### Report on the

# **University of Alabama in Huntsville**

Huntsville, Alabama

October 1, 2007 through September 30, 2008

**Filed: October 23, 2009** 



# Department of Examiners of Public Accounts

50 North Ripley Street, Room 3201 P.O. Box 302251

Montgomery, Alabama 36130-2251 Website: www.examiners.alabama.gov

Ronald L. Jones, Chief Examiner

Ronald L. Jones Chief Examiner

### State of Alabama

Department of

### **Examiners of Public Accounts**

P.O. Box 302251, Montgomery, AL 36130-2251 50 North Ripley Street, Room 3201 Montgomery, Alabama 36104-3833 Telephone (334) 242-9200 FAX (334) 242-1775

Honorable Ronald L. Jones Chief Examiner of Public Accounts Montgomery, Alabama 36130

### Dear Sir:

Under the authority of the *Code of Alabama 1975*, Section 41-5-21, we submit this report on the results of the examination of the University of Alabama in Huntsville, Huntsville, Alabama, for the period October 1, 2007 through September 30, 2008.

Sworn to and subscribed before me this the 9 day of October, 2009.

Jenda Deller

Sworn to and subscribed before me this the day of letcher, 2009.

Anda Della

Notary Public

Respectfully submitted,

Mister Beam

Mistie Beam

Examiner of Public Accounts

Terry Wilhite

Examiner of Public Accounts

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# Table of Contents Page Summary A Contains items pertaining to state and federal legal compliance, University operations, and other matters. Comments B Contains information pertaining to the history of the University. Additional Information 1 Exhibit #1 Board Members and Officials – a listing of the Board members and officials. 2



# Department of **Examiners of Public Accounts**

### **SUMMARY**

### University of Alabama in Huntsville October 1, 2007 through September 30, 2008

The University of Alabama in Huntsville ("UAH") offers 61 degree-granting programs, including 30 bachelor's degree programs, 18 Master's degree programs, and 13 Ph.D. programs through its five colleges: Business Administration, Liberal Arts, Engineering, Nursing, and Science.

The firm of PricewaterhouseCoopers LLP, Certified Public Accountants, conducted a financial audit for the fiscal year ended September 30, 2008.

This report presents the results of an examination of UAH and a review of compliance by UAH with applicable laws and regulations of the State of Alabama in accordance with the requirements of the Department of Examiners of Public Accounts under the authority of the *Code of Alabama 1975*, Section 41-5-14.

Tests performed during the examination did not disclose any significant instances of noncompliance with applicable state and local laws and regulations.

The following officials/employees were invited to an exit conference to discuss the results of the examination: Dr. David Williams, President; Ray Pinner, Vice-President for Finance and Administration; and Robert Leonard, Controller. The following individuals attended the exit conference: Ray Pinner, Vice-President for Finance and Administration; Robert Leonard, Controller; and Ms. Donna Reed, Director of Internal Audit. Representing the Department of Examiners of Public Accounts were Ms. Lesley Locklear, Audit Manager, Ms. Mistie Beam and Terry Wilhite, Examiners.

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# Department of **Examiners of Public Accounts**

### **COMMENTS**

### University of Alabama in Huntsville October 1, 2007 through September 30, 2008

The University of Alabama in Huntsville ("UAH") began in January 1950 as the Huntsville Center, a branch of the University of Alabama located in Tuscaloosa, Alabama. Morton Hall, UAH's oldest building, housed academic programs for the Huntsville Center's original 137 students.

Before this Center was established, however, efforts were underway to place major portions of the U. S. Army's rocket research and development activities as well as NASA's Marshall Space Flight Center in the Huntsville area.

NASA wanted to provide educational opportunities for their employees, and major aerospace corporations began to locate in the area that was fast becoming a hub of the U. S. space program. The need for advanced education in science and technology was acknowledged and supported by individual citizens and organizations, alike.

Rocket scientist Dr. Werner von Braun traveled to Tuscaloosa along with NASA and Army officials on a mission to encourage the University of Alabama to establish a research institute in Huntsville. The purpose of such an institute would be to accelerate the educational program in Huntsville and to provide theoretical and experimental research pertinent to aerospace science and missile fields. Dr. Werner von Braun made a persuasive presentation before the Alabama Legislature in June 1961 requesting a \$3 million bond issue to support the institute. Both houses of the Alabama Legislature unanimously passed the bill. The City of Huntsville and Madison County purchased an additional 200 acres and the Research Institute was constructed.

