

Report on the

# University of Alabama in Huntsville

Huntsville, Alabama

October 1, 2005 through September 30, 2007

Filed: August 29, 2008



## Department of Examiners of Public Accounts

50 North Ripley Street, Room 3201

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Montgomery, Alabama 36130-2251

Website: [www.examiners.alabama.gov](http://www.examiners.alabama.gov)

*Ronald L. Jones, Chief Examiner*

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## *Table of Contents*

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	<i>Page</i>
<b>Report to the Chief Examiner</b>	A
Exhibit #1     Board Members and Officials	I

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State of Alabama  
Department of  
**Examiners of Public Accounts**

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Ronald L. Jones  
Chief Examiner

Honorable Ronald L. Jones  
Chief Examiner of Public Accounts  
Montgomery, Alabama 36130

Dear Sir:

Under the authority of the *Code of Alabama 1975*, Section 41-5-14, I submit this report on the University of Alabama in Huntsville for the period October 1, 2005 through September 30, 2007.

**SCOPE AND OBJECTIVE**

This report encompasses an examination of compliance of the University of Alabama in Huntsville (the "University") with applicable laws and regulations of the State of Alabama. The objective of the examination was to determine whether the University complied with these laws and regulations.

The firm of PricewaterhouseCoopers LLP conducted a financial statement audit as of and for the fiscal years ended September 30, 2007 and 2006, respectively.

**CONTENTS OF REPORT**

This report includes the following segments:

1. **Report to the Chief Examiner** – contains items pertaining to state legal compliance, agency operations and other matters.
2. **Other Information** – includes basic information related to the University (Exhibit 1).

**AUDIT COMMENTS**

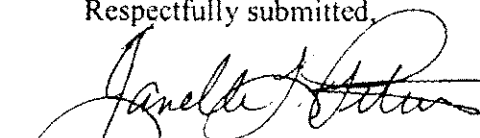
The University of Alabama in Huntsville (UAH) is an autonomous campus within the University of Alabama System dedicated to excellence in teaching, research, and service. UAH is a public research university that offers 59 degree-granting programs, including 30 bachelor's degree programs, 17 master's degree programs, and 12 Ph.D. programs through its five colleges: Administrative Science, Liberal Arts, Engineering, Nursing, and Science.

This examination consisted of a review of overall compliance of the University with applicable Alabama laws and regulations and was performed by evaluating University procedures and testing samples of transactions. Nothing came to our attention to indicate that the University had not complied with applicable Alabama laws and regulations.

Sworn to and subscribed before me this  
the 18<sup>th</sup> day of August, 20 08.

Sandra E Shirley  
Notary Public

Respectfully submitted,

  
Janelle L. Peterson  
Examiner of Public Accounts

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***Board Members and Officials***  
***October 1, 2005 through September 30, 2007***

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<b>Board Members</b>			<b>Term Expires</b>
Hon. Bob Riley	Ex-Officio	Alabama State House Montgomery, AL 36130	
Hon. Joseph B. Morton	Ex-Officio	State Superintendent of Education Montgomery, AL 36104	
Hon. Angus R. Cooper, II	Member	P. O. Box 1566 Mobile, AL 36633	2009
Hon. Marietta M. Urquhart	Member	725 Executive Park Drive Mobile, AL 36606	2012
Hon. Joseph C. Espy, III	Member	Drawer 5130 Montgomery, AL 36103	2013
Hon. James W. Wilson, III	Member	2660 East Chase Lane; Suite 100 Montgomery, AL 36117	2010
Hon. Vanessa Leonard	Member	P. O. Box 97 Rockford, AL 35136	2011
Hon. John Russell Thomas	Member (retired September 14, 2007)	P. O. Box 1237 Alexander City, AL 35011	

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***Board Members and Officials***  
***October 1, 2005 through September 30, 2007***

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<b>Board Members</b>			<b>Term Expires</b>
Hon. Sidney L. McDonald	Member	P. O. Box 177 Arab, AL 35016	2010
Hon. Finis E. St. John, IV	Member	P. O. Box 2130 Cullman, AL 35056	2013
Hon. Peter L. Lowe	Member	307 Franklin Street Huntsville, AL 35801	2008
Hon. Joe H. Ritch	Member	305 Church Street; Suite 800 Huntsville, AL 35801	2011
Hon. Paul W. Bryant, Jr.	Member	P. O. Box 020152 Tuscaloosa, AL 35402	2010
Hon. John J. McMahon, Jr.	Member	1927 1 <sup>st</sup> Avenue North Birmingham, AL 35203	2010
Hon. Karen P. Brooks	Member	P. O. Box 1700 Tuscaloosa, AL 35403	2009
Hon. John H. England, Jr.	Member	714 Greensboro Avenue Tuscaloosa, AL 35401	2009
Hon. Andria S. Hurst	Member	2707 Bush Boulevard Birmingham, AL 35208	2013

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***Board Members and Officials***  
***October 1, 2005 through September 30, 2007***

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**Officials**

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**Chief Administrative and  
Fiscal Officials**

Dr. David Williams	President (effective July 1, 2007)	University of Alabama in Huntsville 301 Sparkman Drive 118 Madison Hall Huntsville, AL 35899
Dr. Frank A. Franz	President (retired June 30, 2007)	University of Alabama in Huntsville 301 Sparkman Drive 118 Madison Hall Huntsville, AL 35899
Mr. Ray Pinner	Vice-President for Finance and Administration	University of Alabama in Huntsville 301 Sparkman Drive 230 Madison Hall Huntsville, AL 35899

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***Board Members and Officials***  
***October 1, 2005 through September 30, 2007***

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**Officials**

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**The University of Alabama**  
**System**  
**Administrative Officials**

Dr. Malcolm Portera	Chancellor	The University of Alabama System 401 Queen City Avenue Tuscaloosa, AL 35401
Dr. Charles R. Nash	Vice-Chancellor for Academic Affairs	The University of Alabama System 401 Queen City Avenue Tuscaloosa, AL 35401
Mr. A. Newton Hamner	General Auditor	The University of Alabama System 401 Queen City Avenue Tuscaloosa, AL 35401
Mr. Michael A. Bownes	Secretary of the Board of Trustees and Executive Assistant to the Chancellor	The University of Alabama System 401 Queen City Avenue Tuscaloosa, AL 35401
Mr. C. Ray Hayes	Vice-Chancellor for Financial Affairs (effective September 15, 2006)	The University of Alabama System 401 Queen City Avenue Tuscaloosa, AL 35401
Dr. Lynda Gilbert	Interim Vice-Chancellor for Financial Affairs (until September 15, 2006)	The University of Alabama System 401 Queen City Avenue Tuscaloosa, AL 35401