



THE UNIVERSITY OF ALABAMA IN HUNTSVILLE
PROCUREMENT SERVICES
301 SPARKMAN DRIVE
HUNTSVILLE, ALABAMA 35899
PHONE (256) 824-6484

DATE
06/14/2017

BID NUMBER
B002503

ALL BIDS WILL BE PUBLICLY OPENED ON THE OPENING DATE DESIGNATED AT THE UNIVERSITY OF ALABAMA IN HUNTSVILLE, PROCUREMENT SERVICES, BUSINESS SERVICES BLDG., HUNTSVILLE, ALABAMA 35899. BIDS RECEIVED AFTER THE SPECIFIED TIME ON THE OPENING DATE WILL NOT BE CONSIDERED.

RESPONSE DUE BY:
06/29/2017
1:30 PM

REQUEST FOR FORMAL BID

WHEN USING FEDEX, UPS, OR ANY EXPRESS PACKAGING/SHIPPING, THE BID NUMBER MUST BE CLEARLY PRINTED ON THE AIR BILL.

CONTACT
ESTERLEY PATTERSON

EMAIL
EMP0009@UAH.EDU

VENDOR NO.
«vendornum»

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ALL BIDS MUST BE SIGNED, SEALED, AND RETURNED IN AN ENVELOPE WITH THE BID NUMBER AND OPENING DATE NOTED ON FRONT. FORWARD ALL BIDS TO THE ADDRESS INDICATED ABOVE. FAILURE TO COMPLY WILL RESULT IN A "NO BID" RESPONSE IN ACCORDANCE WITH ALABAMA COMPETITIVE BID LAW 41-16-24 sub-part b.

THE ABOVE BID NUMBER MUST APPEAR ON ALL BIDS AND RELATED CORRESPONDENCE

OR EQUAL, REFER TO GENERAL CONDITIONS ON ATTACHED SHEET

NO	QUANTITY	UNIT	DESCRIPTION	UNIT PRICE	EXTENSION
01			THE UNIVERSITY OF ALABAMA IN HUNTSVILLE REQUESTS BIDS FOR A 2017 FALL SLEEPER BUS FOR THE HOCKEY TEAM AS PER THE ATTACHED SPECIFICATIONS.		
02			NO BIDS ARE ACCEPTED BY FAX AWARD: NO AWARD INFORMATION WILL BE MADE AVAILABLE BY TELEPHONE, FAX, MAIL, OR EMAIL. ONCE THE BID IS AWARDED, THE AWARD INFORMATION AND TABULATION WILL BE POSTED TO OUR WEBSITE: WWW.UAH.EDU/BUSINESS-SERVICES CLICK ON "VENDORS", THEN "BID AWARDS". ALL VENDORS ARE REQUESTED TO RETURN THEIR "NO BID" RESPONSE BY MAIL. ONE HARD COPY OF THE BID IS REQUESTED. ONE ELECTRONIC COPY WITH FLASH DRIVE IS ALSO REQUESTED.		

SHOULD A PURCHASE ORDER BE ISSUED, THE FOREGOING AND THE TERMS AND CONDITIONS ON THE ATTACHED SHEET SHALL BE APPLICABLE AND BINDING UPON THE VENDOR.
I ACKNOWLEDGE THAT I HAVE SIGNATURE AUTHORITY TO SIGN ON BEHALF OF THE COMPANY AND HEREBY AGREE TO ALL GENERAL CONDITIONS OF THIS BID REQUEST.

TOTAL ➡

SIGNATURE _____
COMPANY REPRESENTATIVE

DATE _____
An Affirmative Action/Equal Opportunity Institution

PAGE 1

BID SPECIFICATIONS (B002503)

Any contract resulting from this request will be made available to other eligible entities. This may include but is not limited to; The University of Alabama System, comprised of The University of Alabama; The UAB Enterprise, consisting of The University of Alabama at Birmingham, the UAB Health System and their related foundations and affiliates, and The University of Alabama, Tuscaloosa, AL; and other state entities. Contracts resulting from the award of this request cover shipments by any entity listed above. Each entity will generate its own purchase orders, payments, etc. and delivery must be made according to the instructions on the purchase order.

