		7,151			477		477		1,222,551		1,222,551
Enterprise State	12,940	16,912		863	1,127		1,990	2,538,946	2,888,501		5,427,447
Faulkner	29,978	8,523		1,999	568		2,567	5,881,058	1,455,784		7,336,842
Gadsden	40,655	21,876	836	2,710	1,458	56	4,224	7,972,820	3,736,854	143,528	11,853,202
Ingram			6,072			405	405			1,038,015	1,038,015
Jeff Davis	8,168	3,104	2,169	545	207	145	897	1,603,390	530,541	371,635	2,505,566
Jefferson State	51,164	19,748		3,411	1,317		4,728	10,035,162	3,375,471		13,410,633
Lawson	30,637	15,911	1,165	2,042	1,061	78	3,181	6,007,564	2,719,343	199,914	8,926,821
L. B. Wallace	13,239	6,387		883	426		1,309	2,597,786	1,091,838		3,689,624
Marion	7,692	168		513	11		524	1,509,246	28,193		1,537,439
Northeast	23,069	9,549		1,538	637		2,175	4,524,796	1,632,631		6,157,427
Northwest Shoals	29,198	14,321		1,947	955		2,902	5,728,074	2,447,665		8,175,739
Reid		6,367			424		424		1,086,712		1,086,712
Shelton	43,532	18,128		2,902	1,209		4,111	8,537,684	3,098,667		11,636,351
Snead	20,879	4,523		1,392	302		1,694	4,095,264	774,026		4,869,290
Southern Union	38,972	14,335		2,598	956		3,554	7,643,316	2,450,228		10,093,544
Trenholm		12,101			807		807		2,068,341		2,068,341
Wallace - Dothan	31,832	17,236	1,512	2,122	1,149	101	3,372	6,242,924	2,944,887	258,863	9,446,674
Wallace - Hanceville	37,532	27,216		2,502	1,814		4,316	7,360,884	4,649,282		12,010,166
Wallace - Selma	13,747	9,026		916	602		1,518	2,694,872	1,542,926		4,237,798
<b>TOTAL</b>	<b>565,670</b>	<b>300,460</b>	<b>14,034</b>	<b>37,713</b>	<b>20,032</b>	<b>937</b>	<b>58,682</b>	<b>110,951,646</b>	<b>51,342,016</b>	<b>2,401,531</b>	<b>164,695,193</b>

Notes:

(a) Recommended funding calculations based on \$2,942 per FTE for Community Colleges and \$2,563 per FTE for Technical Colleges.



## Facilities Renewal Requirements

### Basis of Estimates:

In its Consolidated Budget Recommendations for the last several budget years, the Alabama Commission on Higher Education included funding, to be provided from nonrecurring revenues, for the renewal of facilities. The amount recommended for each institution is an estimate of the amount of money needed to provide for the aging of all building elements in a given year.

The method used by the Commission staff to estimate facilities renewal needs is not as precise as a carefully prepared engineering estimate based on detailed facilities inspections. However, until each institution has thoroughly documented its entire requirement for repair and renovation, a detailed engineering estimate cannot be prepared and the process followed by the staff provides a consistent and realistic estimate of needs.

The rationale behind the process is as follows:

(1) The incidence of major repair and the need for general renovation of a building increase with its age, and the relationship is generally skewed toward the older, unrenovated facilities.

(2) Different elements of a building wear out or become obsolete at different ages. For example, foundations and principal elements of the superstructure are essentially permanent. Exterior walls, plumbing, and lighting have expected life of 40 to 50 years. Roofs and air conditioning should last 20 to 25 years. Interior surfaces require reworking in 10 years or less.

(3) Different types of buildings, even though constructed to similar standards of quality and of similar materials, are comprised of different combinations ("mixes") of elements, and the cost of replacing or renovating each type depends on the mix and the cost per square foot of each element.

(4) The "renewal allowance" of a building is defined as the amount that should be budgeted in any year to provide for the aging of all elements of that building. The "renewal backlog" of a building is defined as the summation of all the years' renewal allowances to date, for that building, from its initial completion (or latest complete renovation) and is a measure of the total amount that should be spent to bring the building to essentially new condition. The renewal allowances and backlogs are estimated by multiplying the sums of maximum renewal costs for each 25-year and 50-year element by factors reflecting the age of the building elements.

Attachment 1 summarizes maximum renewal costs, at 2012 construction cost levels, for 50-year and 25-year elements, for different types of college and university buildings, derived from the 2012 Means Square Foot Costs. These construction costs were then adjusted using a regional cost factor of .80. Elements normally requiring reworking or replacement in less than 20 to 25 years (e.g. wall finishes) are not included in renewal costs, on the basis that these elements are dealt with in the course of regular maintenance.

### Procedure for Calculating Renewal Allowances and Backlogs

Renewal Allowances and Renewal Backlogs are calculated as follows:

(1) Calculate the current replacement value of each 50-year and 25-year element of each major campus building according to Attachment 1 and determine the Maximum Renewal Allowance for each facility as follows:

(a) 50-year Elements: Maximum Renewal Allowance is the sum of the current replacement costs of 50-year elements.

(b) 25-year Elements: Maximum Renewal Allowance is the sum of the current replacement costs of the 25-year elements.

(2) Calculate the "adjusted age" of the 50-year and 25-year elements for each building that has undergone renovation since its construction (up to 50 and 25 years respectively), as follows:

(a) 50-year Elements - Multiply the proportion of the building area renovated by the time elapsed since each renovation. Multiply the proportion not renovated (i.e. one minus the proportion renovated) by the age of the building up to and including 50 years. The sum of the two (or more) products equals the adjusted age of the 50-year elements.

