

Checklist for Adult Sponsor (1)

This completed form is required for ALL projects.

To be completed by the Adult Sponsor in collaboration with the student and researcher(s):

Student's Name(s): _____

Project Title: _____

Fit as much of the title as possible

1. ☐ I have reviewed the ISEF Rules and Guidelines, including the science fair ethics statement.
2. ☐ I have reviewed the student's completed Student Checklist (1A) and Research Plan/Project Summary.
3. ☐ I have worked with the student and we have discussed the possible risks involved in the project.
4. ☐ The project involves one or more of the following and requires prior approval by an SRC, IRB, IACUC or IBC:
☐ Humans ☐ Potentially Hazardous Biological Agents
☐ Vertebrate Animals ☐ Microorganisms ☐ rDNA ☐ Tissues
5. ☐ Items to be completed for **ALL PROJECTS**
☐ Adult Sponsor Checklist (1) ☐ Research Plan/Project Summary
☐ Student Checklist (1A) ☐ Approval Form (1B)
☐ Regulated Research Institutional/Industrial Setting Form (1C) (when applicable; after completed experiment)
☐ Continuation/Research Progression Form (7) (when applicable)

Additional forms required if the project includes the use of one or more of the following (check all that apply):

- ☐ **Humans**, including student designed inventions/prototypes. (Requires prior approval by an Institutional Review Board (IRB); see full text of the rules.)
 - ☐ Human Participants Form (4) or appropriate Institutional IRB document
 - ☐ Sample of Informed Consent Form (when applicable and/or required)
 - ☐ Qualified Scientist Form (2) (when applicable and/or required)
- ☐ **Vertebrate Animals** (Requires prior approval, see full text of the rules.)
 - ☐ Vertebrate Animal Form (5A)-for projects conducted in a school/home or field research site (SRC prior approval required)
 - ☐ Vertebrate Animal Form (5B)-for projects conducted at a Regulated Research Institution. (Institutional Animal Care and Use Committee (IACUC) approval required prior experimentation.)
 - ☐ Qualified Scientist Form (2) (Required for all vertebrate animal projects at a regulated research site or when applicable)
- ☐ **Potentially Hazardous Biological Agents** (Requires prior approval by SRC, IACUC or IBC, see full text of the rules.)
 - ☐ Potentially Hazardous Biological Agents Risk Assessment Form (6A)
 - ☐ Human and Vertebrate Animal Tissue Form (6B)-to be completed in addition to Form 6A when project involves the use of fresh or frozen tissue, primary cell cultures, blood, blood products and body fluids.
 - ☐ Qualified Scientist Form (2) (when applicable)
 - ☐ The following are exempt from prior review but require a Risk Assessment Form 3: projects involving protists, archae and similar microorganisms, for projects using manure for composting, fuel production or other non-culturing experiments, projects using color change coliform water test kits, microbial fuel cells, and projects involving decomposing vertebrate organisms.
- ☐ **Hazardous Chemicals, Activities and Devices** (No SRC prior approval required, see full text of the rules.)
 - ☐ Risk Assessment Form (3)
 - ☐ Qualified Scientist Form (2) (required for projects involving controlled substances or when applicable)
- ☐ **Other**
 - ☐ Risk Assessment Form (3)
- ☐ I attest to the information provided above and that I have read and agree to abide by the science fair ethics statement.

Only check boxes that are appropriate to your research

This is usually the Teacher and not the Mentor

Adult Sponsor's Printed Name _____

Signature _____

Date of Review (mm/dd/yy) _____

Phone _____

This MUST be dated BEFORE the "Actual Start Date" on Form 1A

Student Checklist (1A)

This form is required for ALL projects.

1. a. Student/Team Leader: _____ Grade: _____

Email: _____ Phone: _____

b. Team Member: _____ c. Team Member: _____

2. Title of Project: _____

Fit as much of the title as possible

3. School: _____ School Phone: _____

(if multiple schools, list of the team leader or list all schools).