Master's level degrees were offered in 1963 and the baccalaureate level in 1964. By 1969, UAH was made an autonomous university, part of the University of Alabama System with campuses in Tuscaloosa, Birmingham and Huntsville. Each campus had their own president, and Dr. Benjamin Graves served as UAH's first president from 1970 to 1978.

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Though its roots were planted firmly in the space program, UAH included study in the humanities as an important part of its undergraduate programs. English and history were among the first programs offered at the baccalaureate level. Early enrollments in the humanities and liberal arts kept pace with, and sometimes exceeded, those in science and engineering during the 1970s.

Today, more than 7,000 students take advantage of the academic and professional majors offered by UAH through the colleges of Liberal Arts, Administrative Science, Engineering, Science, Nursing, and Graduate Studies.

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Additional Information

## **Board Members and Officials** October 1, 2007 through September 30, 2008

<b>Board Members</b>			Term Expires
Hon. Bob Riley	Ex-Officio	Governor of Alabama Alabama State House Montgomery, AL 36130	
Hon. Joseph B. Morton	Ex-Officio	State Superintendent of Education Montgomery, AL 36104	
Hon. Angus R. Cooper, II	Member	P. O. Box 1566 Mobile, AL 36633	2009
Hon. Marietta M. Urquhart	Member	725 Executive Park Drive Mobile, AL 36606	2012
Hon. Joseph C. Espy, III	Member	Drawer 5130 Montgomery, AL 36103	2013
Hon. James W. Wilson, III	Member	2660 East Chase Lane; Suite 100 Montgomery, AL 36117	2010
Hon. Vanessa Leonard	Member	P. O. Box 97 Rockford, AL 35136	2011
Hon. Sidney L. McDonald (Retired 09/19/2008)	Member	P. O. Box 177 Arab, AL 35016	
Hon. Finis E. St. John, IV	Member	P. O. Box 2130 Cullman, AL 35056	2013
Hon. Peter L. Lowe (Retired 09/19/2008)	Member	307 Franklin Street Huntsville, AL 35801	

## **Board Members and Officials** October 1, 2007 through September 30, 2008

<b>Board Members</b>			Term Expires
Hon. Joe H. Ritch	Member	305 Church Street; Suite 800 Huntsville, AL 35801	2011
Hon. Paul W. Bryant, Jr.	Member	P. O. Box 020152 Tuscaloosa, AL 35402	2010
Hon. John J. McMahon, Jr.	Member	1927 1 <sup>st</sup> Avenue North; 5 <sup>th</sup> Floor Birmingham, AL 35203	2010
Hon. Karen P. Brooks	Member	P. O. Box 1700 Tuscaloosa, AL 35403	2009
Hon. John H. England, Jr.	Member	714 Greensboro Avenue; Room 225 Tuscaloosa, AL 35401	2009
Hon. Andria S. Hurst	Member	2707 Bush Boulevard Birmingham, AL 35208	2013
<u>Officials</u>			
Chief Administrative and Fiscal Officials The University of Alabama			
Dr. David Williams	President	University of Alabama in Huntsville 301 Sparkman Drive 370 Shelbie King Hall Huntsville, AL 35899	
Mr. Ray Pinner	Vice-President for Finance and Administration	University of Alabama in Huntsville 301 Sparkman Drive 357 Shelbie King Hall Huntsville, AL 35899	

## **Board Members and Officials** October 1, 2007 through September 30, 2008

### **Officials**

### **The University of Alabama System**

<b>Administrative Officials</b>		
Dr. Malcolm Portera	Chancellor	The University of Alabama System 401 Queen City Avenue Tuscaloosa, AL 35401
Dr. Charles R. Nash	Vice-Chancellor for Academic Affairs	The University of Alabama System 401 Queen City Avenue Tuscaloosa, AL 35401
Mr. A. Newton Hamner	General Auditor	The University of Alabama System 401 Queen City Avenue Tuscaloosa, AL 35401
Mr. Michael A. Bownes	Secretary of the Board of Trustees and Executive Assistant to the Chancellor	The University of Alabama System 401 Queen City Avenue Tuscaloosa, AL 35401
Mr. C. Ray Hayes	Vice-Chancellor for Financial	The University of Alabama System 401 Queen City Avenue

**Affairs** 

Tuscaloosa, AL 35401