(b) 25-year Elements - Multiply the proportion of the building area renovated by the time elapsed since each renovation. Multiply the proportion not renovated by the age of the building, up to and including 25 years. The sum of the two (or more) products equals the adjusted age of the 25-year elements.

(3) Calculate the current year Renewal Allowance for each facility as follows:

(a) 50-year Elements - Divide the building age (actual or adjusted), up to and including 50 years, by 1,275 (the sum of the years digits from 1 to 50). Multiply the quotient by the Maximum Renewal Allowance for 50-year elements and this product by the gross building area.

(b) 25-year Elements - Divide the building age (actual or adjusted), up to and including 25 years, by 325 (the sum of the years digits from 1 to 25). Multiply the quotient by the Maximum Renewal Allowance for 25-year elements and this product by the gross building area.

(c) Add products (a) and (b).

(4) Calculate a 50-year element renewal factor and a 25-year renewal factor for each facility as follows:

(a) 50-year Elements - Sum the numbers from 1 to the current (actual or adjusted) age of the building (up to 50 years) and divide by 1,275.

$$F_{50} = \frac{1 + 2 + 3 + \dots + \text{Current Age}}{1 + 2 + 3 + \dots + 49 + 50}$$

(b) 25-year Elements - Sum the numbers from 1 to the current (actual or adjusted) age of the building (up to 25 years) and divide by 325.

$$F_{25} = \frac{1 + 2 + 3 + \dots + \text{Current Age}}{1 + 2 + 3 + \dots + 24 + 25}$$

(5) Calculate the Renewal Backlog for each building as follows:

Renewal Backlog = (50-year renewal factor) x (50-year Maximum Renewal Allowance) + (25-year renewal factor) x (25-year Maximum Renewal Allowance).

(6) Sum the calculated current year Renewal Allowances and Renewal Backlogs for all campus facilities broken down by funding category (e.g. E&G, Auxiliary, Health Professions, etc.). The sum of the Renewal Backlogs represents current total renovation backlog. The sum of the current year Renewal Allowances will be the amount that should be appropriated for facility renewal for the current year.

Attachment 2 summarizes Renewal Allowances and Renewal Backlogs for all Alabama public postsecondary institutions of higher education, based on the Fall 2011 Facility Inventory, 2012 Space Data Reports, and 2012 construction cost data.

## References

2012 RS Means® Square Foot Costs, R. S. Means Company, Inc. Construction Publishers & Consultants, Kingston, MA, 2012.

ATTACHMENT 1

BUILDING REPLACEMENT COSTS: RENEWAL COSTS BY SYSTEMS - 2012

SYSTEM	CLRM/ADM (1)	LAB (2)	VOC SCHL (3)	LIBRARY (4)	GYM (5)	SW POOL (6)	AUDITORIUM (7)	STUDENT CENTER (8)	UTIL PLANT (9)	SHOP (10)	MEDICAL CLINIC (11)	HOSPITAL (12)	RESIDENCE (13)	DORMITORY (14)	OTHER (15)
<b><u>50-YEAR</u></b>															
EXT CLOSURE	4.30	7.62	15.87	14.04	9.96	18.82	24.41	11.29	23.22	7.63	15.36	12.10	14.40	11.18	5.68
INT CONSTRUCTION	12.79	13.91	10.03	6.47	10.16	10.46	10.71	13.79	14.29	2.98	19.98	24.30	12.53	15.08	2.36
CONVEYING	2.76	0.00	1.73	3.27	0.00	0.00	3.07	2.76	0.00	0.00	0.00	3.39	0.00	3.73	0.00
MECHANICAL	16.86	26.02	7.30	6.99	12.79	9.11	11.31	5.97	10.56	6.83	22.62	34.32	3.69	20.76	5.81
ELECTRICAL	17.88	12.59	11.73	11.14	10.08	14.07	15.24	14.05	8.36	9.28	13.42	21.69	2.51	13.78	6.92
SPEC CONSTRUCTION	7.40	0.03	2.20	0.60	0.21	0.13	1.62	0.90	0.83	0.10	0.00	1.72	0.00	3.40	0.68
FIXED EQUIP	3.01	7.06	5.16	8.48	4.88	23.58	4.57	0.00	0.00	9.68	7.03	13.73	1.25	3.18	2.07
<b>TOTAL 50-YEAR</b>	<b>65.01</b>	<b>67.25</b>	<b>54.01</b>	<b>51.00</b>	<b>48.08</b>	<b>76.17</b>	<b>70.92</b>	<b>48.76</b>	<b>57.25</b>	<b>36.49</b>	<b>78.41</b>	<b>111.25</b>	<b>34.39</b>	<b>71.11</b>	<b>23.52</b>
<b><u>25-YEAR</u></b>															
ROOFING	2.40	4.73	2.99	2.31	3.88	1.70	5.06	2.66	5.68	7.00	3.88	1.82	4.61	1.36	5.52
INT CONSTRUCTION	8.39	9.38	7.55	6.81	7.72	9.48	9.06	7.35	8.51	1.88	8.30	11.67	8.28	5.97	2.15
HVAC	14.43	14.44	18.96	15.25	7.81	10.05	9.23	14.44	6.65	6.99	10.98	40.03	3.32	9.09	3.24
<b>TOTAL 25-YEAR</b>	<b>25.22</b>	<b>28.55</b>	<b>29.50</b>	<b>24.37</b>	<b>19.40</b>	<b>21.23</b>	<b>23.36</b>	<b>24.45</b>	<b>20.84</b>	<b>15.87</b>	<b>23.15</b>	<b>53.53</b>	<b>16.20</b>	<b>16.42</b>	<b>10.90</b>

Note: Cost Factors are determined using 2012 R. S. Means Square Foot Costs (Adjusted for Region), Construction Publishers & Consultants, Kingston, MA.