School Address: _____

4. Adult Sponsor: _____

Teacher not Mentor

5. Does this project need SRC/IRB/IACUC or other pre-approval? Yes ☐ No ☐ Tentative start date: _____

6. Is this a continuation/progression from a previous year? ☐ Yes ☐ No

a. If yes, attach the previous year's ☐ Abstract and ☐ Research Plan/Project Summary

b. Explain how this project is new and different from previous years on

☐ Continuation/Research Progression Form (7); include forms for all previous years

7. This year's experimentation/data collection (include forms for all previous years)

IF the student has continued their project, their poster should focus on the work from the current calendar year.

Actual Start Date: (mm/dd/yy) _____ End Date: (mm/dd/yy) _____

8. Where will you conduct your experimentation? (check all that apply)

☐ Research Institution ☐ School ☐ Other: _____

9. Source of Data:

☐ Collected self/mentor ☐ Other List all URL(s) in Research Plan/Project Summary

This should be the date that the student started collecting data

10. List the name and address of all non-home and non-school work site(s), whether you worked there virtually or on-site:

Name _____

Address: _____

Phone/email _____

11. Complete a Research Plan/Project Summary following the Research Plan/Project Summary instructions and attach to this form.

12. An abstract is required for all projects after experimentation.

Research Plan/Project Summary Instructions

A complete Research Plan/Project Summary is required for ALL projects and must accompany Student Checklist (1A).

1. The Research Plan is to be written prior to experimentation following the instructions below to detail the rationale, research question(s), methodology, and risk assessment of the proposed research.
2. If changes are made during the research prior to competing in an affiliated fair, such changes can be added to the original research plan as an addendum, recognizing that some changes may require returning to the IRB or SRC for appropriate review and approvals. If no additional approvals are required, this addendum serves as a project summary to explain research that was conducted.
3. If no changes are made from the original research plan, no project summary is required.
 - Some studies, such as an engineering design or mathematics projects, will be less detailed in the initial project plan and will change through the course of research.
 - The Research Plan/Project Summary should include:
 - a. **RATIONALE:** Include a brief synopsis of why this research is important and the purpose of the study.
 - b. **RESEARCH QUESTION(S), HYPOTHESIS:** Describe the research question(s) and hypothesis based on the rationale described.
 - c. Describe the following in detail:
 - **List of materials:** List all materials and equipment used.
 - **Procedures:** Detail all procedures and when applicable, the source of the procedure.
 - **Risk and Safety:** Identify any potential risks and safety measures.
 - **Data Analysis:** Describe the procedures for data analysis.
 - d. **BIBLIOGRAPHY:** List major references and literature review. If you plan to use a reference.

Items 1–4 below are subject-specific guidelines for research that is applicable.

1. Human participants research:

- a. **Participants:** Describe age range, gender, race, ethnicity, pregnant women, prisoners, mentally disabled, etc.
- b. **Recruitment:** Where will you find your participants? How will you recruit them?
- c. **Methods:** What will participants be asked to do? What did you obtain? Did it require permissions? If so, where?
- d. **Risk Assessment:** What are the risks or potential harm to participants? How will you minimize risks? List them.
- e. **Protection of Privacy:** Will identifiable information be collected? Will data be confidential/anonymized? If anonymous, are there measures in place for safeguarding confidentiality? How will the data be stored after the study?
- f. **Informed Consent Process:** Describe how you will obtain consent, that their participation is voluntary and they have the right to stop at any time.

2. Vertebrate animal research:

- a. Discuss potential ALTERNATIVES to vertebrate animal use and present justification for use of vertebrates.
- b. Explain potential impact or contribution of this research.
- c. Detail all procedures to be used, including methods used to minimize potential discomfort, distress, pain and injury to the animals and detailed chemical concentrations and drug dosages.
- d. Detail animal numbers, species, strain, sex, age, source, etc., include justification of the numbers planned.
- e. Describe housing and oversight of daily care.
- f. Discuss disposition of the animals at the end of the study.