The thrust of the contract is to obtain greater volume price discounts by combining the volume of purchases from participating entities within the State of Alabama.

The University of Alabama in Huntsville reserves the right to award this bid on a total low, group, or line item basis, and to choose those trips that its budget will cover, and to make minor adjustments in departure dates and times.

Vendors are to list any additional charges that are not already covered in this bid.

All trips are roundtrip unless otherwise indicated. Departure times are approximated and subject to change. All trips will be leaving from the University of Alabama in Huntsville's campus and returning to the same location, unless otherwise specified.

Tournament rate is contingent upon the teams moving forward within their respective sport. Therefore these trips will be quoted on a per day basis. Tournament trips will be noted.

It is the vendor's responsibility to confirm charter bookings with the Head Coach within 72 hours after receipt of Purchase Order or Procurement Card. The vendor must also confirm again with the Head Coach within (7) days prior to departure date. Contact person will be provided with the Purchase Order.

Vendor is required to arrive at least **ONE HOUR** prior to scheduled departure time at Spragins Hall, or other designated point. It is the vendor's responsibility to notify the appropriate coach of any changes at least 48 **HOURS** in advance, such as sending another company to pick up the team.

The team will be responsible for the driver's hotel accommodations and complimentary meals while on the trip.

Absolutely no third party bidding. All vendors that respond to this bid must have their own fleet of motor Buses.

Personnel:

- Vendor shall provide competent, amicable and professional drivers.
- Vendor shall ensure that the driver has a functioning cellular phone at all times.
- Vendor is required to maintain and enforce a drug policy and a random testing program.
- Drivers that are assigned to provide services to the University of Alabama in Huntsville shall have a valid Commercial Driver's License and a valid Medical Card, as required by the University.
- Drivers shall provide transportation to and from the airport, hotel, arena, recreational facilities, or any other destination that is required by the University of Alabama in Huntsville
- Drivers may not smoke or read maps while operating the vehicle.
- Drivers should obtain directions and map out their travel for all destinations prior to departing, including travel within a city. It is the Vendor's responsibility (not the Coaches) to provide maps and/or directions.
- Drivers are at no time to discuss or request gratuities from the Coaches, Staff or students.

Coach Equipment:

- Vendor must be licensed and legally able to provide service in the State(s) through which travel is required.
- The University of Alabama in Huntsville, requires that all vehicles used to transport teams, be in **EXCELLENT**, working condition, with fully operational equipment to maintain passenger comfort. Therefore, all Buses used may generally be no more than 6 model years old for extended or lengthy trips (>100 miles, or 2 to 3 hours), and no more than 9 model years old for shorter trips.
- All Buses are required to have **PROPERLY FUNCTIONING** heating and air-conditioning, television, WIFI and stereo system on-board throughout the cabin. (Please advise the University if any of the above-mentioned equipment is not available). If these items cease to function at a comfortable or acceptable level during the trip, the University will impose a penalty of up to twenty-five percent (25%) of the total trip. The University's office of Procurement Services will be the sole judge of any penalties that are applied, based on the circumstances.
- All Buses must have a large, flush-type Restroom facility.
- Any back-up or replacement buses that are used must meet the Bid Specifications.
- **Facilities are required to accommodate thirty-five (35) large Hockey bags with the Team's gear, or an appropriate enclosed trailer.**
- **All Sleeper Buses must have a Satellite dish, 26 Bunks or more, DVD players in bunks, two refrigerators, two lounges and a microwave.**
- Operation of overhead AC/Heat while bus is in motion.

Performance:

- The awarded vendor will be required to provide the University with a **\$1000.00 Performance Bond** prior to issuance of a Purchase Order. The Performance Bond will only be required if they have been awarded three (3) or more trips totaling \$5,000 or more. When and if all services have been satisfactorily completed, the bond will be returned to the vendor.
- Vendor must submit, a copy of their **License to Charter**, with their bid submissions.
- Vendor is required to use their company's Roadside Service policy, should there be a mechanical failure on the bus.
- If a Bus is provided that does not meet the Bid Specifications, the University may impose a twenty-five percent (25%) penalty.

FEDERAL "Satisfactory" Rating:

All Charter Vendors are required to maintain a "Satisfactory" DOT Safety Rating. The Vendor is required to immediately notify the University of any change to its status.