**ATTACHMENT 2**

**FACILITIES RENEWAL FORMULA - FY 2013-2014 SUMMARY  
ANNUAL RENEWAL ALLOWANCE**

<b>CATEGORY</b>	<b>GROSS SQUARE FOOTAGE-ALL INSTITUTIONS</b>	<b>SENIOR INSTITUTIONS</b>	<b>TWO-YEAR COLLEGES</b>	<b>MESC/ DAUPHIN ISLAND SEA LAB</b>	<b>TOTAL</b>
ED. & GENERAL	38,450,595	65,410,086	27,434,459	332,845	93,177,390
AUXILIARY	20,081,277	30,682,956	1,917,795	14,209	32,614,960
OTHER	1,788,991	3,596,530	516,739		4,113,269
HEALTH E&G	4,683,213	12,917,910			12,917,910
HOSPITAL	4,690,779	16,811,000			16,811,000
VET. MEDICINE	518,618	1,409,642			1,409,642
AAES/ACES	144,173	414,134			414,134
<b>TOTAL</b>	<b>70,357,646</b>	<b>131,242,258</b>	<b>29,868,993</b>	<b>347,054</b>	<b>161,458,305</b>

**FACILITIES RENEWAL FORMULA - FY 2013-2014 SUMMARY  
CUMULATIVE RENEWAL BACKLOG**

<b>CATEGORY</b>	<b>GROSS SQUARE FOOTAGE-ALL INSTITUTIONS</b>	<b>SENIOR INSTITUTIONS</b>	<b>TWO-YEAR COLLEGES</b>	<b>MESC/ DAUPHIN ISLAND SEA LAB</b>	<b>TOTAL</b>
ED. & GENERAL	38,450,595	944,683,701	384,555,414	5,461,964	1,334,701,079
AUXILIARY	20,081,277	429,215,560	27,155,935	211,797	456,583,292
OTHER	1,788,991	48,539,225	7,680,389		56,219,614
HEALTH E&G	4,683,213	168,110,269			168,110,269
HOSPITAL	4,690,779	214,180,825			214,180,825
VET. MEDICINE	518,618	20,211,364			20,211,364
AAES/ACES	144,173	9,536,479			9,536,479
<b>TOTAL</b>	<b>70,357,646</b>	<b>1,834,477,423</b>	<b>419,391,737</b>	<b>5,673,761</b>	<b>2,259,542,922</b>

Source: Alabama Commission on Higher Education. Fall 2011 Facilities Inventory.

FACILITIES RENEWAL ALLOWANCES AND BACKLOGS - FY 2013-2014  
PUBLIC SENIOR INSTITUTIONS

INSTITUTION	CATEGORY	BUILDING AREA (GSF)	ANNUAL RENEWAL ALLOWANCE (FORMULA) (\$)	CUMULATIVE RENEWAL BACKLOG (FORMULA) (\$)
ALABAMA A&M UNIVERSITY	E&G	1,156,246	3,300,912	52,599,496
	AUXILIARY	877,489	1,922,344	25,200,113
	OTHER	184,215	247,732	2,300,623
	TOTAL	2,217,950	5,470,988	80,100,232
ALABAMA STATE UNIVERSITY	E&G	1,392,026	2,802,701	40,318,293
	AUXILIARY	775,596	1,167,742	15,645,963
	OTHER	92,668	322,652	6,098,994
	MICROBIOLOGY	22,746	12,792	31,978
TOTAL	2,283,036	4,305,887	62,095,229	
AUBURN UNIVERSITY	E&G	5,872,348	13,785,793	201,579,306
	AUXILIARY	2,588,334	3,673,787	40,830,139
	OTHER			
	VET. MED.	518,618	1,409,642	20,211,364
	AAES/ACES	144,173	414,134	9,536,479
	TOTAL	9,123,473	19,283,356	272,157,288
UNIVERSITY OF ALABAMA	E&G	5,247,322	13,120,060	200,021,403
	AUXILIARY	6,306,437	9,084,712	138,139,612
	OTHER	54,657	117,484	1,112,201
	HEALTH E&G	92,196	124,547	981,012
TOTAL	11,700,612	22,446,803	340,254,229	
UNIVERSITY OF ALABAMA - B'HAM	E&G	3,189,210	6,990,114	85,689,231
	AUXILIARY	3,153,418	4,256,129	54,359,872
	OTHER	322,859	727,684	8,317,169
	HEALTH E&G	3,848,584	10,522,889	134,576,343
	HOSPITAL	3,985,275	11,885,085	128,372,041
TOTAL	14,499,346	34,381,901	411,314,656	
UNIVERSITY OF ALABAMA - H'VILLE	E&G	1,640,709	3,813,703	49,670,989
	AUXILIARY	981,138	1,186,637	13,355,337
	OTHER	179,747	318,656	3,106,163
TOTAL	2,801,594	5,318,996	66,132,488	
UNIVERSITY OF SOUTH ALABAMA	E&G	2,341,511	5,771,139	82,685,772
	AUXILIARY	1,040,751	2,685,583	42,889,346
	OTHER	395,174	1,456,980	21,455,182
	HEALTH E&G	742,433	2,270,474	32,552,913
	HOSPITAL	705,504	4,925,915	85,808,784
TOTAL	5,225,373	17,110,091	265,391,997	