3. Potentially hazardous biological agents research:

- a. Give source of the organism and describe BSL assessment process and BSL determination.
- b. Detail safety precautions and discuss methods of disposal.

4. Hazardous chemicals, activities & devices:

- a. Describe Risk Assessment process, supervision, safety precautions and specific methods of disposal.
- b. Safety Data Sheets are not necessary to submit with paperwork.

This research plan is the most important document because it provides the regional SRC board with the necessary details of the planned research.

This detailed description of the research guides the SRC to be able to determine if the proper forms were completed and if they contain the correct information.

Must be VERY detailed and delineate the role of the student vs. the role of the mentor.

The entire research plan must be in FUTURE tense! Must include proposed and actual start and end dates. Must include a detailed research plan. Must have all work site information completed. Must identify student and mentor role.

Approval Form (1B)

A completed form is required for each student, including all team members.

1. To Be Completed by Student and Parent

a. Student Acknowledgment:

- I understand the risks and possible dangers to me of the proposed research plan.
- I have read the ISEF Rules and Guidelines and will adhere to all International Rules when conducting this research.
- I have read and agree to uphold all aspects of the student researcher ethics statement.

Student researchers are expected to maintain the highest standards of honesty and integrity. Scientific fraud and misconduct are not condoned at any level of research or competition. Such practices include but are not limited to plagiarism, forgery, use or presentation of other researcher's work as one's own, and fabrication of data. Fraudulent projects will fail to qualify for competition in affiliated fairs and ISEF.

Student's Printed Name

Date Acknowledged (mm/dd/yy)
(Must be prior to experimentation.)

b. Parent/Guardian Approval Research Plan/Project

These must be dated BEFORE the "Actual Start Date" on Form 1A

Signature of Parent/Guardian
Date Acknowledged (mm/dd/yy)
(Must be prior to experimentation.)

Parent/Guardian's Printed Name

Signature

Date Acknowledged (mm/dd/yy)
(Must be prior to experimentation.)

2. To be completed by the local or affiliated Fair SRC

(Required for projects requiring prior SRC/IRB APPROVAL. Sign 2a or 2b as appropriate.)

a. Research projects that need prior SRC/IRB approval

The SRC/IRB Chair's signature indicates that the research plan/project summary is approved. My signature indicates that the research plan/project summary is approved.

Do not write anything in this space unless you are the SRC/IRB Chair or Designee

SRC/IRB Chair's Printed Name

Signature

(Must be dated prior to experimentation.)

b. Research projects that do not need prior SRC/IRB approval

OR

This project is a research project that does not require prior SRC/IRB approval. The SRC/IRB Chair's signature indicates that the research plan/project summary is approved.

Do not write anything in this space

SRC/IRB Chair's Printed Name

Signature

Date (May be dated after experimentation.)

3. Final Approval by Affiliated Fair SRC (Required for Affiliated Fair Projects)

SRC Approval After Experimentation and Before Competition at Regional/National Fair

I certify that this project adheres to the approved research plan/project summary and complies with all ISEF Rules.

Regional SRC Chair's Printed Name

Signature

Date of Approval (mm/dd/yy)

State/National SRC Chair's Printed Name
(where applicable)

Signature

Date of Approval (mm/dd/yy)

Regulated Research Institutional/Industrial Setting Form (1C)

This form must be completed **AFTER** experimentation by the adult supervising the student research either virtually or on site, conducted in a regulated research institution, industrial setting or any work site other than home, school or field.

Student's Name(s) _____

Title of Project _____

To be completed by the Supervising Adult in the Setting (NOT the Student(s)) after experimentation:

(Responses must be on the form as it is required to be displayed at student's project booth; please do not print double-sided.)