Auto Liability Insurance:

- **Vendor must include with bid submissions proper insurance coverage with UAH to be named as an Additional Insured. A minimum of \$5,000,000.00 in Auto Liability Insurance, is required.**
- Proof of Workers' Compensation is required.

Consistent Standards:

- The University of Alabama in Huntsville, advises that all Safety Standards should be met.

Fatigue Issues:

- Vendors must affirm (by signing below) that they will strictly adhere to all federal and other standards related to limits on driving and on standby time.

Vendor Name _____

Vendor Signature_____

BUS BID B002503

CHANGE FEE

Quote a Fee in the event it becomes necessary to change the departure time within a 24-hour period after the Notice of Award or receipt of the Purchase Order: \$_____.

In addition to the Change Fee, the University requests that the successful bidder be willing to adjust the price in the event the trip dates are shortened or lengthened.

AGREE_____ DO NOT AGREE_____ (Please initial appropriate response)

INCLEMENT WEATHER ALLOWANCE

In the event a game is cancelled due to the weather, the University requests that the successful bidder will not charge a Fee for cancellation and (provided that there is a bus available at that time) provide the same pricing for the re-scheduled trip to the same location, with no additional Fees.

QUESTIONS

All questions are to be directed to Esterley Patterson via email (emp0009@uah.edu) unless otherwise stated in the specifications.

The University of Alabama in Huntsville, request bids for the Sleeper Bus (B002503) to transport the Athletic Hockey team to the following locations on the dated listed below.

SPECIAL NOTE: Refer to page 3 for Bus requirements

							Price Quote
Dates of Travel	Depart Time	Return Time	Destination	Bus Size	Video		
1. 10/05/2017 – 10/08/17	6:00 PM	10:00 PM	SOUTH BEND, IN	45' Prevost	Y		\$ _____
2. 10/19/17 – 10/22/17	6:00 PM	10:00 PM	HOUGHTON, MI	45' Prevost	Y		\$ _____
3. 12/07/17 – 12/10/17	8:00 PM	10:00 PM	BOWLING GREEN, OH	45' Prevost	Y		\$ _____
4. 11/30/17 – 12/03/17	6:00 PM	10:00 PM	MARQUETTE, MI	45' Prevost	Y		\$ _____
5. 3/1/18 – 3/5/18			TBD	45' Prevost	Y		\$ _____
6. 3/8/18 – 3/12/18			TBD	45' Prevost	Y		\$ _____
7. 3/16/18 – 3/18/18			TBD	45' Prevost	Y		\$ _____

WE MAY REQUEST QUOTES FOR “CONDITIONAL TRIPS” IF NEEDED IN MARCH 1 – 5TH AND MARCH 8th – 12TH, AND MARCH 16th – 18th, 2018 IN THE EVENT OF PLAY-OFFS, SCHEDULED FOR THAT PERIOD.

GENERAL CONDITIONS

1. **Award:** The University of Alabama in Huntsville reserves the right to accept or to reject any or all bids and is not necessarily bound to accept the lowest bid if that bid is contrary to the best interest of the University. In making an award, intangible factors such as bidder's service, integrity, facilities, equipment, reputation, and past performance will be weighed along with the quality displayed in the samples submitted. Bids may be awarded either item by item, in products groups, or all or none, whichever appears to be in the best interest of the University. The University reserves the right to waive any or all formalities.
2. **Bid Withdrawal:** No bids may be withdrawn without approval from The University of Alabama in Huntsville Procurement Services. Any requests for withdrawal must be in writing to Procurement Services within five (5) days after opening date with justification for reason of withdrawal. More than two (2) such requests could result in removal from our bid list. No bid may be withdrawn after the issuance of purchase order. If a withdrawal is made after the purchase order is issued, the vendor will be considered in default. Refer to "Default of Contractor".
3. **Prices and Payment Terms:** Bidders should quote applicable cash discounts. The University will not take into consideration in bid evaluation any cash discount of less than thirty (30) days duration. However, we will take advantage of all discounts for which we are eligible. Identify these discounts in your bid response. Bids containing "payment in advance" or "COD" requirements may be rejected.
4. **Applicable Law:** It is agreed this quotation is valid to the extent that it does not violate the constitution or the laws of the State of Alabama.