FACILITIES RENEWAL ALLOWANCES AND BACKLOGS - FY 2013-2014  
PUBLIC SENIOR INSTITUTIONS

INSTITUTION	CATEGORY	BUILDING AREA (GSF)	ANNUAL RENEWAL ALLOWANCE (FORMULA) (\$)	CUMULATIVE RENEWAL BACKLOG (FORMULA) (\$)
ATHENS STATE UNIVERSITY	E&G	315,901	818,861	13,154,831
	AUXILIARY	38,748	52,388	980,296
	OTHER	39,840	39,351	393,510
	TOTAL	394,489	910,600	14,528,637
AUBURN UNIVERSITY - MONTGOMERY	E&G	619,314	1,988,845	29,393,721
	AUXILIARY	235,663	384,104	4,406,883
	OTHER	10,365	23,414	249,506
	TOTAL	865,342	2,396,363	34,050,110
JACKSONVILLE STATE UNIVERSITY	E&G	1,462,141	3,185,858	40,611,765
	AUXILIARY	777,986	1,438,141	19,047,315
	OTHER	124,026	188,861	2,963,448
	TOTAL	2,364,153	4,812,860	62,622,528
TROY UNIVERSITY	E&G	1,454,265	2,816,313	36,542,549
	AUXILIARY	1,137,099	1,720,321	23,584,555
	OTHER	17,010	57,323	953,525
	TOTAL	2,608,374	4,593,957	61,080,630
UNIVERSITY OF MONTEVALLO	E&G	698,537	2,068,568	35,395,766
	AUXILIARY	463,035	1,092,164	17,327,244
	OTHER	8,687	7,736	108,368
	TOTAL	1,170,259	3,168,468	52,831,378
UNIVERSITY OF NORTH ALABAMA	E&G	1,266,856	3,060,499	43,853,425
	AUXILIARY	502,064	1,220,271	19,330,685
	OTHER	41,897	88,657	1,480,537
	TOTAL	1,810,817	4,369,427	64,664,647
UNIVERSITY OF WEST ALABAMA	E&G	556,318	1,873,928	33,135,174
	AUXILIARY	250,534	798,633	14,118,201
	OTHER			
	TOTAL	806,852	2,672,561	47,253,375
TOTAL - SENIOR INSTITUTIONS	E&G	27,235,450	65,410,086	944,683,701
	AUXILIARY	19,128,292	30,682,956	429,215,560
	OTHER	1,471,145	3,596,530	48,539,225
	HEALTH E&G	4,683,213	12,917,910	168,110,269
	HOSPITAL	4,690,779	16,811,000	214,180,825
	VET. MED.	518,618	1,409,642	20,211,364
	AAES/ACES	144,173	414,134	9,536,479
	TOTAL	57,871,670	131,242,258	1,834,477,423

Note: Space not owned by the institution or for which facility codes, ownership codes, or construction dates were not supplied are not included in the facilities renewal calculation.

SOURCE: Alabama Commission on Higher Education, Fall 2011 Facility Inventory.

FACILITIES RENEWAL ALLOWANCES AND BACKLOGS - FY 2013-2014  
PUBLIC COMMUNITY AND TECHNICAL COLLEGES

INSTITUTION	CATEGORY	BUILDING AREA (GSF)	ANNUAL RENEWAL ALLOWANCE (FORMULA) (\$)	CUMULATIVE RENEWAL BACKLOG (FORMULA) (\$)
ALABAMA SOUTHERN COMM. COL.	E&G	293,062	922,339	14,225,940
	AUXILIARY	3,534	9,706	135,683
	OTHER			
	TOTAL	296,596	932,045	14,361,623
BEVILL COMMUNITY COLLEGE	E&G	648,101	1,823,968	26,183,235
	AUXILIARY	165,087	336,332	4,977,556
	OTHER	22,573	75,508	1,094,709
	TOTAL	835,761	2,235,808	32,255,499
BISHOP COMMUNITY COLLEGE	E&G	572,243	1,724,108	26,775,684
	AUXILIARY	21,237	61,231	999,879
	OTHER			
	TOTAL	593,480	1,785,339	27,775,563
CALHOUN COMMUNITY COLLEGE	E&G	726,605	1,350,042	18,152,449
	AUXILIARY			
	OTHER	38,160	63,665	1,157,765
	TOTAL	764,765	1,413,707	19,310,214
CENTRAL ALABAMA COMMUNITY COL.	E&G	333,444	953,003	14,887,022
	AUXILIARY	41,462	66,146	964,376
	OTHER	63,092	149,820	2,426,957
	TOTAL	437,998	1,168,969	18,278,355
CHATTAHOOCHEE VALLEY COM. COL.	E&G	185,820	261,462	2,616,544
	AUXILIARY			
	OTHER			
	TOTAL	185,820	261,462	2,616,544
DRAKE TECHNICAL COLLEGE	E&G	146,103	361,909	4,898,581
	AUXILIARY	450	1,928	36,152
	OTHER			
	TOTAL	146,553	363,837	4,934,733
ENTERPRISE STATE COMM. COLLEGE	E&G	348,133	973,380	17,003,450
	AUXILIARY	3,787	12,589	266,953
	OTHER	6,653	10,791	183,341
	TOTAL	358,573	996,760	17,453,744