Research was supported at my work site:

1. The student experience at your work site included:

- Used equipment and/or received data
- Minimal interaction with our group
- Mentored by me or someone else from our group
- Worked as a sub-set of our ongoing research
- Had an independent project from our group

☐ Yes
☐ Yes
☐ Yes
☐ Yes
☐ Yes

☐ No
☐ No
☐ No
☐ No
☐ No

2. Please describe the independent and/or creative work done by the student but particularly in developing the hypotheses or engineering design.

If any of the research was done at a standard research facility (college campus, company, lab, etc) or a facility where advanced research is allowed (certain high schools or local labs), Form 1C is required.

3. Detail the student's role in conducting the research (e.g., data collection, analysis, etc). Differentiate what the student observed and the student's role.

If the project is to be a data analysis only and the data is publicly available, then nothing else is needed.

Suppose data is covered by privacy rules/laws (ex. patient data) or from a private source (ex., proprietary data). In that case, the student must show

4. Provide details regarding data provided to the student.

documentation of how the data became available and how/why they were allowed to view it and study it.

5. Did the student(s) work on the project as part of a group? Were there other high school students present? If yes, was the project related or different from the work of this project?

The best thing to do is to have the mentor send a short letter on their letterhead explaining that there were no HIPAA violations. This is even if the data was de-identified.

6. If this project is under a grant and needs to be acknowledged, please provide a statement here.

I attest that the student has conducted the research in accordance with the requirements of the regulatory board (IRB/IACUC/IBC) has been reviewed and approved by the regulatory board (IRB/IACUC/IBC) and I have communicated with the student research regarding any requirements for my review and/or review of the student's work. I further acknowledge that the student will be presenting this work publicly and I have communicated with the student research regarding any requirements for my review and/or review of the student's work. I further acknowledge that the student will be presenting this work publicly and I have communicated with the student research regarding any requirements for my review and/or review of the student's work.

Direct Supervisor's Printed Name

Signature

Title

Institution

Date Signed (must be after experimentation) (mm/dd/yy)

Education/Experience/Training

Email/Phone

This should be the Mentor NOT the Teacher

Must be dated AFTER the "End Date" on Form 1A

Qualified Scientist Form (2)

May be required for research involving human participants, vertebrate animals, potentially hazardous biological agents, and hazardous substances and devices. Must be completed and signed before the start of student experimentation.

Student's Name(s) _____

Title of Project _____

To be completed by the Qualified Scientist:

Scientist Name: _____

Educational Background: _____ Degree(s): _____

Experience/Training as relates to the student's area of research:

Position/Institution: _____

Email/Phone: _____

- | | | |
|--|------------------------------|-----------------------------|
| 1. Have you reviewed the ISEF rules relevant to this project and the science fair ethics statement relevant to this project? | <input type="checkbox"/> Yes | <input type="checkbox"/> No |
| 2. Will any of the following be used? | | |
| a. Human participants | <input type="checkbox"/> Yes | <input type="checkbox"/> No |
| b. Animals | <input type="checkbox"/> Yes | <input type="checkbox"/> No |
| c. Potentially hazardous biological agents (microorganisms, rDNA and tissues, including blood and blood products) | <input type="checkbox"/> Yes | <input type="checkbox"/> No |
| d. Hazardous substances and devices | <input type="checkbox"/> Yes | <input type="checkbox"/> No |
| 3. Will this study be a sub-set of a larger study? | <input type="checkbox"/> Yes | <input type="checkbox"/> No |
| 4. Will you directly supervise the student? | <input type="checkbox"/> Yes | <input type="checkbox"/> No |
| 5. Did you provide any data; if yes, please provide source or describe | <input type="checkbox"/> Yes | <input type="checkbox"/> No |

To be completed by the Qualified Scientist:

I certify that I have reviewed and approved the Research Plan/Project Summary prior to the start of the experimentation. If the student or Direct Supervisor is not trained in the necessary procedures, I will ensure her/his training. I will provide advice and supervision during the research. I have a working knowledge of the techniques to be used by the student in the Research Plan/Project Summary.

Qualified Scientist's Printed Name

Date of Approval (mm/dd/yy)

To be completed by the Direct Supervisor when the Qualified Scientist cannot directly supervise.