Bidder represents and warrants that all article and services covered by this bid meet or exceed the safety standards established and promulgated under the Federal Occupational Safety and Health Act of 1970, No. 2006, and its regulations in effect or proposed as of the date of this bid.

The furnishing of materials, supplies, equipment or service to The University of Alabama in Huntsville under this purchase order, contract, solicitation for bids, or construction specification constitutes assurance by the vendor or contractor of his compliance with applicable provisions of and pertinent regulations promulgated under Executive Order 11246, date September 28, 1965 issued by the President of the United States of America, and Public Law 88-352, 88th Congress, the "Civil Rights Act of 1964".

5. **Non-Collusion:** Any agreement or collusion among bidders or prospective bidders in restraint of freedom of competition, by agreement to bid at a fixed price or to refrain from bidding, or otherwise, shall render the bids of such bidders void. Each bidder certifies that he has not been a party to such an agreement by signing this bid.
6. **New Products:** Unless specifically called for in the bid, all products for purchase must be new, never previously used, and the current model and/or packaging. No remanufactured, demonstrator, used, or irregular product will be considered for purchase unless otherwise specified in the bid. The manufacturer's standard warranty will apply unless otherwise specified in the bid. All requests should be supplied complete, ready to be installed, including all cabling and connectors where applicable.
7. **Bonds:** Bid and performance security bond, when required will be indicated.
8. **Bid Submission:** Failure to submit a bid on the official UAHuntsville form provided for that purpose shall be a cause for rejection of the bid. Return of the complete document is required. Modification of or additions to any portion of the solicitation may be cause for rejection of the bid; however, UAHuntsville reserves the right to decide, on a case by case basis, in its sole discretion, whether or not to reject such a bid as non-responsive.

All information shall be entered in ink or typewritten in the appropriate space on the form. Mistakes may be crossed out and corrections inserted before submission of your bid. Corrections shall be initialed in ink by the person signing the bid.

All bids must be signed. Failure to do so will result in rejection of bid.

9. **Delivery:** Time of delivery shall be stated as the number of calendar days following receipt of the order by the vendor, to receipt of the goods by The University of Alabama in Huntsville.

Delivery time may be a criterion in awarding bids. Specify earliest possible delivery after receipt of order.

Failure to deliver within the time vendor specified in the bid will constitute a default and may cause cancellation of the contract. Refer to "Default of Contractor".

All prices quoted are to be F.O.B. delivered to The University of Alabama in Huntsville, Central Receiving Building, 301 Sparkman Drive, Huntsville, Alabama, 35899 (unless another F.O.B. point is stated by the University on bid form). The successful bidder must assume all responsibility for damage in transit. When installation is required, it will be stated. If you are not quoting a delivered price, indicate your shipping point, and provide shipping cost for evaluation purposes.

10. **Bid Terms:** Show unit prices, extensions, and total price. In the event of a discrepancy between the unit price and the extension, the unit price shall govern. Bids shall remain firm for minimum thirty (30) days from date of bid opening and any exception must be clearly stated.
11. **Bid Opening:** Bidders may attend the bid opening, but no information or opinions concerning the ultimate award will be given at the bid opening or during the evaluation process. After the public opening of this bid, the results will not be available to bidders not attending the opening until after an award is made. Bid tabulations can be reviewed by accessing Procurement Services website at <http://uah.edu/business-services>. Click on "Vendors" then "Bid Awards".
12. **Bids are Public Record:** All bids become a matter of public record at bid award. The University accepts no responsibility for maintaining confidentiality of any information submitted with bid whether labeled confidential or not.
13. **Standards of Quality:** When a material, article or piece of equipment is identified in these specifications by reference to manufacturer's or vendor's name, trade name, catalog and stock numbers, etc., it is intended merely to establish a standard; and, any material, article or equipment of other manufacturer and vendor which will perform equally the duties imposed by the general design, provided the material, article, or equipment proposed, is in the opinion of the Purchasing Agent of equal substance and function. It shall not be purchased or installed by the contractor without the Purchasing Agents' written approval.
- The bidder is responsible to clearly and specifically indicate the product being offered and to provide sufficient descriptive literature, catalog cuts and technical detail to enable UAHuntsville to determine if the product offered meets the requirements of the invitation. Normally in competitive sealed bidding only the information furnished with the bid will be considered in the evaluation. Failure to furnish adequate data for evaluation purposes may result in declaring a bid nonresponsive. Unless the bidder clearly indicates in its bid that the product offered is an "Equal" product, such bid will be considered to offer the brand name product referenced in the invitation. The University of Alabama in Huntsville will be sole judge of EQUAL items bid.
14. **Vendor Authorization:** Vendor must be an authorized distributor/agent to sell products proposed in this bid request. When it is deemed to be in the best interest of the University, Procurement Services may request an on-site premise visit to examine the facility.
15. **Default of Contractor:** Where the University has determined the contractor to be in default, the University reserves the right to purchase any or all products or services covered by the contract on the open market and to charge the contractor with cost in excess of the contract price. Until such assessed charges have been paid, no subsequent bid from the defaulting contractor will be considered.
16. **Fiscal Funding Clause:** The continuation of this contract is contingent upon the appropriation of funds to fulfill the requirements of the contract by the legislature. If the legislature fails to appropriate sufficient monies to provide the continuation of a contract, the contract shall terminate on the date of the beginning of the first fiscal year for which funds are not appropriated.