FACILITIES RENEWAL ALLOWANCES AND BACKLOGS - FY 2013-2014  
PUBLIC COMMUNITY AND TECHNICAL COLLEGES

INSTITUTION	CATEGORY	BUILDING AREA (GSF)	ANNUAL RENEWAL ALLOWANCE (FORMULA) (\$)	CUMULATIVE RENEWAL BACKLOG (FORMULA) (\$)
FAULKNER COMMUNITY COLLEGE	E&G	270,136	680,825	9,419,205
	AUXILIARY	148,759	276,741	4,248,361
	OTHER	11,880	9,108	80,910
	TOTAL	430,775	966,674	13,748,477
GADSDEN COMMUNITY COLLEGE	E&G	860,189	2,383,493	35,223,273
	AUXILIARY	66,722	218,330	3,732,935
	OTHER	90,537	40,448	160,738
	TOTAL	1,017,448	2,642,271	39,116,947
INGRAM TECHNICAL COLLEGE	E&G	159,222	508,319	7,433,865
	AUXILIARY OTHER			
TOTAL	159,222	508,319	7,433,865	
JEFF DAVIS COMMUNITY COLLEGE	E&G	234,898	708,647	10,171,610
	AUXILIARY	29,982	59,810	641,115
	OTHER	7,926	24,005	379,567
	TOTAL	272,806	792,462	11,192,292
JEFFERSON STATE COMMUNITY COLL	E&G	912,892	2,207,261	33,095,960
	AUXILIARY	6,100	20,133	313,143
	OTHER			
TOTAL	918,992	2,227,394	33,409,103	
LAWSON STATE COMMUNITY COLL	E&G	610,015	1,593,879	22,879,458
	AUXILIARY	52,981	75,588	904,060
	OTHER	1,122	4,065	60,391
	TOTAL	664,118	1,673,532	23,843,909
L. B. WALLACE COMMUNITY COLLEGE	E&G	293,899	860,876	13,243,139
	AUXILIARY			
	OTHER	2,848	2,665	25,317
TOTAL	296,747	863,541	13,268,457	
MARION MILITARY INSTITUTE	E&G	235,852	585,712	8,459,390
	AUXILIARY	110,835	295,042	4,172,791
	OTHER			
	TOTAL	346,687	880,754	12,632,181

FACILITIES RENEWAL ALLOWANCES AND BACKLOGS - FY 2013-2014  
PUBLIC COMMUNITY AND TECHNICAL COLLEGES

INSTITUTION	CATEGORY	BUILDING AREA (GSF)	ANNUAL RENEWAL ALLOWANCE (FORMULA) (\$)	CUMULATIVE RENEWAL BACKLOG (FORMULA) (\$)
NORTHEAST COMMUNITY COLLEGE	E&G	328,265	820,369	12,018,571
	AUXILIARY	5,913	21,081	359,692
	OTHER	9,198	8,445	62,297
	TOTAL	343,376	849,895	12,440,560
NORTHWEST-SHOALS COMM COL	E&G	458,171	1,109,821	15,000,861
	AUXILIARY	25,858	70,058	976,472
	OTHER			
	TOTAL	484,029	1,179,879	15,977,333
REID TECHNICAL COLLEGE	E&G	100,617	274,045	4,174,064
	AUXILIARY	3,600	12,164	189,600
	OTHER			
	TOTAL	104,217	286,209	4,363,664
SHELTON COMMUNITY COLLEGE	E&G	699,675	1,420,079	13,638,190
	AUXILIARY	20,356	36,897	292,985
	OTHER	5,800	24,654	451,910
	TOTAL	725,831	1,481,630	14,383,086
SNEAD COMMUNITY COLLEGE	E&G	257,911	685,029	9,314,805
	AUXILIARY	30,644	52,007	674,263
	OTHER	12,370	22,464	304,902
	TOTAL	300,925	759,500	10,293,971
SOUTHERN UNION COMMUNITY COL	E&G	563,875	1,153,378	13,929,306
	AUXILIARY	85,471	66,721	630,527
	OTHER			
	TOTAL	649,346	1,220,099	14,559,834
TRENHOLM TECHNICAL COLLEGE	E&G	228,297	642,498	9,698,845
	AUXILIARY	4,168	13,478	217,249
	OTHER	30,557	49,798	840,663
	TOTAL	263,022	705,774	10,756,756

FACILITIES RENEWAL ALLOWANCES AND BACKLOGS - FY 2013-2014  
PUBLIC COMMUNITY AND TECHNICAL COLLEGES

INSTITUTION	CATEGORY	BUILDING AREA (GSF)	ANNUAL RENEWAL ALLOWANCE (FORMULA) (\$)	CUMULATIVE RENEWAL BACKLOG (FORMULA) (\$)
WALLACE-DOTHAN COMMUNITY COL.	E&G	494,378	935,344	11,175,547
	AUXILIARY	16,643	33,606	527,254
	OTHER			
	TOTAL	511,021	968,950	11,702,801
WALLACE-HANCEVILLE COMM. COL.	E&G	839,804	1,869,985	22,103,013
	AUXILIARY	91,596	174,302	1,845,908
	OTHER			
	TOTAL	931,400	2,044,287	23,948,921
WALLACE-SELMA COMMUNITY COL.	E&G	276,704	624,688	8,833,406
	AUXILIARY	1,440	3,905	48,980
	OTHER	15,130	31,303	450,921
	TOTAL	293,274	659,896	9,333,307
TOTAL COMMUNITY & TECHNICAL COL	E&G	11,078,311	27,434,459	384,555,414
	AUXILIARY	936,625	1,917,795	27,155,935
	OTHER	317,846	516,739	7,680,389
	TOTAL	12,332,782	29,868,993	419,391,737

Note: Space not owned by the institution or for which facility codes, ownership codes, or construction dates were not supplied are not included in the facilities renewal calculation.

