

Report on the

**University of Alabama  
in Huntsville**

Huntsville, Alabama

October 1, 2003 through September 30, 2004



**STATE OF ALABAMA  
DEPARTMENT OF  
EXAMINERS OF PUBLIC ACCOUNTS  
MONTGOMERY, ALABAMA**

*RONALD L. JONES, CHIEF EXAMINER*

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Ronald L. Jones  
Chief Examiner

State of Alabama  
Department of  
**Examiners of Public Accounts**

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Montgomery, Alabama 36104-3833  
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Honorable Ronald L. Jones  
Chief Examiner of Public Accounts  
Montgomery, Alabama 36130

Dear Sir:

Under the authority of the *Code of Alabama 1975*, Section 41-5-14, I submit this report on the University of Alabama in Huntsville for the period October 1, 2003 through September 30, 2004.

**SCOPE AND OBJECTIVES**

This report encompasses an examination of compliance by the University of Alabama in Huntsville (the "University") with applicable laws and regulations of the State of Alabama. The objective of the examination was to determine whether the University had complied with applicable laws and regulations.

The firm of KPMG LLP, conducted a financial audit for the fiscal year ended September 30, 2004.

**CONTENTS OF REPORT**

This report includes the following segments:

1. **Report to the Chief Examiner** – contains comments resulting from the examination described above.
2. **Basic Information** – includes basic information related to the University (Exhibit 1).

AUDIT COMMENTS

The University is a part of the University of Alabama System. The University of Alabama System Board of Trustees serves all three campuses of the System. The mission of the University is to provide services that meet various education, research, health care and public service goals.

The University is accredited by the Commission on Colleges of the Southern Association of Colleges and Schools to award bachelors, masters, and doctoral degrees. Curricula are accredited by the American Assembly of Collegiate Schools of Business, American Chemical Society, Computing Sciences Accreditation Board, Accreditation Board for Engineering and Technology, National League of Nursing, Alabama Board of Nursing, National Association of Schools of Music, Liaison Committee on Medical Education, Accreditation Council for Graduate Medical Education, and the American Medical Association's Committee on Allied Health Education and Accreditation.

The examination consisted of a review of overall compliance of the University with applicable Alabama laws and regulations and was performed by evaluating University procedures and testing samples of transactions. Nothing came to our attention to indicate that the University had not complied with applicable Alabama laws and regulations.

Sworn to and subscribed before me this  
the 23<sup>rd</sup> day of June, 2005.  
*Beverly J. Smith*  
Notary Public 8-12-06

Respectfully submitted,

*Mack Hollaway*  
Mack Hollaway  
Examiner of Public Accounts

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***Board Members and Officials***  
***October 1, 2003 through September 30, 2004***

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<b>Board Members</b>			<b>Term Expires</b>
Hon. Bob Riley, Governor	Ex-Officio	Alabama State House Montgomery, AL 36130	
Hon. Ed Richardson, (October 1, 1995 – January 22, 2004)	Ex-Officio	State Superintendent of Education Montgomery, AL 36130	
Hon. Joseph B. Morton, (January 23, 2004 – Present)	Ex-Officio	State Superintendent of Education Montgomery, AL 36130	
Hon. Angus R. Cooper, II	Member	P. O. Box 1566 Mobile, AL 36633	2009
Hon. Joseph C. Espy, III	Member	301 Adams Avenue Montgomery, AL 36103	2007
Hon. James W. Wilson, III	Member	4121 Carmichael Road Montgomery, AL 36106	2004
Hon. Vanessa Leonard	Member	P. O. Box 97 Rockford, AL 35136	2005
Hon. John Russell Thomas	Member	P. O. Box 1237 Alexander City, AL 35011	2008

***Board Members and Officials***  
***October 1, 2003 through September 30, 2004***

<b>Board Members</b>			<b>Term Expires</b>
Hon. Sidney L. McDonald	Member	P. O. Box 177 Arab, AL 35016	2004
Hon. Finis E. St. John, IV	Member	P. O. Box 2130 Collman, AL 35056	2007
Hon. Olin B. King	Member	1250 Winner Avenue Huntsville, AL 35805	2005
Hon. Peter L. Lowe	Member	P. O. Box 407 Huntsville, AL 35804	2008
Hon. Paul W. Bryant, Jr.	Member	P. O. Box 020152 Tuscaloosa, AL 35402	2004
Hon. John J. McMahon, Jr.	Member	1927 1 <sup>st</sup> Avenue North Birmingham, AL 35203	2004
Judge John H. England, Jr.	Member	714 Greensboro Avenue Tuscaloosa, AL 35401	2009
Hon. Andria S. Hurst	Member	2100 Lakeshore Drive Birmingham, AL 35209	2007

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***Board Members and Officials***  
***October 1, 2003 through September 30, 2004***

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**Officials**

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**University of Alabama System**  
**Administrative Officials**

Mr. John Bradford Hicks	Secretary of the Board of Trustees and Executive Assistant to the Chancellor	The University of Alabama System 401 Queen City Avenue Tuscaloosa, AL 35401
Dr. Malcolm Portera	Chancellor	The University of Alabama System 401 Queen City Avenue Tuscaloosa, AL 35401
Dr. Charles R. Nash	Vice Chancellor for Academic Affairs	The University of Alabama System 401 Queen City Avenue Tuscaloosa, AL 35401
Dr. Lynda Gilbert, (Effective November 17, 2003)	Vice Chancellor for Financial Affairs	The University of Alabama System 401 Queen City Avenue Tuscaloosa, AL 35401
Mr. A. Newton Hamner	Interim General Auditor	The University of Alabama System 401 Queen City Avenue Tuscaloosa, AL 35401

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***Board Members and Officials***  
***October 1, 2003 through September 30, 2004***

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**Officials**

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**Chief Administrative and Fiscal Officials**  
**University of Alabama in Huntsville**

Dr. Frank A. Franz	President	University of Alabama in Huntsville 301 Sparkman Drive 118 Madison Hall Huntsville, AL 35899
Mr. Ray Pinner	Vice President for Finance and Administration	University of Alabama in Huntsville 301 Sparkman Drive 230 Madison Hall Huntsville, AL 35899