

# THE UNIVERSITY OF ALABAMA IN HUNTSVILLE

## FINANCIAL DATA SHEET

### 1. Price Summary

The cost estimate presents applicable pricing information based on the standard format adopted by the University, and is consistent with our current cost accounting standards. UAH's fiscal year begins October 1<sup>st</sup>. The academic year begins around the third week of August. *Salaries are escalated effective October 1<sup>st</sup> each year.*

### 2. Cost Substantiation

#### a. Salaries:

Proposed salaries are quoted based on actuals, (*unless otherwise noted on the proposal budget*) and non-student salaries are increased by 3.0% each fiscal year to cover anticipated raises. These increases are **MERIT**, not cost-of-living, raises. Percentage of time is estimated. Salaries are verified through the established payroll system and after-the-fact certification of effort. Note that a full time graduate student appointment is the equivalent of a 50% full time employee.

#### b. Paid absences:

Paid absences are vacation, sick leave, personal leave, and holidays that are allowable as a direct expense as negotiated in the facilities and administrative cost rate. Paid absences are included in the loaded rate for full-time employees only to ensure that while the university charges only for direct hours worked, the individual's leave hours can also be compensated. More detailed information can be found at <https://www.uah.edu/payroll/lls-policy>.

#### c. Fringe benefits:

Fringe benefits are charged as a direct expense. They include State Teachers' Retirement System (TRS), Teachers' Insurance and Annuity Association--The College Retirement Equities Fund, social security, disability insurance, and life insurance where applicable. An estimated fringe benefit rate of 36% is usually proposed for full-time (employee works at least 75% or more) non-student employees. Employees that have an on-call/part-time position (less than 75%) or retired (Emeritus status) will receive 7.68% fringe benefits. Employees that have an hourly on-call position who are previously covered by the TRS will receive 20.27% (if hired prior to 1/1/2013) or 19.25% (if hired after 1/1/2013) fringe benefits. Graduate Research Assistants receive health insurance and tuition assistance as a fringe benefit adjusted annually on the academic year based on information provided by the Dean of Graduate Studies. Each individual's actual fringe benefit rate will be charged.

#### d. Travel:

Reimbursement of travel will be in accordance with The University of Alabama travel regulations. Expenses for out-of-state travel will be paid on the basis of actual, reasonable, and necessary expenses. Expenses for in-state travel will be paid on a per diem basis. Transportation costs will be reimbursed on the basis of actual costs for common carrier and at the approved rate per mile for automobiles.

#### e. Facilities and administrative cost rate:

The University negotiates its pre-determined facilities and administrative cost rate with the Department of Health and Human Services. The negotiated facilities and administrative cost rates for FY'21-FY'24 (10/1/2020-9/30/2024) follows:

On Campus	FY21	FY22	FY23	FY24	Off Campus	FY21	FY22	FY23	FY24
Research	48.0%	48.0%	48.0%	48.0%	Research*	27.5%	27.5%	27.5%	27.5%
Instructions	50%	50%	50%	50%	Instructions	26%	26%	26%	26%
Other Sponsored Activities	32.5%	32.5%	32.5%	32.5%	Other Sponsored Activities	26%	26%	26%	26%
IPA	10%	10%	10%	10%	IPA	10%	10%	10%	10%
DOD Contracts/Subcontracts	50%	50%	50%	50%	DOD Contracts/Subcontracts	28%	28%	28%	28%

These rates are based on Modified Total Direct Costs (MTDC). Equipment, capital expenditures, charges for patient care and tuition remission, rental costs, scholarships, and fellowships as well as the portion of each subgrant and subcontract in excess of \$25,000 shall be excluded from modified total direct costs. *\*Off-campus Research rate will be 26% if in excess of 50 miles from UAH Campus.*

### 3. Government Agency Contacts:

#### Administrative Contracting Officer

Office of Naval Research Resident Representative (ONRRR)  
Atlanta Regional Office  
100 Alabama Street, NW, Suite 4-R15  
Atlanta, GA 30303-3104  
Attn: Ms. Geri Sinclair, Contract Admin.  
Phone: (404)562-1620  
Email: [geri.sinclair@navy.mil](mailto:geri.sinclair@navy.mil)

#### Security Functions:

UAH facility Clearance: Top Secret  
UAH Storage Level: Secret  
DOD Trade Control (DTC): expires every 10/31  
DTC#: M21648  
UAH approved by DSS on 6/19/1989  
UAH Security POC: Denise Spiller  
Phone: (256) 824-6444  
Email: [Denise.spiller@uah.edu](mailto:Denise.spiller@uah.edu)

#### Audit Functions:

Department of Defense/Office of Inspector General (DOD/OIG)  
National Single Audit Coordinator (NSAC):  
Attn: Carol Vogler  
Phone: (703) 604-9657  
Email: [carol.vogler@dodig.mil](mailto:carol.vogler@dodig.mil)  
email: [OIGNon-FederalAudit@ed.gov](mailto:OIGNon-FederalAudit@ed.gov)

### 4. Awards:

#### Resulting contracts or grants should be forwarded to:

UAH  
Office of Sponsored Programs, CTC 114  
Huntsville, AL 35899  
Phone: (256) 824-6000; Fax: (256) 824-6677

#### Payments (referencing the invoice number) should be sent to:

Dept# 6570  
The University of Alabama in Huntsville  
P.O. Box 11407  
Birmingham, AL 35246-6570  
Phone: (256) 532-5034

### 5. Additional Information:

CAS Disclosure Statement: Filed with DHHS, Oct.1, 2016  
[https://www.uah.edu/images/administrative/finance/accounting-financial-reporting/casb\\_ds-2\\_2016\\_revised\\_signed\\_copy.pdf](https://www.uah.edu/images/administrative/finance/accounting-financial-reporting/casb_ds-2_2016_revised_signed_copy.pdf)  
UAH POC regarding Indirect Rate Agreement:  
Darryl Mayes, Director  
Division of Cost Allocation  
DHHS/Office of the Inspector General  
330 Independence Ave., Room 106 Cohen Building  
Washington, DC 20407  
Phone: (301) 492-4852

Cage Code: 9B944  
Joint Certification Program (JCP) # 0002821 expires March 28, 2027  
DUNS Number: 949687123  
EIN: 63-0520830  
UAH registered with SAM, which is valid until **October 19, 2024**  
UAH E-Verify ID#: 216442  
NAICS: 611310/Primary, 541330, & 541715 SIC: 8221 NTEE-CC: B43  
Federal Wide Assurance No.: FWA00019998 expires 1/9/2028  
IORG 0005379 and IRB 00006468 both expires 3/31/2023  
FICE Code: 1055 and IPEDS Code: 100706  
NIST SPRS Assessment: Type is Basic & Date is 5/25/2023  
Research Misconduct Assurance # 1288804  
UAH UEI#: **HB6KNGVNJRUI**  
OLAW Assurance #: **D22-01154 & expires 7/31/2027**

### 6. Approved Systems:

Government Property Control: ONR approved on 9/20/2022  
Purchasing System: ONR approved on 8/5/2022, expires 8/5/2025  
Accounting/Billing System: PricewaterhouseCoopers, LLP approves on an annual basis <https://www.uah.edu/finance/annual-reports>

\* UAH has one cleared facility clearance under cage code 9B944, with one cleared facility off-campus at Redstone Arsenal.