I certify that I have reviewed the Research Plan/Project Summary and have been trained in the techniques to be used by this student, and I will provide direct supervision.

Direct Supervisor's Printed Name

Experience/Training of Designated Supervisor

Date of Approval (mm/dd/yy)

Email

Must be dated BEFORE the "Actual Start Date" on Form 1A

If needed, this must be dated BEFORE the "Actual Start Date" on Form 1A

Risk Assessment Form (3)

Must be completed before experimentation; recommended for all projects. May be required for projects involving Human Participants, Hazardous Chemicals, Materials or Devices or Potentially Hazardous Biological Agents.

Student's Name(s) _____

Title of Project _____

To be completed by the Student Researcher(s) in collaboration with Direct Supervisor/Qualified Scientist: (All questions must be answered; additional page(s) may be attached.)

1. Identify and assess the risks and hazards involved in this project.
2. a) List all hazardous chemicals, activities or devices to be used; b) identify and list all microorganisms to be used that are exempt from pre-approval (see Potentially Hazardous Biological Agent rules).
3. Describe the safety precautions and procedures that will be used to reduce the risks. If you conducted field work, include permits received and safety plans, as applicable.
4. Describe the specific disposal procedures that will be used (when applicable).
5. List the source(s) of safety information.

To be completed and signed by the Direct Supervisor (or Qualified Scientist, when applicable):

I agree with the risk assessment and safety precautions and procedures described above. I certify that I have reviewed the Research Plan/Project Summary and the International Rules, including the science fair ethics statement and will provide direct supervision.

Direct Supervisor's Printed Name

Signature

Date of Review (mm/dd/yy)

Experience/Training as relates to the student's area of research

Position/Institution

Phone or email contact information

Must be dated BEFORE the
"Actual Start Date" on Form 1A

Human Participants Form (4)

Required for all research involving human participants not at a Regulated Research Institution.
If at a Regulated Research Institution, use institutional approval forms for documentation of prior review and approval. (IRB approval required before recruitment or data collection.)

Student's Name(s)	Title of Project
Adult Sponsor	Phone/Email

MUST BE COMPLETED BY STUDENT RESEARCHER(S) IN COLLABORATION WITH THE ADULT SPONSOR/DIRECT SUPERVISOR/QUALIFIED SCIENTIST:

1. ☐ I have submitted my Research Plan/Project Summary which addresses Research Plan/Project Summary Instructions.
2. ☐ I have attached any surveys or questionnaires I will be using in my project.

☐ Any published instrument(s) used was /were legally obtained.
3. ☐ I have attached an informed consent that I would use if required by the IRB.
4. ☐ Yes ☐ No Are you working with a Qualified Scientist? If yes, attach the Qualified Scientist Form 2.

Even though your school IRB may have given approval, the study must conform to all ISEF regulations

BELOW – IRB USE ONLY

MUST be completed by Institutional Review Board (IRB) after review of the research plan. All questions must be answered for the approval to be valid. (If not approved, return paperwork to the student with instructions for modifications.)

- ☐ Approved with Full Committee Review (3 signatures required) and the following conditions: **(All 6 must be answered)**
1. Risk Level (check one): ☐ Minimal Risk ☐ More than Minimal Risk (a risk assessment form 3 is required).
 2. Qualified Scientist (QS) Required (Form 2): ☐ Yes ☐ No
 3. Risk Assessment Required (Form 3): ☐ Yes ☐ No
 4. Written Minor Assent and written parental permission required for minor participants:

☐ Yes ☐ Not applicable (No minors in this study)
 5. Written Informed Consent required for participants 18 years or older:

☐ Yes ☐ No ☐ Not applicable (No participants 18 yrs or older in this study)
 6. Facility for "protected groups" used, written approval has been obtained:

☐ Yes ☐ No

IRB SIGNATURES (All 3 signatures required) None of these individuals may be the adult sponsor, direct supervisor, qualified scientist or related to (e.g., mother, father of) the student (conflict of interest).