SOURCE: Alabama Commission on Higher Education, Fall 2011 Facility Inventory.

FACILITIES RENEWAL ALLOWANCES AND BACKLOGS - FY 2013-2014

MESC/DAUPHIN ISLAND SEA LAB

INSTITUTION	CATEGORY	BUILDING AREA (GSF)	ANNUAL RENEWAL ALLOWANCE (FORMULA) (\$)	CUMULATIVE RENEWAL BACKLOG (FORMULA) (\$)
MESC/DAUPHIN ISL SEA LAB	E&G	136,834	332,845	5,461,964
	AUXILIARY	16,360	14,209	211,797
	TOTAL	153,194	347,054	5,673,761

SOURCE: Alabama Commission on Higher Education, Fall 2011 Facility Inventory.



## SECTION D

### DATA ELEMENTS SUPPORTING THE FUNDING FORMULAS ALL PUBLIC INSTITUTIONS

This section presents the basic data elements and those derived statistics that are inputs to the formulas used by the Alabama Commission on Higher Education in determining the ACHE Standards for funding of Alabama public institutions of higher education.



2013-2014 ACADEMIC PROGRAM INPUTS

INSTITUTION	THREE YEAR AVERAGE		SPONSORED RESEARCH	THREE YEAR AVERAGE UNWEIGHTED SEMESTER CREDIT HOURS					THREE YEAR AVERAGE HEADCOUNT BALANCE			UTILITY ALLOWANCE	GROSS SQ. FEET	3 Yr Avg. UNW/TED MILITARY CREDIT HRS	
	WEIGHTED CHP	REMEDIAL INCREMENT		LOWERDIV	UPPERDIV	G-1	G-2	LAW&OTHER	AP PLUS MILITARY	OTHER	TOTAL ALL UNITS				
DOCTORAL															
AAM	240,729	72	7,005,242	92,026	37,650	11,007	4,031		5,285		5,285	2,895,240	1,156,246		
ASU	266,754	8,370	1,770,445	104,282	42,813	11,293	4,345		5,525	13	5,538	6,202,976	1,427,941	439	
AU	1,270,549		64,631,167	362,559	216,834	34,555	28,212		23,638	931	24,569	19,885,895	6,157,228	2,093	
UA	1,392,065	2,468	33,548,161	455,580	248,382	56,538	23,853	16,100	30,639	101	30,740	16,080,719	5,356,302	1,447	
UAB	536,231	3,966	11,747,065	173,729	86,287	36,247	9,451		12,095	5,801	17,896	7,987,796	1,225,745	493	
UAH	388,260	1,259	75,999,371	87,189	71,228	18,697	4,084		7,619		7,619	4,780,694	1,640,709	165	
USA	382,690		13,777,374	185,385	81,851	15,304	2,061		9,222	4,488	13,710	7,949,604	2,486,766	691	
USA-Bald	3,651			805	2,038	121			63	13,647	13,710	75,642	17,421		
Subtotal Doctoral	4,480,929	16,135	208,478,825	1,461,555	787,083	183,762	76,037	16,100	94,086			65,858,566	19,468,358	5,328	
UAB-HLTH	781,520		73,102,009	3,818	29,442	49,478	22,539		3,792	14,104	17,896	10,145,588	1,923,569		
UAB-Opt	9,532		1,473,661		21	249	464		24	17,872	17,896	338,111	62,706		
USA-HLTH	504,107			8,743	34,487	34,216	9,998		3,886	9,824	13,710				
Health Related	1,295,159		74,575,670	12,561	63,950	83,943	33,001		7,702			10,483,699	1,986,275		
UA-Medicine	73,040		70				3,250	900	101	30,639	30,740	284,473	92,753		
UAB-Medicine	759,116		145,333,961			14,280	13,700	22,225	1,519	16,377	17,896	14,279,593	3,330,657		
UAB-Dentistry	134,047		5,335,351			4,520	4,920	860	279	17,617	17,896	2,110,547	369,957		
UAB-Optometry	100,714		5,992,126			3,760	4,000	240	187	17,709	17,896	1,205,570	212,850		
USA-Medicine	286,499		2,262,158			6,040	7,400	5,975	539	13,171	13,710	1,760,309	742,433		
AU-Vet Med	192,816					9,600	7,280	160	425	24,144	24,569	1,702,547	550,986		
AU-Pharmacy	228,599					10,520	9,780		506	24,063	24,569				
Prof. Health	1,774,831		158,923,666			48,720	50,330	30,360	3,556			21,343,039	5,299,636		
ASU-Microbiology	3,062						174		13	5,525	5,538	177,100	22,746		
Subtotal Doctoral Other	3,062						174		13			177,100	22,746		
TOTAL DOCTORAL	7,553,981	16,135	441,978,161	1,474,116	851,033	316,425	159,542	46,460	105,357			97,862,404	26,777,015	5,328	
NONDOCTORAL															
ATHENS	117,191				82,259				3,469		3,469	1,218,668	291,199		
AUM	175,013	6,951	1,202,178	72,238	40,280	14,414	160		5,141		5,141	1,788,579	619,314	357	
JSU	330,075	4,168	299,367	115,896	87,080	22,497			8,938	20	8,958	4,364,010	1,467,209	1,561	
JSU-Gad	2,651				2,149				20	8,938	8,958				
TROY	554,814	16,021	67,189	212,960	132,495	46,267	303		14,900	430	15,330	4,478,800	1,412,192	776	
UM	114,577			44,590	27,601	9,139			3,143		3,143	1,713,311	674,668		
UNA	250,004	1,372	426,250	98,144	64,640	15,727			7,063		7,063	3,866,714	1,273,999	143	
UWA	176,467	1,240		34,431	15,857	48,330			3,928		3,928	1,704,140	559,468	2	
Subtotal Nondoctoral	1,720,792	29,752	1,994,984	578,259	452,361	156,374	463		46,602			19,134,222	6,298,049	2,839	
TROY-FR	2,874	129		1,267	720	243			146	15,184	15,330				
TROY-Max	3,761	420		1,204	392	751			284	15,046	15,330				
TOTAL NONDOC	1,727,427	30,301	1,994,984	580,730	453,473	157,368	463		47,032			19,134,222	6,298,049	2,839	
GRAND TOTAL	9,281,408	46,436	443,973,145	2,054,846	1,304,506	473,793	160,005	46,460	152,389			116,996,626	33,075,064	8,167	