17. **Contract Cancellation:** Procurement Services has the right to cancel any contract, in accordance with Purchasing Rules and Regulations, for cause, including, but not limited to, the following: (1) failure to deliver within the contract; (2) failure of the product or service to meet specifications, conform to sample quality, or to be delivered in good condition; (3) misrepresentation by the contractor; (4) fraud, collusion, conspiracy, or other unlawful means of obtaining any contract with the state; (5) conflict of contract provisions with constitutional or statutory provisions of state or federal law; and (6) any other breach of contract.
18. **Warranties:** Should merchandise described on this bid contain a manufacturer's warranty, bidders must state the warranty terms in the space provided on the bid. Bids offered for merchandise when no warranty applies must clearly state: "NO WARRANTY COVERAGE". Warranty information may be criteria in making this award. Failure of bidders to furnish this data may cause rejection of the complete bid as being non-responsive.
19. **Disclosure Statement:** The successful bidder will be required to file with Procurement Services a disclosure statement of relationship between contractors/grantees and employees/officials of the University. This form must be completed prior to issuance of the Purchase Order by The University of Alabama in Huntsville.
20. **State of Alabama Immigration Law:** Pursuant to the State of Alabama Immigration Law, by signing this contract, the contracting parties affirm, for the duration of the agreement, that they will not violate federal immigration law or knowingly employ, hire for employment, or continue to employ an unauthorized alien within the State of Alabama. Furthermore, a contracting party found to be in violation of this provision shall be deemed in breach of the agreement and shall be responsible for all damages resulting therefrom.
21. **Restrictions On Communications with University Staff:** From the issue date of this Solicitation until a Contractor is selected and a contract award is made, Bidders are not allowed to communicate about the subject of the bid with any University administrator faculty, staff, or members of the Board of Trustees except:
- The Procurement Services representative, any University Procurement Official representing the University administration, or others authorized in writing by the Procurement Office and
 - University Representatives during Bidder presentations.

If violation of this provision occurs, the University reserves the right to reject the Bidder's response to this Solicitation.

Note: In order an alternate bid to be considered, Bidders must supply current catalogs or brochures, including pictorials and specifications.

F.O. B. Point University of Alabama in Huntsville	TERMS	WARRANTY
ESTIMATED DELIVERY	YOUR REFERENCE NO.*	QUOTATION EFFECTIVE UNTIL
BUSINESS CLASSIFICATION (see note below):	EMAIL ADDRESS:	

* Your company reference number, if applicable with this bid quotation.

NOTE: Please indicate your company classification in the appropriate box above: Small Business (**SB**), a Small Disadvantaged Business (**SD**), a Black Small Disadvantaged Business (**BD**), a Woman-Owned Small Business (**WB**), a Woman-Owned Small Disadvantaged Business (**WD**), a Black Woman-Owned Small Disadvantaged Business (**BW**), a Large Business (**LB**), an Individual (**IN**), Educational (**ED**), Non-Profit (**NP**), a Labor Surplus Area Concern (**LS**), Disabled Veteran-Owned Small Business (**DV**), Veteran-Owned Small Business (**VS**), Historically Underutilized Business Zone (**UZ**), or a Governmental Agency (**GV**).