I attest that I have reviewed the student's project, that the checkboxes above have been completed to indicate the IRB determination and that I agree with the decisions above.

Medical or Mental Health Professional (a psychologist, social worker, licensed social worker, licensed clinical professional counselor, physician's assistant, doctor of pharmacy, or registered nurse) with expertise related to this project.

Print Name below	Degree/Professional License	
Signature	Date (prior to experimentation)	Email

This CANNOT be the same teacher that signed as the "Adult Sponsor"

All must be dated BEFORE the "Actual Start Date" on Form 1A

Educator Print Name below	Degree/Professional License	
Signature	Date (prior to experimentation)	Email

School Administrator Print Name below	Degree/Professional License	
Signature	Date (prior to experimentation)	Email

Human Informed Consent Form

Instructions to the Student Researcher(s): An informed consent/assent/permission form should be developed in consultation with the Adult Sponsor, Direct Supervisor or Qualified Scientist.

This form is used to provide information to the research participant (or parent/guardian) and to document written informed consent, minor assent, and/or parental permission.

- When written documentation is required, the researcher keeps the original, signed form.
- Students may use this sample form or may copy ALL elements of it into a new document.

If the form is serving to document parental permission, a copy of any survey or questionnaire must be attached.

Student Researcher(s): _____

Title of Project: _____

I am asking for your voluntary participation in my science fair project. Please read the following information about the project. If you would like to participate, please sign in the appropriate area below.

Purpose of the project:

If you participate, you will be asked to:

Time required for participation:

Potential Risks of Study:

Benefits:

How confidentiality will be maintained:

If you have any questions about this study, feel free to contact:

Adult Sponsor/QS/DS: _____ Phone/email: _____

Voluntary Participation:

Participation in this study is completely voluntary. If you decide not to participate there will not be negative consequences. Please be aware that if you decide to participate, you may stop participating at any time and you may decide not to answer any specific question.

By signing this form I am attesting that I have read and understand the information above and I freely give my consent/assent to participate or permission for my child to participate.

Adult Informed Consent or Minor Assent

Date Reviewed & Signed: _____
(mm/dd/yy)

Research Participant Printed Name: _____

Signature: _____

Parental/Guardian Permission (if applicable)

Date Reviewed & Signed: _____
(mm/dd/yy)

Parent/Guardian Printed Name: _____

Signature: _____

This is just an example of a consent form. You MUST submit a copy of whatever consent form was used. If the survey was done online, submit a copy of all the consent questions used as part of that survey.

Vertebrate Animal Form (5A)

Required for all research involving vertebrate animals that is conducted in a school/home/field research site.
(SRC approval required before experimentation.)

Student's Name(s) _____

Title of Project _____

To be completed by Student Researcher:

1. Common name (or Genus, species) and number of animals used.
2. Describe completely the housing and husbandry to be provided. Include the cage/pen size, number of animals per cage, environment, bedding, type of food, frequency of food and water, how often animal is observed, etc. Add an additional page as necessary.
3. What will happen to the animals after experimentation?
4. Attach a copy of wildlife licenses or approval forms, as applicable
5. The ISEF Vertebrate Animal Rules require that any death, illness or unexpected weight loss be investigated and documented by a letter from the qualified scientist, direct supervisor or a veterinarian. If applicable, attach this letter with this form when submitting your paperwork to the SRC prior to competition.

To be completed by Local or Affiliate Fair Scientific Review Committee (SRC) BEFORE experimentation.

Level of Supervision Required for agricultural, behavioral or nutritional studies (select one):

- ☐ Direct Supervisor REQUIRED. Please have applicable person sign below.
- ☐ Veterinarian and Direct Supervisor REQUIRED. Please have applicable persons sign below.
- ☐ Veterinarian, Direct Supervisor and Qualified Scientist REQUIRED. Please have applicable persons sign below and have the Qualified Scientist complete Form (2).