HEADCOUNT FOR AP PURPOSES: PUBLIC SENIOR UNIVERSITIES

<u>Doctoral</u>	<u>Preliminary Fall 2010 Headcount Enrollment</u>	<u>Preliminary Fall 2011 Headcount Enrollment</u>	<u>Preliminary Fall 2012 Headcount Enrollment</u>	<u>Three Year Average Headcount Enrollment</u>
Alabama A&M University	5,814	5,095	4,945	5,285
Alabama State University	5,376	5,410	5,789	5,525
ASU-Microbiology	15	11	14	13
Auburn University	23,524	23,762	23,629	23,638
University of Alabama	29,292	30,625	32,001	30,639
Univ. of Alabama at Birmingham	11,891	11,900	12,495	12,095
Univ. of Alabama in Huntsville	7,605	7,619	7,634	7,619
Univ. of South Alabama-Main	9,498	9,235	8,933	9,222
Baldwin	36	73	80	63
Doctoral On-Campus	93,051	93,730	95,520	94,099
UAB Health	3,966	3,904	3,505	3,792
UAB Vision Science	23	23	25	24
USA Health	3,829	3,915	3,913	3,886
Doctoral Hlth Related	7,818	7,842	7,443	7,702
AU-PHARM	494	510	520	508
AU-VET MED	405	432	453	430
UA-MED	103	100	99	101
UAB-MED	1,461	1,506	1,590	1,519
UAB-Dentistry	289	277	270	279
UAB-Optometry	188	185	187	187
USA-MED	530	543	543	539
Doctoral First Prof Hlth	3,470	3,553	3,662	3,563
Total Doctoral	104,339	105,125	106,625	105,364
<u>Nondoctoral</u>				
Athens State University	3,604	3,487	3,317	3,469
Auburn Univ. in Montgomery	5,486	5,048	4,889	5,141
Jacksonville State Univ.	9,048	9,025	8,742	8,938
Gadsden	22	7	30	20
Troy University	15,604	15,098	13,999	14,900
University of Montevallo	3,121	3,147	3,160	3,143
University of North Alabama	7,150	7,098	6,942	7,063
University of West Alabama	4,090	3,857	3,838	3,928
Nondoctoral On Campus	48,125	46,767	44,917	46,602
TROY -Ft. Rucker	195	150	92	146
TROY -Maxwell	318	250		284
Total Nondoctoral	48,638	47,167	45,009	47,032
<b>TOTAL</b>	<b>152,977</b>	<b>152,292</b>	<b>151,634</b>	<b>152,396</b>

SOURCE: Alabama Commission on Higher Education's Preliminary Opening Fall Enrollment Report.



Unweighted Semester Credit Hours - Remedial Courses  
 FY 2013-2014 Funding Standard  
 Public Senior Institutions

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	<u>2009-10</u>	<u>2010-11</u>	<u>2011-12</u>	<u>3 Year Average</u>
<u>Doctoral</u>				
Alabama A&M University	63			63
Alabama State University	7,278			7,278
Auburn University				
University of Alabama	1,692	2,298	2,448	2,146
University of AL at Birmingham	3,336	3,394	3,618	3,449
University of AL in Huntsville	1,212	1,101	972	1,095
University of South Alabama				
Total Doctoral	13,581	6,793	7,038	9,137
<u>Nondoctoral</u>				
Athens State University				
Auburn University in Montgomery	6,049	8,418	3,664	6,044
Jacksonville State University	3,788	3,674	3,409	3,624
Troy University	14,844	13,629	13,320	13,931
Troy University-Ft Rucker	123	117	96	112
Troy University-Maxwell	498	501	96	365
University of Montevallo				
University of North Alabama	1,674	1,047	858	1,193
University of West Alabama	1,236	1,242	756	1,078
Total Nondoctoral	28,212	28,628	22,199	26,346
<b>Total Senior Institutions</b>	<b>41,793</b>	<b>35,421</b>	<b>29,237</b>	<b>35,484</b>

Includes credit hours for Instructional activities of a remedial nature generated from instructional courses designed for students deficient in the general competencies necessary for a regular postsecondary curriculum and educational setting. Does not include Basic Skills credit hours.

SOURCE: Alabama Commission on Higher Education, Credit Hour Production Reports.