Certification Pursuant To Act No. 2006-557

Alabama Law (Section 41-4-116, Code of Alabama 1975) provides that every bid submitted and contract executed shall contain a certification that the vendor, contractor, and all of its affiliates that make sales for delivery into Alabama or leases for use in Alabama are registered, collecting, and remitting Alabama state and local sales, use, and/or lease tax on all taxable sales and leases into Alabama. By submitting this bid, the bidder is hereby certifying that they are in full compliance with Act No. 2006-557, they are not barred from bidding or entering into a contract pursuant to 41-4-116, and acknowledges that the awarding authority may declare the contract void if the certification is false.

COMPANY NAME (TYPE OR PRINT)

TELEPHONE NUMBER

SIGNER'S NAME (TYPE OR PRINT)

FAX NUMBER

SIGNATURE

DATE

The University of Alabama in Huntsville prohibits the installation of asbestos on its campus. Suppliers and contractors will not supply any equipment, material, or supplies, which contain asbestos without prior written approval.

Failure to designate Bid Number and Opening Date on the outside of your sealed envelope containing your bid and more than one bid submitted in this envelope will result in a "No Bid" response in accordance with Alabama Competitive Bid Law 41-16-24 sub-part b.

The University of Alabama in Huntsville will not accept faxed bids.

Any product that fails to meet the specifications, performance requirements or compatibility requirements will be rejected and returned to the vendor at no cost to the University.

State of Alabama Immigration Law

If the successful bidder is located in Alabama or employs an individual or individuals within the State of Alabama, the successful bidder shall provide a copy of its Employment Eligibility Verification (E-Verify) company profile. To expedite the ordering process, this document may be submitted with the bid response.

If the successful bidder is not located in the State of Alabama and does not employ an individual or individuals within the State of Alabama, the successful bidder shall complete and return the Certification of Compliance form included with this Request for Price Quotation (E-Verify company profile is not required). To expedite the ordering process, this document may be submitted with the bid response.

If you are not currently enrolled in E-Verify, follow these instructions:

- Log onto www.uscis.gov/everify
- Click “Getting Started” for information about the program, requirements, and enrollment process.
- Click “Enroll in E-Verify” and begin enrollment process.
- When enrollment process is complete, click “Edit Company Profile” and print this one-page document.
- This one-page document must be submitted prior to a contract or purchase order being issued.
- For further assistance please consult the [E-Verify Quick Reference Guide](#).

If you have previously enrolled in E-Verify, follow these instructions:

- Log onto www.uscis.gov/everify
- Click “Edit Company Profile” and print this one-page document.
- This one-page document must be submitted prior to a contract or purchase order being issued.



CERTIFICATION OF COMPLIANCE WITH THE STATE OF ALABAMA IMMIGRATION LAW

The undersigned officer of _____ (Company)
certifies to the Board of Trustees of the University of Alabama that the Company is not located in
Alabama and that the Company does not employ an individual or individuals within the State of
Alabama.

SIGNATURE OF COMPANY OFFICER

PRINT COMPANY NAME

PRINT NAME OF COMPANY OFFICER

PRINT TITLE OF COMPANY OFFICER

DATE



THE UNIVERSITY of
ALABAMA SYSTEM

VENDOR DISCLOSURE STATEMENT

In compliance with the policies of The Board of Trustees of the University of Alabama, The University of Alabama System Office, this University, and with Alabama state law, this Disclosure Statement shall be completed for all contracts, such as proposals, bids, and contracts, including consulting/professional service contracts unless otherwise exempted ("Agreements"). The Board of Trustees of The University of Alabama reserves the right to refuse to enter into or to cancel, without penalty, any contract or agreement with any entity or individual who does not provide all of the information requested below, or who makes false or incomplete disclosures.