The SRC has carefully reviewed this study and finds it is an appropriate study that may be conducted in a non-regulated research site.

Local or Affiliate Fair SRC Pre-Approval Signature:

SRC Chair Printed Name

Date of Approval (mm/dd/yy)
experimentation) (mm/dd/yy)

To be completed by Veterinarian

- ☐ I have reviewed this research and discussed it with the student before the start of the project.
- ☐ I have approved the use and handling of drugs and/or nutritional supplements.
- ☐ I will provide veterinary medical and emergency care in case of illness or emergency. (Fees may apply.)

Printed Name

Email/Phone

Signature

Date of Approval (mm/dd/yy)

To be completed by Direct Supervisor or Qualified Scientist when applicable:

- ☐ I have reviewed this research and discussed it with the student before the start of the project. I accept primary responsibility for the animals in this project.
- ☐ I will directly supervise the experimentation.

Printed Name

Email/Phone

Signature

Date of Approval (mm/dd/yy)

Vertebrate Animal Form (5B)

Required for all research involving vertebrate animals that is conducted in at a Regulated Research Institution.
(IACUC approval required before experimentation. Form must be completed and signed after experimentation.)

Student's Name(s) _____

Title of Project _____

Title and Protocol Number of IACUC Approved Project _____

To be completed by Qualified Scientist or Principal Investigator:

1. Species of animals used: _____

You MUST include a copy of the actual IACUC form with the protocol number

2. Describe, in detail, the role of the student in this project: animal procedures and equipment that were involved, oversight provided and safety precautions employed. (Attach extra pages if necessary.)

3. Was there any weight loss or death of any animal? If yes, attach a letter obtained from the qualified scientist, direct supervisor or a veterinarian documenting the situation and the results of the investigation.

4. Did the student's project also involve the use of tissues?

☐ No

☐ Yes; complete Forms 6A and 6B

5. What laboratory training, including dates, was provided to the student?

6. Attach a copy of the Regulated Research Institution IACUC Approval. A letter from the Qualified Scientist or Principal Investigator is not sufficient.

Qualified Scientist/Principal Investigator	
Printed Name	
Signature	Date (mm/dd/yy)

Must be dated AFTER the
"End Date" on Form 1A

Potentially Hazardous Biological Agents Risk Assessment Form (6A)

Required for research involving microorganisms, rDNA, fresh/frozen tissue (including primary cell lines, human and other primate established cell lines and tissue cultures), blood, blood products and body fluids.
SRC/IACUC/IBC approval required before experimentation.

Student's Name(s) _____

Title of Project _____

To be completed by the **QUALIFIED SCIENTIST/DIRECT SUPERVISOR** in collaboration with the student researcher(s).
All questions are applicable and must be answered; additional page(s) may be attached.

SECTION 1: PROJECT ASSESSMENT

1. Identify potentially hazardous biological agents to be used in this experiment. Include the strain, source, quantity and the biosafety level risk group of each microorganism.
2. Describe the biosafety level of the experimentation site.
3. Describe the procedures that will be used to minimize risk (personal protective equipment, safety cabinet type, etc.).
4. Describe the method of disposal of all cultured materials and other potentially hazardous biological agents.
If BSL-2 laboratory, not at an RRI, include the [BSL-2 checklist](#)

SECTION 2: TRAINING

1. What training will the student receive for this project?
2. Experience/training of Direct Supervisor as it relates to the student's area of research (if applicable).