Utilities Allowances FY 2013-2014 Budget Formula  
Senior Institutions  
Education and General Space Only

Institution	Institutional Utilities Allowance	E&G Space June 30, 2012 (GSF)	Maximum Purch Util Allowance	Utilities O&M Expended FY 2011-12 (\$)	Total Purch Util Allowance
AAMU	2.504	1,156,246	2,895,240		\$2,895,240
ASU	4.344	1,427,941	6,202,976		6,202,976
ASU-MICRO	7.786	22,746	177,100		177,100
AU	3.090	6,157,228	19,025,835	860,060	19,885,895
AU-Vet Med	3.090	550,986	1,702,547		1,702,547
UA	2.701	5,356,302	14,467,372	1,613,347	16,080,719
UA-Medical	3.067	92,753	284,473		284,473
UAB	6.064	1,225,745	7,432,918	554,878	7,987,796
UAB-Optics	5.392	62,706	338,111		338,111
UAB-Health	4.827	1,923,569	9,285,068	860,520	10,145,588
UAB-Medical	3.969	3,330,657	13,219,378	1,060,215	14,279,593
UAB-Dentistry	5.307	369,957	1,963,362	147,185	2,110,547
UAB-Optometry	5.392	212,850	1,147,687	57,883	1,205,570
UAH	2.860	1,640,709	4,692,428	88,266	4,780,694
USA	2.703	2,486,766	6,721,728	1,227,876	7,949,604
USA-BC	4.342	17,421	75,642		75,642
USA-Medical	2.371	742,433	1,760,309		1,760,309
Doctoral		26,777,015	91,392,172	6,470,230	97,862,404
ATHENS	4.185	291,199	1,218,668		1,218,668
AUM	2.888	619,314	1,788,579		1,788,579
JSU	2.939	1,467,209	4,312,127	51,883	4,364,010
TROY	3.165	1,412,192	4,469,588	9,212	4,478,800
UM	1.969	674,668	1,328,421	384,890	1,713,311
UNA	2.972	1,273,999	3,786,325	80,389	3,866,714
UWA	3.046	559,468	1,704,140		1,704,140
Nondoctoral		6,298,049	18,607,847	526,374	19,134,222
<b>Total</b>		<b>33,075,064</b>	<b>110,000,019</b>	<b>6,996,604</b>	<b>116,996,626</b>

UTILITIES CAPITAL REPLACEMENT ALLOWANCE - CAPITAL EXPENDITURES  
 SENIOR INSTITUTIONS - E&G SPACE ONLY  
 2007-2008 - 2011-2012

INSTITUTIONS	UTILITIES CAPITAL EXPENDITURES 2007-2008 (\$)	UTILITIES CAPITAL EXPENDITURES 2008-2009 (\$)	UTILITIES CAPITAL EXPENDITURES 2009-2010 (\$)	UTILITIES CAPITAL EXPENDITURES 2010-2011 (\$)	UTILITIES CAPITAL EXPENDITURES 2011-2012 (\$)	CAPITAL ALLOW. (20% OF EACH YEARS TOTALS) (\$)
A&M						
ASU						
ATHENS						
AU	3,396,330	6,880,637	1,239,832	1,628,234	879,244	2,804,855
AUM						
JSU			148,635	82,789	60,909	58,467
TROY						
UA	5,257,503	1,948,279	575,929	5,090,565	17,549,313	6,084,318
UAB-UNIV. COL.					118,580	23,716
UAB-OPTOMETRY	25,296	27				5,065
UAB-OPTICS						
UAB-DENTAL	73,192					14,638
UAB-MEDICAL	427,013	25,320				90,467
UAB-HEALTH	545,410	42,625				117,607
UAH				103,950	33,000	27,390
UM					268,359	53,672
UNA		25,410				5,082
USA	397,602	349,605	146,561	181,473	82,056	231,459
UWA						
<b>TOTAL</b>	<b>10,122,348</b>	<b>9,271,903</b>	<b>2,110,957</b>	<b>7,087,011</b>	<b>18,991,461</b>	<b>9,516,736</b>

Source: Alabama Commission on Higher Education, Utilities Replacement Reports.

ALABAMA PUBLIC FOUR-YEAR UNIVERSITIES  
Sponsored Research Dollars 2010-2011  
(For the 2013-2014 AP Formula)

<u>DOCTORAL</u>	<u>Research Amount</u>	<u>5% of Res. Amount</u>
Alabama A&M University	7,005,242	350,262
Alabama State University	1,770,445	88,522
Auburn University	64,631,167	3,231,558
University of Alabama		
- Main	33,548,161	1,677,408
- Medicine	70	4
UA: Subtotal	33,548,231	1,677,412
Univ. of AL in Birmingham		
-Academic Affairs	11,747,065	587,353
-Health	73,102,009	3,655,100
-Physiological Optics	1,473,661	73,683
-Dentistry	5,335,351	266,768
-Medicine	145,333,961	7,266,698
-Optometry	5,992,126	299,606
UAB: Subtotal	242,984,173	12,149,208
University of AL in Huntsville	75,999,371	3,799,969
University of South Alabama		
-Main	13,777,374	688,869
-College of Medicine	2,262,158	113,108
USA: Subtotal	16,039,532	801,977
 <u>NONDOCTORAL</u>		
Athens State University		
Auburn Univ. at Montgomery	1,202,178	60,109
Jacksonville State University	299,367	14,968
Troy University	67,189	3,359
University of Montevallo		
University of North Alabama	426,250	21,313
University of West Alabama		
<b>TOTAL</b>	<b>\$443,973,145</b>	<b>\$22,198,657</b>

SOURCE: Alabama Comm. on Higher Education Sponsored Research Survey.