Definitions

For the purposes of this form, the following terms shall have the following meanings:

- **"Agreement."** Any agreement, contract, memorandum of understanding, or grant document under which goods or services are to be provided by You.
- **"Family Member."** Your spouse, dependent, an adult child and his or her spouse, a parent, a spouse's parents, and a sibling and his or her spouse. The term "Dependent" shall include any person, regardless of his or her legal residence or domicile, who receives more than 50 percent of his or her support from the public official or employee or his or her spouse, or who resides with the public official or employee for more than 100 days during the reporting period.
- **"Public Official."** Any person elected to public office, whether or not that person has taken office, by vote of the people at state, county, or municipal level of government or their instrumentalities, including governmental corporations, and any person appointed to take a position at the state, county, or municipal level of government or their instrumentalities, including governmental corporations.
- **"Relationship."** Limited to familial or business in nature, or a personal relationship that the existence of which creates a Conflict of Interest or the appearance of a Conflict of Interest that would require disclosure under [Board Rule 106](#).
- **"UAS."** The Board of Trustees of The University of Alabama, and its constituent divisions including The University of Alabama System Office, The University of Alabama, The University of Alabama at Birmingham, and The University of Alabama in Huntsville.
- **"You."** Includes, (1) the entity or individual who would be a party to the Agreement, (2) any partner, division or related business, (3) any member of your immediate family or any individual employed by You (that You know to have a direct familial relationship with a UAS employee or official or family member of a UAS employee or official).

1. Name of Entity or Individual Completing this Form (proposed contracting party)

Entity Name:			
Individual Name:			
Title:			
Address Line 1:			
Address Line 2:			
City, State, Zip:		Telephone:	

2. UAS Entity with which you propose an Agreement? (i.e. University, College, Department, etc.)

3. Describe the proposed Agreement:

Goods and services to be provided:	
Grant or proposal number (if applicable):	
Amount or anticipated amount:	
Term:	

Is the proposed Agreement the result of a competitive or bid process?

☐ Yes ☐ No

4. Have "You" (See definition above) previously provided goods and/ or services to UAS within the current or last fiscal year? ☐ Yes ☐ No

If yes, please provide the following information for each other agreement for such goods and/or services.

Entity Providing Goods or Services:

Campus and Department:

Type of Goods/Services:

Amount Received:

Entity Providing Goods or Services:

Campus and Department:

Type of Goods/Services:

Amount Received:

If you need to provide further details on goods or services provided to UAS within the current or last fiscal year, please attach an addendum to this Disclosure Statement.

5. Did the amount of goods and/or services identified in response to Question 4 total \$1,000,000 or more?

☐ Yes ☐ No

6. Do you have a relationship with a UAS employee, UAS Trustee, or Public Official who may directly or indirectly receive any benefit from the proposed Agreement or whose family member may directly or indirectly benefit?

☐ Yes ☐ No

If yes, please provide the following information for each UAS employee, Trustee, or Public Official with whom You have a Relationship.

Name of UAS employee, Trustee, or Public Official:

Campus/department where employed or position held:

Nature of relationship:

Potential Benefit:

Name of UAS employee, Trustee, or Public Official:

Campus/department where employed or position held:

Nature of relationship:

Potential Benefit:

If you need to provide further information regarding UAS employees, Trustees, or Public Officials with whom You have a Relationship, and who may directly or indirectly benefit from this Agreement, please attach an addendum to this Disclosure Statement.

- 7. Have any paid consultants and/or lobbyists assisted in obtaining the proposed Agreement?**

☐ Yes ☐ No

If yes, please provide the following information for each consultant or lobbyist.

Name:

Address:

Name:

Address:

If you need to provide further information regarding paid consultants and/or lobbyists utilized to obtain the proposed Agreement, please attach an addendum to this Disclosure Statement.

8. List any current litigation or administrative action that has been filed within the last 3 years, either state or federal, related to public or higher education construction or finance that the contractor or others associated with the firm may have against them.

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By signing below, I certify under oath and penalty of perjury that all statements on or attached to this form are true and correct to the best of my knowledge. By proposing or entering into an Agreement with UAS, I certify that no employee or official of UAS, nor any of their family members or any business with which they may be associated, will receive a benefit from this contract, except as has been disclosed, in writing herein. I will promptly disclose any Relationship which may arise in the future, or any existing Relationship which may become known to me, and update this statement to disclose the same.

Signature _____

Date