SECTION 3: For ALL CELL LINES, MICROORGANISMS AND TISSUES – To be completed by the QUALIFIED SCIENTIST or Direct Supervisor - Check the appropriate box(es) below:

- ☐ Experimentation on the microorganisms/cell lines/tissues to be used in this study will NOT be conducted at a Regulated Research Institution, but will be conducted at a (check one) ☐ BSL-1 or ☐ BSL-2 laboratory (include a copy of the [checklist for BSL-2](#). [This study has been reviewed by the local SRC and the procedures have been approved prior to experimentation.]
- ☐ This project involves the culturing of Multi Drug Resistant Organisms (MDROs). It has been conducted in a BSL-2 or higher lab at a Regulated Research Institution and the required IBC pre-approval is attached.
Date of IBC approval _____
- ☐ Experimentation on the microorganisms/cell lines/tissues to be used in this study will be conducted at a Regulated Research Institution and was approved by the appropriate institutional board prior to experimentation; institutional approval forms are attached.
Origin of cell lines: _____ Date of IBC/IACUC approval _____
- ☐ Experimentation on the microorganisms/cell lines/tissues to be used will be conducted at a Regulated Research Institution, which does not require IACUC or IBC approval for this type of study.

CERTIFICATION – To be SIGNED by the QUALIFIED SCIENTIST or Direct Supervisor

The QS/DS has seen this project's research plan and acknowledges the accuracy of the information provided above. This study has been approved at _____ and will be conducted in an appropriate laboratory.

Must be dated BEFORE the
"Actual Start Date" on Form 1A

QS/DS Printed Name

Signature

Date of review (mm/dd/yy)

Human and Vertebrate Animal Tissue Form (6B)

Required for research involving fresh/frozen tissue (including primary cell lines, human and other primate established cell lines and tissue cultures), blood, blood products and body fluids. If the research involves living organisms please ensure that the proper human or animal forms are completed. All projects using any tissue listed above must also complete Form 6A.

Student's Name(s) _____

Title of Project _____

To be completed by Student Researcher(s):

1. What vertebrate animal tissue will be used in this study? Check all that apply.
 - ☐ Fresh or frozen tissue sample
 - ☐ Fresh organ or other body part
 - ☐ Blood
 - ☐ Body fluids
 - ☐ Primary cell/tissue cultures
 - ☐ Human or other primate established cell lines
2. Where will the above tissue(s) be obtained? If using an established cell line include source and catalog number.
3. If the tissue will be obtained from a vertebrate animal study conducted at a research institution attach a copy of the IACUC certification with the name of the research institution, the title of the study, the IACUC approval number and a copy of IACUC approval. If human tissues were used, attach a copy of IRB approval.

Must be
dated
BEFORE the
"Actual Start Date" on
Form 1A

To be completed by the Qualified Scientist or Direct Supervisor:

- ☐ I verify that the student will work solely with de-identified organs, tissues, cultures or cells and that if vertebrate animals were euthanized for a purpose other than the student's research.
- AND/OR**
- ☐ I certify that the blood, blood products, tissues or body fluids in this project will be handled in accordance with the standards and guidance set forth in U.S. Occupational Safety and Health Act, 29CFR, Subpart Z - Blood Borne Pathogens.

Printed Name _____

Signature _____

Date of Approval (mm/dd/yy)
(Must be prior to experimentation.)

Title _____

Phone/Email _____

Institution _____

Continuation/Research Progression Projects Form (7)

Required for projects that are a continuation/progression in the same field of study as a previous project. This form must be accompanied by the previous year's abstract and Research Plan/Project Summary.

Student's Name(s) _____

To be completed by Student Researcher: List all components of the current project that are new and different from previous research.

If the project has been carried out (partially) before the start of 2024

Components	Current Research Project	Previous Research
1. Title		
2. Change in goal/purpose/objective		
3. Changes in methodology		
4. Variable studied		
5. Additional changes		

Continuation projects MUST include this form. For the immediately prior year, researcher MUST include both the abstract & research plan. For any years farther back, the researcher MUST include the abstract for each additional prior year's work.

For ALL Projects that were conducted/began before January 1, 2024

Attached are:

- ☐ Previous year's Abstract and Research Plan/Project Summary, Year _____
- ☐ Previous Form 7s, if applicable.

I hereby certify that the above information is correct and that the current year Abstract & Certification and project display board properly reflect work done only in the current year.

Student's Printed Name(s)

Signature

Date of Signature (mm/dd/yy)